

**The classification of Willmore spheres and tori in the three  
dimension sphere**

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# 行政院國家科學委員會專題研究計畫成果報告

## 三維球中 Willmore 球面與環面之分類

### The classification of Willmore spheres and tori in the three dimension sphere

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#### 摘要

假設  $M$  為 3 維球面上之緊緻 Willmore 曲面。此報告旨在利用 Minkowski 空間之保角幾何建構出球面之對應的四次微分形與保角變換。

**關鍵詞** Willmore 曲面、解析四次微分形

#### Abstract

In this report, we will construct the holomorphic quartic differential form and the conformal transformation of a Willmore surface in the three dimensional sphere  $S^3$  Which were constructed by Bryant in the Minkowski space, and study surfaces with constant conformal transformation which is given in this report.

**Keywords:** Willmore surface, holomorphic quartic differential form

#### 1. Introduction

Let  $x: M^2 \rightarrow S^3$  be a compact immersed surface in the 3-dimensional unit sphere  $S^3$ . Let  $h_{ij}$  be the components of the second fundamental form of  $M^2$ , and  $H = \sum h_{ii}$  the

mean curvature. The Willmore functional of  $x$  is given by

$$W(X) = \int_{M^2} \Phi,$$

$$\text{where } \phi_{ij} = h_{ij} - \frac{H}{2} \delta_{ij} \text{ and } \Phi = \sum (\phi_{ij})^2.$$

The critical points of the Willmore functional are called Willmore surfaces, they satisfy the Euler-Lagrange equation  $\Delta H + \Phi H = 0$  (see [W]). Willmore sphere was classified by Bryant, so call Bryant's sphere (see [B1] and [B2]).

Since minimal surfaces are Willmore surfaces, it is nature that certain results about minimal surfaces also worked for Willmore surfaces. Indeed if  $M^2$  is a compact immersed Willmore surface in the 3-dimensional unit sphere, and  $0 \leq \Phi \leq 2 + \frac{H^2}{4}$ , then  $M^2$  is either totally umbilical or the Clifford torus (see [CH1]). On the other hand, if  $2 + cH^2 \geq \Phi \geq 0$ , for

some  $\frac{1}{2} > c$ , on  $M^2$ , then  $M^2$  is either a Bryant's sphere with nonnegative Gaussian curvature or the Clifford torus. For the case of pinching constant  $c = \frac{1}{2}$ , we know that  $M^2$  is either a Bryant's sphere with nonnegative Gaussian curvature or a flat Willmore torus.

In section 2 of this report we will find the quartic differential form of  $x$  in  $S^3$  that corresponds to the holomorphic quartic differential of  $x$  in the Minkowski space constructed by Bryant. In section 3 we relate conformal differential geometry of the Minkowski space  $L^5$  with geometry of the three dimensional sphere  $S^3$ , and construct the Willmore dual of  $x$  in  $S^3$ . In section 4 we study the surfaces with constant conformal transformation which is given in section 3

## 2. The holomorphic quartic differential

Let  $x: M^2 \rightarrow S^3$  be an immersed surface. In this section we will find the quartic differential of  $x$  in  $S^3$  that corresponds to the holomorphic quartic differential of  $x$  in the Minkowski space constructed by Bryant ([B1]). Palme presented it in the Euclidean space ([P]).

