



## Effect of surface preparation on the radiation hardness of high-dielectric constant gate dielectric

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### ARTICLE INFO

#### Article history:

Received 18 May 2012

Received in revised form 23 November 2012

Accepted 26 November 2012

Available online 28 February 2013

The review of this paper was arranged by Prof. S. Cristoloveanu

#### Keywords:

Radiation hardness

Extreme ultra-violet (EUV)

High dielectric constant dielectric

Oxide trap

Border trap

Interface trap

### ABSTRACT

Effect of surface preparation on the radiation hardness of MOS devices with high dielectric constant gate dielectric of HfO<sub>2</sub> and metal gate of TiN is studied using extreme ultra-violet (EUV) light as the radiation source. Three kinds of surface treatment including HF-last, chemical-oxidation, and rapid-thermal-oxidation were evaluated. Among them, chemical-oxidation exhibits the best radiation hardness in terms of interface traps and border traps. The state-of-the-art MOSFET with a thin high-*k* dielectric and a high quality chemical oxide interfacial layer shows that the degradation of subthreshold swing is more severe than degradation of threshold voltage. However, the overall degradation is less than 6% even after EUV irradiation to a total dose of 580 mJ/cm<sup>2</sup>. Off-state current degradation is observed due to the generation of oxide traps and interface traps at the isolation region. This phenomenon does not occur in the conventional optical lithography process but should be considered if EUV lithography is used.

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### 1. Introduction

High-dielectric constant (high-*k*) dielectrics have replaced silicon dioxide (SiO<sub>2</sub>) to be the gate dielectric of advanced MOSFETs since the 45 nm technology node. The reliability issues of high-*k* dielectric including time-dependent dielectric breakdown, charge trapping, and bias-temperature instability have been widely studied [1]. It is acknowledged that although the reliability issues of high-*k* dielectrics are different from those of the well known SiO<sub>2</sub> gate dielectric, these issues do not set fundamental limitations on the commercial applications. However, high performance and low power integrated circuits may be used in systems which operating under radiation environment so that the radiation hardness of high-*k* gate dielectric is also an important reliability issue. Radiation damages on high-*k* dielectrics have been reported in literature [2–5]. It is shown that both Hf-based dielectrics and Al<sub>2</sub>O<sub>3</sub> have higher charge trapping efficiency than that of thermal oxide. Acceptable radiation tolerance of MOSFET with thin HfO<sub>2</sub> gate dielectric was also reported [5]. These reports used high energetic photons such as gamma-ray and X-ray, and focused on the charge trapping in the bulk of high-*k* dielectrics. However, the reliability

of the high-*k* structures would be affected by the interfacial layer as well as the high-*k* layer. Furthermore, high-*k* dielectrics may expose to ionizing radiation during IC processing. In this case, low energy radiation source should be considered.

Recently, extreme ultra-violet (EUV) lithography technology achieves great progress. Several pre-production tools have been delivered since 2010. Both mask technique and photo-resist technique progress very fast. Therefore, EUVL has been seriously considered as the most promising next generation lithography technology. Several full-field EUVL technologies have been demonstrated [6–10]. A. Veloso et al. employed EUVL for single-patterning of denser arrays of smaller CH and M1 trenches [6]. Nakamura et al. demonstrated a 2-level Cu/low-*k* interconnects with 70 nm pitch featuring EUV lithography [8]. Tom Vandeweyer et al. used EUV lithography for the patterning four critical layers (active or fin, gate, contact and metal-1) of a 16 nm node 6T-SRAM cell [10]. This is the first time for using EUV in the front-of-line process. Once EUVL is employed in the front-of-line process, the high energy of EUV should be considered.

