

A Dynamic Scaling FFT Processor for DVB-T Applications

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Abstract—This paper presents an 8192-point FFT processor for DVB-T systems, in which a three-step radix-8 FFT algorithm, a new dynamic scaling approach, and a novel matrix prefetch buffer are exploited. About 64 K bit memory space can be saved in the 8 K point FFT by the proposed dynamic scaling approach. Moreover, with data scheduling and pre-fetched buffering, single-port memory can be adopted without degrading throughput rate. A test chip for 8 K mode DVB-T system has been designed and fabricated using 0.18- μm single-poly six-metal CMOS process with core area of 4.84 mm². Power dissipation is about 25.2 mW at 20 MHz.

Index Terms—DVB-T, fast Fourier transform (FFT), orthogonal frequency division multiplexing (OFDM).

I. INTRODUCTION

FAST FOURIER TRANSFORM (FFT) and inverse fast Fourier transform (IFFT) are the key computational blocks in orthogonal frequency division multiplexing (OFDM) system. The long-size FFT is commonly adopted in OFDM system to increase transmission bandwidth or transmission efficiency, such as DVB-T (Digital Video Broadcasting—Terrestrial) [1], DAB (Digital Audio Broadcasting), VDSL (Very-high-speed Digital Subscriber Line), and other mobile applications. In order to increase transmission bandwidth or to increase transmission efficiency, a long-size FFT processor is needed in OFDM system. Today, there has been a lot of research work reported on short-size FFT processors, but there has been few on long-size FFT processors. On designing a long-size FFT processor except for considering its specs, one still has to consider its power consumption and hardware cost. The power dissipation of both data access in memory and operation of complex multipliers is more than 75% of total power consumption in an FFT processor [2]. The prefetch buffer based FFT processor with higher radix algorithm is suitable for long-size FFT because it reduces lots of data accesses and complex multiplications [3], [4]. But a suitable prefetch buffer scheme to ensure that multiple data can be read or written simultaneously and an efficient approach to implement higher radix algorithm with less hardware cost are needed. Fig. 1 shows the signal-to-quantization-noise ratio (SQNR) of different-size FFT in different wordlength. It can be clearly seen that more wordlength is needed to maintain the same SQNR in long-size FFT. The memory occupies lots of chip area and power consumption especially in long-size

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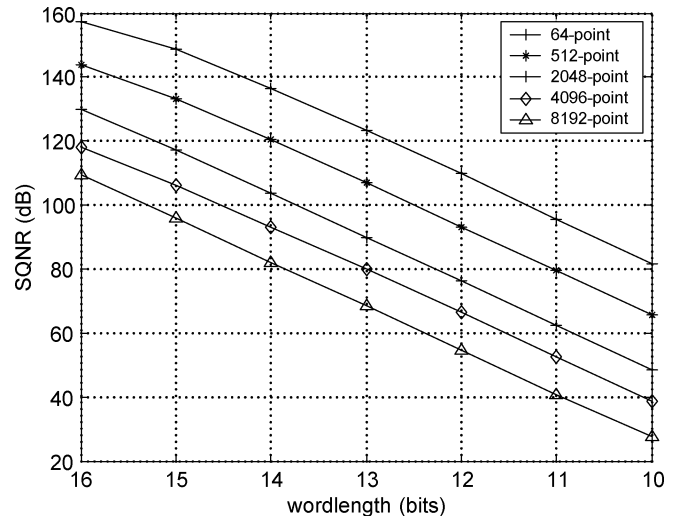


Fig. 1. SQNR of different-size FFT in different wordlengths.

FFT. The occupied area of the memory module is not only proportional to the number of stored data and wordlength but also proportional to the number of ports. Single-port memory is used by Wu *et al.* in FFT processors to reduce area significantly [5]. Unfortunately, its throughput becomes degraded due to stall operations in data access. Dynamic scaling approach can be used to increase SQNR and to reduce the wordlength in FFT processors [6]. In this paper, both a dynamic scaling approach and single-port memory structure will be exploited to reduce memory requirements without any throughput degradation. In addition, a novel three-level hierarchy memory with matrix prefetch buffer scheme and an efficient approach to implement radix-8 FFT will also be proposed to reduce power consumption.