Let  $(x_1, x_2)$  be an isothermal coordinate of  $M^2$ ,  $e_j = e^u \frac{\partial}{\partial x_j}$ ,  $j = 1, 2$ , be an orthonormal frame field, and  $\theta_j = e^{-u} dx_j$ ,  $j = 1, 2$ , the corresponding dual coframe. Then the Codazzi's equation is given by

$$e^u \frac{\partial H}{\partial x_1} = \frac{\partial}{\partial x_1} (e^{2u} (h_{11} - h_{22})) + 2 \frac{\partial}{\partial x_2} (e^{2u} h_{12}),$$

$$e^u \frac{\partial H}{\partial x_2} = -\frac{\partial}{\partial x_2} (e^{2u} (h_{11} - h_{22})) + 2 \frac{\partial}{\partial x_1} (e^{2u} h_{12}),$$

and the Gauss equation is given by

$$u_{\bar{z}\bar{z}} = \frac{1}{16} (e^{-2u} |\phi|^2 - e^{2u} (4 + H^2)),$$

where  $\phi = e^{2u} \phi$ ,  $\phi = (h_{11} - h_{22}) - 2ih_{12}$  is the Hopf's differential. On the set of  $M^2$  - umbilic locus, let

$$\begin{aligned} q &= \frac{1}{4} \phi^2 \left( \frac{1}{4} H^2 + \Delta \log \phi \right) \\ &= \frac{1}{16} \phi^2 (H^2 + 4) + e^{-2u} \phi \phi_{\bar{z}\bar{z}} - e^{-2u} \phi_z \phi_{\bar{z}}. \end{aligned}$$

It follows from the equations of Codazzi and Gauss that

$$q_{\bar{z}} = e^{4u} \left( \frac{1}{4} \phi (\Delta H + \Phi H)_z + (\phi u_z - \frac{1}{4} \phi_z) (\Delta H + \Phi H) \right).$$

Thus if  $x: M^2 \rightarrow S^3$  is a Willmore surface then  $q$  is holomorphic, and hence

$$\mathcal{G} = q dz^4 = \frac{1}{4} \left( \left( 1 + \frac{H^2}{4} - 2K \right) \phi^2 + \phi \Delta \phi - \phi_z^2 \right) (\omega_1 + i\omega_2)^4$$

is a holomorphic quartic differential. When  $\mathcal{G}$  vanishes identically, combining with the Willmore equation, the second derivatives of the mean curvature can be presented in terms of lower order derivatives of the second fundamental form.

## 3. The Willmore dual surfaces

Let  $x: M^2 \rightarrow S^3$  be a Willmore surface. In this section we relate conformal differential geometry of the Minkowski space  $L^5$  with geometry of the three dimensional sphere  $S^3$ , and construct the Willmore dual of  $x$  in  $S^3$ .

Here we use the notions of the moving frame used by Bryant ([B1]) and Chern et al ([CDK]) respectively. We choose a local orthonormal frame field  $E_1, E_2$ , and  $E_3$  in  $S^3$  so that when restricted to  $x(M^2)$  the vectors  $E_1, E_2$  are tangent to  $x(M^2)$ , and  $E_3$

is a local field in the normal bundle of  $x(M^2)$ . Let  $\theta_1, \theta_2, \theta_3$  be its dual coframes in  $S^3$ .

Let  $L^5$  denote the Minkowski space,  $R^5$  together with the standard Minkowski inner product, orientation and time orientation, and  $\ell^+$  denote the space of positive null vectors in  $L^5$ . Let

$$e_0 = (1, x), e_1 = (0, E_1), e_2 = (0, E_2), e_3 = (0, E_3), e_4 = \frac{1}{2}(1, -x).$$

Then  $e_0, e_1, e_2, e_3, e_4$  is a frame field in the first order frame bundle of  $x$ , a positively oriented basis,  $x = [e_0]$ ,  $e_4 \in \ell^+$ ,  $\langle e_a, e_b \rangle = B_b^a$ , where  $\langle \cdot, \cdot \rangle$  is the Minkowski inner product, and

$$B = \begin{bmatrix} 0 & 0 & -1 \\ 0 & I & 0 \\ -1 & 0 & 0 \end{bmatrix}.$$

It follows that there exist 1-forms  $\omega_b^a$  satisfying

$$de_a = e_b \omega_a^b, \quad d\omega_b^a = -\omega_c^a \wedge \omega_b^c.$$

Since  $\omega_0^3 = 0$ , Cartan's Lemma implies that there are  $h_{ij}$  such that  $\omega_i^3 = h_{ij} \omega_0^j$ ,  $h_{ij} = h_{ji}$ .