The currently used wavelength for advanced ICs is 193 nm. The energy of this light is 6.4 eV, and is lower than the energy bandgaps of typically used dielectrics. However, the 13.5 nm wavelength of EUV translates the energy of 91.85 eV. This energy is higher than the bonding energies and energy band gaps of all dielectrics. EUV

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irradiation during processing may result in reliability issue. Our previous study observed that oxide traps, border traps, and interface traps would be generated by extreme-ultra-violet (EUV) irradiation in  $\text{SiO}_2$ ,  $\text{Si}_3\text{N}_4$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{HfSiO}$ , and  $\text{HfAlO}$  [11,12]. And our preliminary result revealed that the interfacial layer plays important role on the radiation hardness. In this work, the effect of interface engineering on radiation hardness of MOS devices using  $\text{HfO}_2$  as gate dielectric is studied. The radiation hardness of the state-of-the-art MOSFET is also evaluated.

## 2. Device fabrication

Simple metal-insulator-silicon (MIS) capacitor structure with  $\text{HfO}_2$  deposited by an atomic layer deposition (ALD) system is used in this work. The devices were fabricated on (100)-oriented donor doped Si wafers with a resistivity of 1–10  $\Omega$  cm. After standard RCA clean, three kinds of surface preparation method were performed. On sample A, the chemical oxide formed during the RCA clean was removed by immersion in diluted HF (DHF) before  $\text{HfO}_2$  deposition. On sample B, the chemical oxide formed during the RCA cleaning received a 900 °C rapid thermal annealing in  $\text{N}_2$  ambient for 30 s before  $\text{HfO}_2$  deposition in order to preserve the same thermal budget as sample C. On sample C, after removing the chemical oxide by DHF immersion, a 2-nm-thick  $\text{SiO}_2$  layer was grown by a rapid thermal oxidation (RTO) system at 900 °C for 30 s. Then, a 15-nm-thick  $\text{HfO}_2$  layer was deposited by an ALD system using TE-

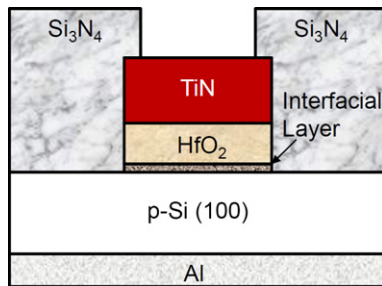


Fig. 1. Schematic cross-sectional structure of the metal-insulator-Si (MIS) structure used in this work.

Table 1

Process conditions of samples used in this work. The dielectric thicknesses are determined by high resolution transmission electron microscopic inspection.

Sample ID	R	A	B	C
Interface treatment	HF-last	HF-last	Chemical oxide 1.5 nm	Rapid thermal oxide 2 nm
Gate dielectric	$\text{SiO}_2$ 15 nm	$\text{HfO}_2$ 13.4 nm	$\text{HfO}_2$ 12.7 nm	$\text{HfO}_2$ 12.7 nm
Formation method	Oxidation	ALD	ALD	ALD
Formation temperature	900 °C	250 °C	250 °C	250 °C
Post-deposition annealing	Without	500 °C	500 °C	500 °C

MAH and  $\text{H}_2\text{O}$  as precursors at 250 °C. It should be noted that those important parameters of MOSFET including threshold voltage, sub-threshold swing, and channel carrier mobility would be affected by irradiation, but these parameters cannot be measured using simple MIS capacitor. Therefore, only mid-gap voltage and flatband voltage are measured in this work using the MIS capacitor structure. Thicker dielectric produces more apparent shift and/or distortion of the C–V characteristic. Therefore, we choose a relatively thick dielectric thickness so that all of the possible damages either in the bulk of dielectric or at the dielectric/Si interface can be easily extracted from the simple MIS structure.

After the  $\text{HfO}_2$  layer deposition, samples were processed by a rapid thermal annealing in  $\text{N}_2$  ambient at 500 °C for 30 s. A 5-nm-thick TiN layer was deposited immediately by the same ALD system to provide a good TiN/ $\text{HfO}_2$  contact. An additional 35-nm-thick TiN layer was deposited by DC sputtering in order to increase the gate electrode thickness but shorten the process time. All samples were capped with a 100-nm-thick  $\text{Si}_3\text{N}_4$  layer for protecting samples from moisture-uptake. The probing pads were opening by typical lithography and wet etching processes. Fig. 1 shows the schematic structure of the MIS capacitor. The main process conditions of all samples are listed in Table 1. The Sample R with 15-nm-thick  $\text{SiO}_2$  gate dielectric is used as reference.