II. ALGORITHM

The N -point Discrete Fourier Transform (DFT) of a sequence $x(n)$ is defined as

$$X(k) = \sum_{n=0}^{N-1} x(n)W_N^{nk}, \quad k = 0 \dots N-1 \quad (1)$$

where $x(n)$ and $X(k)$ are complex numbers. The twiddle factor is

$$W_N^{nk} = e^{-j\left(\frac{2\pi nk}{N}\right)} = \cos\left(\frac{2\pi nk}{N}\right) - j \sin\left(\frac{2\pi nk}{N}\right). \quad (2)$$

In (1), the computational complexity is $O(N^2)$ through directly performing the required computation. By using the FFT algorithm, the computational complexity can be reduced to $O(N \log_r^N)$, where r means the radix- r FFT. The radix- r FFT

can be easily derived from DFT by decomposing the N -point DFT into a set of recursively related r -point transform, if $x(n)$ is powers of r . There are two basic types of FFT algorithm: decimation in time (DIT) and decimation in frequency (DIF). Specifically, the DIT algorithm is to decompose $x(n)$ into smaller and smaller sequence, while the DIF algorithm is to decompose $X(k)$ in the same way. The radix-2 FFT algorithm is popular in FFT processor design since it has the simplest form in all FFT algorithms. But its computational complexity of complex multiplication is about double than that of radix-8 FFT algorithm in 8 K point FFT [4]. In order to save the number of complex multiplications, we choose radix-8 algorithm. Let

$$\begin{aligned} N &= 8^v \\ n &= n_1 + 8n_2, \quad \begin{cases} n_1 = 0 \dots 7, \\ n_2 = 0 \dots \frac{N}{8} - 1 \end{cases} \\ k &= \frac{N}{8}k_1 + k_2, \quad \begin{cases} k_1 = 0 \dots 7 \\ k_2 = 0 \dots \frac{N}{8} - 1. \end{cases} \end{aligned} \quad (3)$$

Using (3), (1) can be rewritten as

$$\begin{aligned} X\left(\frac{N}{8}k_1 + k_2\right) &= \sum_{n_1=0}^7 \sum_{n_2=0}^{\frac{N}{8}-1} x(n_1 + 8n_2) W_N^{(n_1+8n_2)(\frac{N}{8}k_1+k_2)} \\ &= \sum_{n_1=0}^7 \underbrace{\left\{ \sum_{n_2=0}^{\frac{N}{8}-1} x(n_1 + 8n_2) W_N^{n_2 k_2} \right\}}_{\frac{N}{8} \text{ point DFT}} \underbrace{\left\{ W_N^{n_1 k_2} \right\}}_{\text{twiddle factor}} \underbrace{\left\{ W_8^{n_1 k_1} \right\}}_{8 \text{ point DFT}}. \end{aligned} \quad (4)$$

Eq. (4) can be considered as a two-dimensional DFT. One is $N/8$ -point DFT and the other is 8-point DFT, as shown in Fig. 2. Then, by decomposing the $N/8$ -point DFT into the 8-point DFT recursively through $v - 1$ times, where v is equal to \log_8^N , we can complete the N -point radix-8 DIT FFT algorithm. In (4), an 8-point DFT, which is a basic operation unit, is called the butterfly, as shown in Fig. 3. A butterfly is also an essential arithmetic component, which is called a butterfly unit (BU) in an FFT processor, in hardware implementation. In Fig. 3, it is clearly seen that seven complex multipliers (28 real multipliers) are needed in a BU by directly mapping approach to implement 8-point DFT. Thus, radix-8 FFT algorithm is seldom used in single-memory FFT architecture, because the hardware cost of its BU is too high to implement. In order to implement radix-8 FFT algorithm more efficiently, following the radix-2³ DIF FFT algorithm proposed by He and Torkelson [7] we further decompose butterfly of radix-8 DIT FFT algorithm into three steps and apply the radix-2 index map to the radix-8 butterfly.