Compare the structure equations of the three dimensional sphere  $S^3$  with that of the Minkowski space  $L^5$ , we get

$$\begin{bmatrix} \omega_0^0 & \cdots & \omega_4^0 \\ \vdots & \vdots & \vdots \\ \omega_0^4 & \cdots & \omega_4^4 \end{bmatrix} = \begin{bmatrix} 0 & -\frac{1}{2}\theta_1 & -\frac{1}{2}\theta_2 & 0 & 0 \\ \theta_1 & 0 & \theta_{21} & -\sum h_{1j}\theta_j & -\frac{1}{2}\theta_1 \\ \theta_2 & \theta_{12} & 0 & -\sum h_{2j}\theta_j & -\frac{1}{2}\theta_2 \\ 0 & \sum h_{1j}\theta_j & \sum h_{2j}\theta_j & 0 & 0 \\ 0 & \theta_1 & \theta_2 & 0 & 0 \end{bmatrix}.$$

We note that the second fundamental forms of  $M^2$  in  $S^3$  coincide with these  $h_{ij}$  in  $L^5$ .

Since on the first order frame bundle,  $\omega_i^3 = h_{ij} \omega_0^j$ , we may define the covariant derivatives of  $h_{ij}$  by

$$dh_{ij} + h_{ij} \omega_0^0 - h_{kj} \omega_i^k - h_{ik} \omega_j^k + \delta_{ij} \omega_3^0 = h_{ijk} \omega_0^k,$$

$$h_{ijk} = h_{ikj}. \quad \text{It follows that } dH = h_j \omega_0^j,$$

where  $h_j = h_{ij} = H_j$ .

The first order frame bundle of  $x$  is a right principal G-bundle with fibers of the form

$$g = \begin{bmatrix} \frac{1}{r} & p'C & \frac{r}{2}|p|^2 \\ r & C & rp \\ 0 & 0 & r \end{bmatrix},$$

where

$$r > 0, \mathbf{p} = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}, C = \begin{bmatrix} A & 0 \\ 0 & 1 \end{bmatrix},$$

$$A = \begin{bmatrix} c & -s \\ s & c \end{bmatrix}, c^2 + s^2 = 1.$$

We notice that if

$$g_i = \begin{bmatrix} \frac{1}{r_i} & p'_i C_i & \frac{r_i}{2}|p_i|^2 \\ r_i & C_i & r_i p_i \\ 0 & 0 & r_i \end{bmatrix}, i = 1, 2,$$

$$\text{then } g_1 g_2 = \begin{bmatrix} \frac{1}{r} & p'C & \frac{r}{2}|p|^2 \\ r & C & rp \\ 0 & 0 & r \end{bmatrix}, \quad (*)$$

where

$$r = r_1 r_2, A = A_1 A_2, \mathbf{p} = \frac{1}{r_1} C_1 p_2 + p_1.$$

To construct the conformal transformation, we follow the procedures of Bryant ([B1]),

$$(1) r = 1, p = \begin{bmatrix} 0 \\ 0 \\ H \\ 2 \end{bmatrix}, A = I.$$

$$(2) r = 1, p = 0, A = \begin{bmatrix} c & -s \\ s & c \end{bmatrix}.$$

$$(3) r = \frac{2}{\sqrt{(h_{11} - h_{22})^2 + 4h_{12}^2}}, p = 0, A = I$$

$$(4) r = 1, p = \begin{bmatrix} \frac{H_1}{2} \\ -\frac{H_2}{2} \\ 0 \end{bmatrix}, A = I.$$