The 13.5-nm-wavelength radiation source comes from the beam-line 08A1 constructed at the National Synchrotron Radiation Research Center, Taiwan, ROC. The irradiation dose on high- $k$  samples ranges from 150 to 500  $\text{mJ}/\text{cm}^2$ . The 40-nm-thick TiN gate electrode absorbs 52% of the dose. The actual dose on high- $k$  dielectrics is around 72–240  $\text{mJ}/\text{cm}^2$ . According to the ITRS roadmap, the expected EUVL dose is 10–20  $\text{mJ}/\text{cm}^2$ . The dose used in this manuscript is about 5–15 times higher than the expected dose in order to magnify the effects. This is a typical strategy for early stage reliability evaluation. Because  $\text{SiO}_2$  is more resist to radiation damages than high- $k$  dielectrics, the dose used for the  $\text{SiO}_2$  sample is 1800  $\text{mJ}/\text{cm}^2$  in this work.

## 3. Results and discussions

Fig. 2 shows the high-resolution transmitted electron microscope (HRTEM) micrograph of the interfacial structure of the three

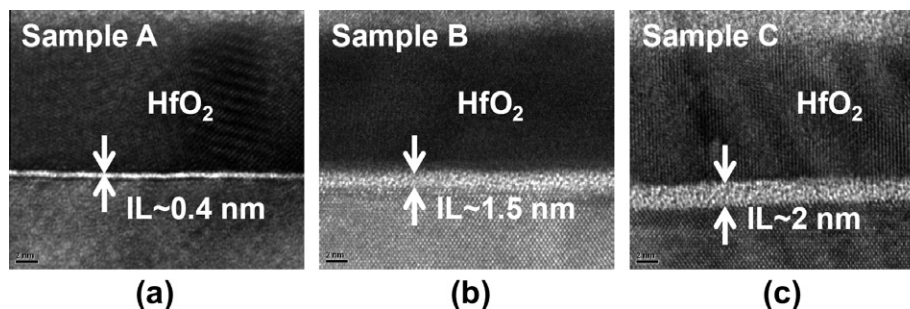
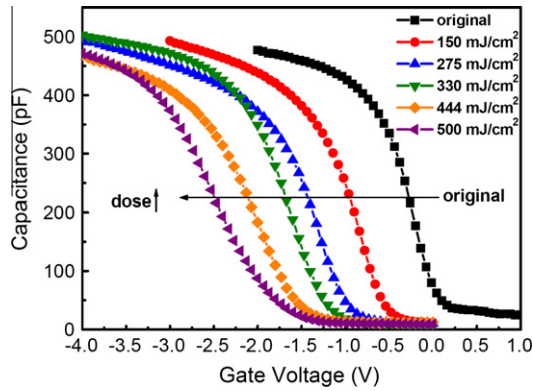


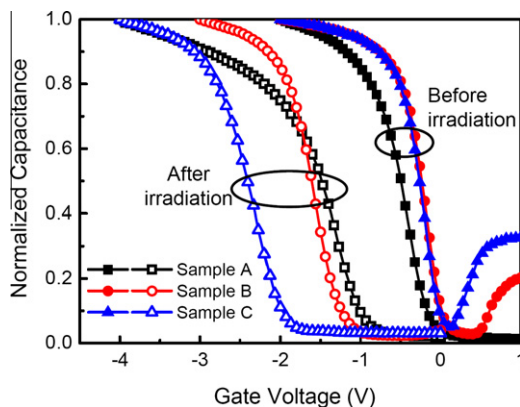
Fig. 2. Interface structure inspected by high-resolution transmitted-electron-microscope (HRTEM) of the samples with different surface treatments. (a) HF-last, (b) chemicaloxidation, and (c) rapid thermal oxidation.



**Fig. 3.** Capacitance–voltage characteristics of the sample B after receiving EUV irradiation to various doses. Higher irradiation dose results in more apparent C–V shift and distortion.

HfO<sub>2</sub> samples. A 0.4-nm-thick interfacial layer was formed during the 500 °C post-deposition annealing process on sample A and the physical thickness of the HfO<sub>2</sub> layer is 13.4 nm. The HfO<sub>2</sub> thickness for samples B and C is 12.7 nm, with an interfacial layer thickness of 1.5 nm and 2 nm, respectively. Fig. 3 shows the capacitance–voltage (C–V) characteristics of the sample B before and after EUV irradiation to various doses. The degradation increases with the increase of radiation dose as expected. No saturation phenomenon is observed up to 500 mJ/cm<sup>2</sup>. Since the purpose of this work is to study the effect of surface preparation, we use the data with the intermediate dose of 275 mJ/cm<sup>2</sup> in the following discussion. This dose was also used in our previous works so that the results can be compared easily [12].

Fig. 4 shows the C–V characteristics of the three high-*k* samples before and after EUV irradiation. The shift and distortion of the C–V curves indicate the increment of trapped charges and interface traps. Hysteresis phenomenon is also observed on all of the high-*k* samples but is not shown in the figure. The hysteresis voltages ( $V_{\text{hyst}}$ ) are listed in Table 2. It is clear that the chemical oxide interfacial layer can suppress the shift and distortion of the C–V characteristics effectively. The RTO interfacial layer has little improvement on the radiation hardness. The stretch-out of the C–V curve near accumulation reflects the generation of donor-like interface traps at the lower-half of the Si band gap. The energy distribution of the interface-state density ( $D_{\text{it}}$ ) was extracted by typical high-frequency C–V method [13], and the results before and after EUV irradiation are shown in Fig. 5. It is



**Fig. 4.** Capacitance–voltage characteristics of the sample A, B, and C before and after EUV irradiation. The irradiation dose is 275 mJ/cm<sup>2</sup> on all samples.

**Table 2**

Extracted hysteresis voltage ( $V_{\text{hyst}}$ ), increase of hysteresis voltage ( $\Delta V_{\text{hyst}}$ ), increase of oxide traps ( $\Delta N_{\text{ot}}$ ), and increase of interface traps ( $\Delta N_{\text{it}}$ ) after EUV irradiation of all samples prepared in this work.

Sample ID	R	A	B	C
Dose (mJ/cm <sup>2</sup> )	440	275	275	275
$V_{\text{hyst}}$ (mV)	~0	171	57	67
$\Delta V_{\text{hyst}}$ (mV)	18	143	138	451
$\Delta N_{\text{ot}}$ (cm <sup>-2</sup> )	$1.13 \times 10^{11}$	$3.80 \times 10^{12}$	$5.55 \times 10^{12}$	$7.88 \times 10^{12}$
$\Delta N_{\text{it}}$ (cm <sup>-2</sup> )	$2.33 \times 10^{11}$	$4.75 \times 10^{12}$	$7.86 \times 10^{11}$	$1.34 \times 10^{12}$

clearly observed that after EUV irradiation the  $D_{\text{it}}$  of all samples increases. Sample R received a much higher total dose but exhibits the lowest  $D_{\text{it}}$ . According to the C–V distortion in Fig. 4 and the  $D_{\text{it}}$  distribution in Fig. 5, it is confirmed that the interface traps generated by EUV irradiation are donor-like and locate at the lower-half of the energy gap of Si.