Where

$$c = \frac{1}{\sqrt{2}} \sqrt{1 + \frac{h_{11} - h_{22}}{\sqrt{(h_{11} - h_{22})^2 + 4h_{12}^2}}},$$

$$s = \pm \frac{1}{\sqrt{2}} \sqrt{1 - \frac{h_{11} - h_{22}}{\sqrt{(h_{11} - h_{22})^2 + 4h_{12}^2}}} \quad (\pm \text{ depends on the sign of } h_{12}).$$

Applying (\*) to the procedures, we find

$$\begin{aligned} \tilde{e}_4 &= r \left( \frac{1}{2} p' p e_0 + (e_1, e_2, e_3) p + e_4 \right) \\ &= r \left( \frac{1}{2} (p' p + 1), \frac{1}{2} (p' p - 1) x + c_1 E_1 + c_2 E_2 + c_3 E_3 \right). \end{aligned}$$

Thus the conformal transformation  $\hat{x}$  in the sense of  $S^3$  is given by

$$\begin{aligned} \hat{x} &= \frac{2}{\frac{|\nabla H|^2}{4r^2} + \frac{H^2}{4} + 1} \left( \frac{1}{2} \left( \frac{|\nabla H|^2}{4r^2} + \frac{H^2}{4} - 1 \right) x \right. \\ &\quad \left. - \frac{1}{r} \left( \frac{H_1}{2} (cE_1 + sE_2) - \frac{H_2}{2} (sE_1 - cE_2) \right) + \frac{H}{2} E_3 \right), \end{aligned}$$

where

$$r = \frac{2}{\sqrt{(h_{11} - h_{22})^2 + 4h_{12}^2}},$$

$$c = \frac{1}{\sqrt{2}} \sqrt{1 + \frac{h_{11} - h_{22}}{\sqrt{(h_{11} - h_{22})^2 + 4h_{12}^2}}}, s = \pm \frac{1}{\sqrt{2}} \sqrt{1 - \frac{h_{11} - h_{22}}{\sqrt{(h_{11} - h_{22})^2 + 4h_{12}^2}}}.$$

The conformal transformation  $\hat{x}$  is constant if  $M^2$  is not totally umbilic and the holomorphic quartic differential  $\mathcal{G}$  vanishes on  $M^2$ . In particular that if  $M^2$  is a topological sphere, then  $\mathcal{G}$  vanishes identically. Thus  $M^2$  is either totally umbilic or  $\hat{x}$  is constant.

#### 4. Constant conformal transformation

In this section we characterize the surface with constant conformal transformation which is constructed in section 3. Suppose that  $M^2$  is not totally umbilic. Let  $\hat{x}$  be the constant unit vector  $a$ , and  $f = |\phi|^2 |\nabla H|^2 + 4H^2$ , then

$$(x, a) = 1 - \frac{32}{f + 16}, (E_3, a) = \frac{16H}{f + 16}.$$

From the structure equations, then we have

$$(E_j, a) = \frac{32f_j}{(f + 16)^2} \quad \text{for } j=1,2, \text{ and}$$

$$H(E_3, a) - 2(x, a) = \frac{32}{(f + 16)^2} \Delta f - \frac{64}{(f + 16)^3} |\nabla f|^2.$$

It follows that

$$1 - (x, a)^2 - (E_3, a)^2 = \frac{1024}{(f + 16)^4} |\nabla f|^2 \quad \text{and}$$

$$8(f + 16)^2 (H^2 + 4) - (f + 16)^3 = 16(f + 16) \Delta f - 32 |\nabla f|^2.$$

Since

$$|\nabla f|^2 = \frac{1}{16} ((f + 16)^3 - 4(f + 16)^2 (4 + H^2)),$$

$$\text{we have } \Delta f = \frac{1}{16} (f + 16)^2 \geq 0.$$

Since  $M^2$  is compact,  $f$  is constant, and  $x$  is a hypersphere which is totally umbilic, a contradiction. Thus  $M^2$  must be totally umbilic.

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