The oxide traps ( $N_{\text{ot}}$ ) and interface traps ( $N_{\text{it}}$ ) are separated by the mid-gap voltage ( $V_{\text{mg}}$ ) method [14]. This method assumes that at the mid-gap voltage  $V_{\text{mg}}$ , when the Fermi level intersects the mid-gap energy at the silicon surface, the interface charge becomes zero. This assumption is confirmed by Fig. 5. According to this figure, the newly generated interface traps are lower than the Fermi energy at  $V_{\text{mg}}$ , thus the increase of  $N_{\text{ot}}$  ( $\Delta N_{\text{ot}}$ ) could be calculated from the shift of the  $V_{\text{mg}}$  ( $\Delta V_{\text{mg}}$ ). Since we have the  $D_{\text{it}}$  distribution in Fig. 4, the increase of  $N_{\text{it}}$  ( $\Delta N_{\text{it}}$ ) is calculated by integrating the difference in  $D_{\text{it}}$  before and after irradiation. The extracted increase of  $V_{\text{hyst}}$  ( $\Delta V_{\text{hyst}}$ ),  $\Delta N_{\text{ot}}$ , and  $\Delta N_{\text{it}}$  are listed in Table 2. Since the  $\Delta N_{\text{ot}}$  of sample R is very low, the  $\Delta N_{\text{ot}}$  of the HfO<sub>2</sub> samples should distribute in the HfO<sub>2</sub> layer. It is noticed that the  $\Delta N_{\text{it}}$  of the sample B is 6 times lower than that of the sample A and is similar to that of the sample R. Sample B also exhibits less degradation of the hysteresis phenomenon. These results indicate that the chemical oxide interfacial layer improves radiation hardness effectively. There are two possible reasons. First, the growth of high quality ALD HfO<sub>2</sub> film requires OH surface group while the chemical oxide contains more OH groups [15]. Second, the low temperature growth of chemical oxide produces less interface stress and thus less strained Si–O bonds.

If the oxide trapped charges distribute in the gate dielectric, the threshold voltage shift ( $\Delta V_{\text{th}}$ ) due to  $\Delta N_{\text{ot}}$  scales with  $T_{\text{ox}}^{-n}$ , where  $n > 2$  for thin gate dielectric. According to the data of sample B in Table 2, the  $\Delta V_{\text{th}}$  is expected to be less than 25 mV as the thickness of the HfO<sub>2</sub> layer reduces to 2 nm. To verify this prediction, the state-of-the-art MOSFET with Hf-based gate dielectric and TiN metal gate is fabricated and its radiation hardness is evaluated. Fig. 6 shows the cross-sectional TEM micrograph of the MOSFET. The gate stack consists of a 0.4-nm-thick interfacial oxide, a 2-nm-thick Hf-based dielectric, and a thin TiN metal gate. Above TiN, there are barrier metal and low resistivity metal. The effective oxide thickness is 0.8 nm. Fig. 7 shows the current–voltage (*I*–*V*) characteristics of a MOSFET before and after EUV irradiation to a dose of 580 mJ/cm<sup>2</sup>. After EUV irradiation, the  $V_{\text{th}}$  decreases from 614 mV to 608 mV (~1%) but the subthreshold swing (SS) degrades from 69.9 to 74.2 mV/dec, i.e. about 6%. This result is consistent with the fact that the EUV generated interface traps are donor-like and distribute at the lower-half of the Si bandgap. The amount of the interface-state trapped charges changes with the surface potential as the device is in subthreshold region so that the SS degrades. At threshold voltage, all of the newly generated interface traps are neutral and do not affect the  $V_{\text{th}}$ . Only  $\Delta N_{\text{ot}}$  would contribute to the  $\Delta V_{\text{th}}$ . The Hf-based dielectric is only 2-nm-thick for this MOSFET, which is much thinner than the HfO<sub>2</sub> layer for the samples A, B, and C. In this case, the electron–hole pairs generated in the thin HfO<sub>2</sub>-based dielectric may escape to gate electrode or Si



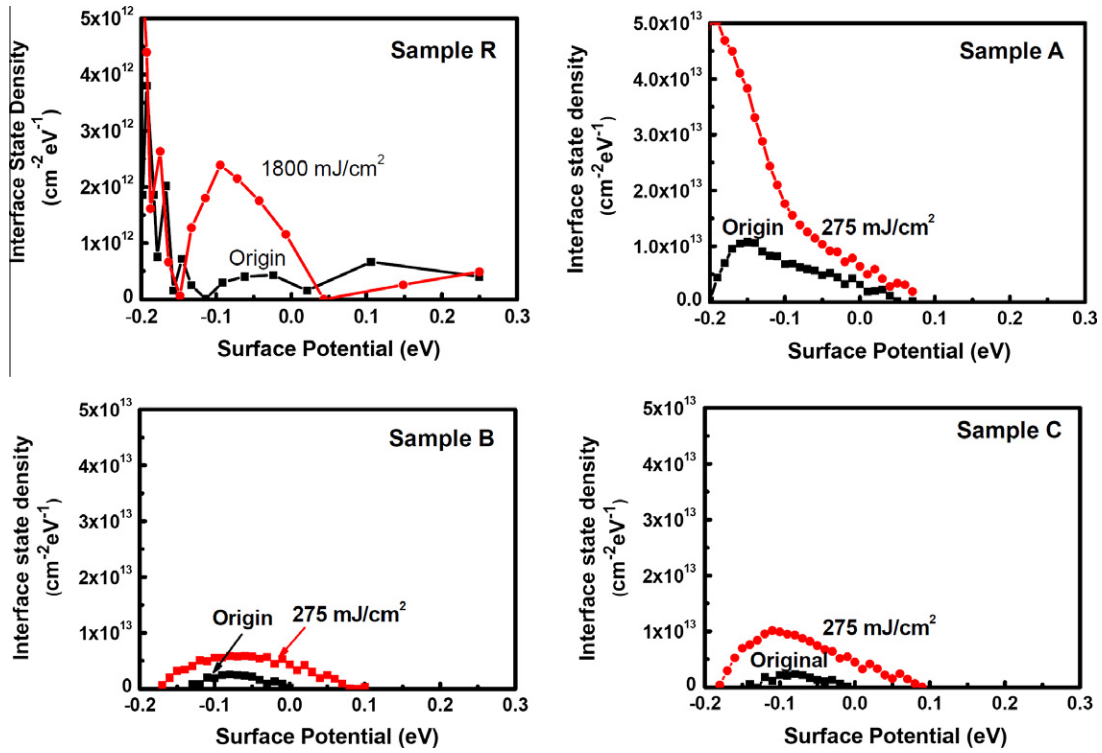


Fig. 5. Energy distribution of interface states extracted by the high frequency C–V method of the samples R, A, B, and C before and after EUV irradiation.

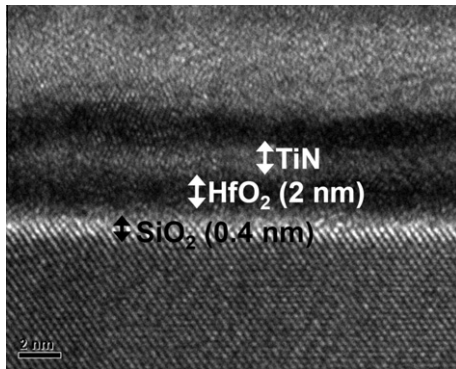


Fig. 6. High-resolution transmitted-electron-microscopic (HRTEM) image of the gate stack of the state-of-the-art MOSFET. The thickness of the interfacial chemical oxide is 0.4 nm, the thickness of the Hf-based gate dielectric is 2 nm. A 1.5 nm thick TiN is used to adjust the threshold voltage.

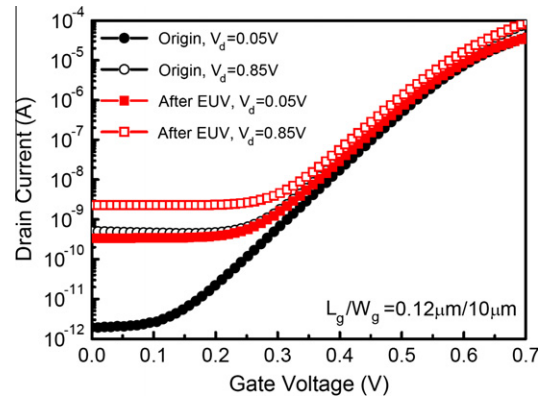


Fig. 7. Current–voltage characteristics of a MOSFET before and after EUV irradiation. The effective dose in HfO<sub>2</sub> is 580 mJ/cm<sup>2</sup>. Slight but acceptable degradation is observed even at such a high irradiation dose.

substrate so that the  $\Delta V_{th}$  is much lower than that estimated from the MIS capacitors [16].

The increase of the off-state current comes from the leakage path due to the oxide traps and interface traps generated by EUV irradiation along the isolation edge [17,18]. There are two possible cases. If the Si surface under the isolation is inverted by the positive oxide charges due to the EUV irradiation, a resistive conduction path connects drain and source. In this case the off-state current would show a linear  $V_{DS}$  dependence. If the positive charges do not invert the Si surface but the irradiation generate  $N_{it}$  at the isolation oxide/Si interface, the surface generation current arising from the interface states would show a  $\sqrt{V_d}$  dependence. Fig. 8 shows the off-state leakage current as a function of  $V_d$  at  $V_g = 0$  V. The non-linear characteristic implies that the increase of the off-state current is dominant by the surface genera-

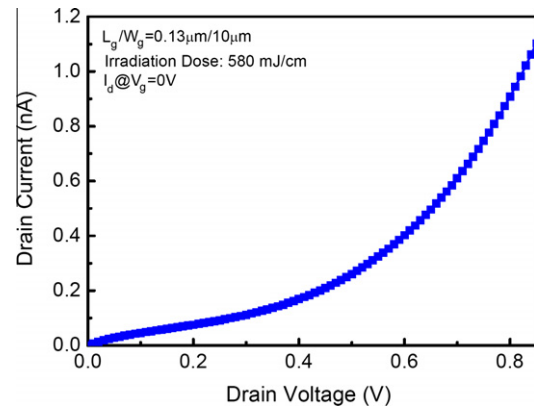


Fig. 8. Off-state leakage current as a function of drain voltage at VGS = 0 V. The non-linear I–V characteristic implies the leakage current is dominated by the surface generation current.

tion current arising from the of the EUV irradiation generated interface states. This phenomenon does not occur in the conventional optical lithography process but should be considered if EUV lithography is used.

#### 4. Conclusions

The effect of surface preparation on the radiation hardness of the  $\text{HfO}_2$  gate dielectric is studied. Three kinds of surface preparation method, HF-last, chemical oxidation, and rapid thermal oxidation, are evaluated. Although EUV irradiation would generate oxide traps, border traps, and interface traps on all samples, the amount of each kind of traps strongly depends on the surface preparation method. Chemical oxide provides a low stress oxide/Si interface and sufficient OH surface groups so that the increase of interface traps and border traps is minimized. Therefore, using a high quality interfacial layer such as chemical oxide can significantly reduce the generation of border traps and interface traps during irradiation. Fortunately, chemical oxide is the standard interfacial layer used in current MOSFETs with high- $k$  gate dielectric. Finally, the state-of-the-art MOSFET with a thin high- $k$  dielectric and a high quality  $\text{SiO}_2$ -like interfacial layer demonstrates acceptable radiation hardness. This is the first time to report the effect of EUV irradiation on the state-of-the-art MOSFET. However, EUV irradiation may generate oxide traps in the bulk of field oxide and interface traps at the field oxide/Si interface. These defects increase the off-state current apparently. This phenomenon does not occur in the conventional optical lithography process but should be considered if EUV lithography is used.

#### Acknowledgements

The authors would like to thank the National Nano Device Laboratory and the Nano Facility Center at National Chiao Tung University for the processing environment. The beamline used is at the National Synchrotron Radiation Research Center, Hsinchu, Taiwan, ROC. This work was supported in part by the Ministry of Education in Taiwan under ATU Program, and was supported in part by the National Science Council, Taiwan, ROC. under the Contract No. NSC 100-2120-M-009-010.

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