Eq. (4) can also be written as

$$X\left(\frac{N}{8}k_1 + k_2\right) = \sum_{n_1=0}^7 \left\{ \text{BU}_{\frac{N}{8}}(n_1, k_2) W_N^{n_1 k_2} \right\} W_8^{n_1 k_1} \quad (5)$$

where

$$\text{BU}_{\frac{N}{8}}(n_1, k_2) = \sum_{n_2=0}^{\frac{N}{8}-1} x(n_1 + 8n_2) W_N^{n_2 k_2}. \quad (6)$$

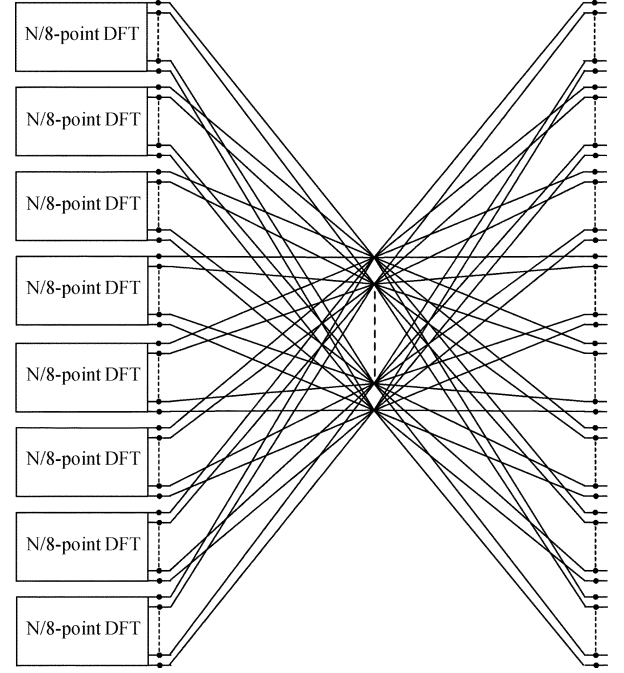


Fig. 2. Signal flow graph (SFG) of radix-8 FFT algorithm.

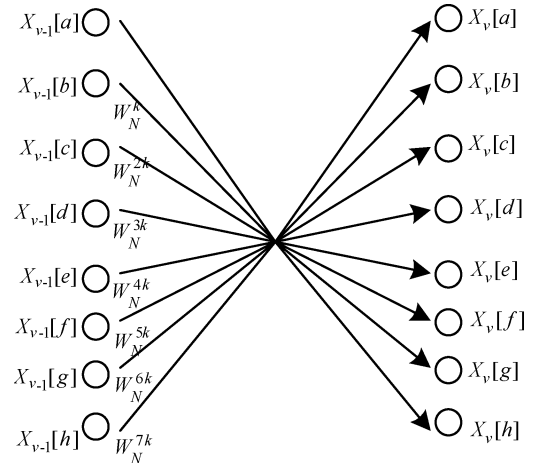


Fig. 3. Butterfly of radix-8 FFT.

Applying a three-dimensional linear index map, n_1 and k_1 can be defined as

$$\begin{aligned} n_1 &= \gamma_1 + 2\gamma_2 + 4\gamma_3 \quad \gamma_1, \gamma_2, \gamma_3 \in \{0, 1\}, \\ k_1 &= 4\nu_1 + 2\nu_2 + 1\nu_3 \quad \nu_1, \nu_2, \nu_3 \in \{0, 1\}. \end{aligned} \quad (7)$$

By means of (7), (5) has the following form:

$$\begin{aligned} X\left(\frac{N}{2}\nu_1 + \frac{N}{4}\nu_2 + \frac{N}{8}\nu_3 + k_2\right) &= \sum_{\gamma_3=0}^1 \sum_{\gamma_2=0}^1 \sum_{\gamma_1=0}^1 \left\{ \text{BU}_{\frac{N}{8}}(\gamma_1, \gamma_2, \gamma_3, k_2) W_N^{(\gamma_1+2\gamma_2+4\gamma_3)k_2} \right\} \\ &\quad \times W_8^{(\gamma_1+2\gamma_2+4\gamma_3)(4\nu_1+2\nu_2+\nu_3)}. \end{aligned} \quad (8)$$

The twiddle factor in (8) is

$$\begin{aligned} W_8^{(\gamma_1+2\gamma_2+4\gamma_3)(4\nu_1+2\nu_2+\nu_3)} &= W_8^{4\gamma_1\nu_1} W_8^{2\gamma_1\nu_2} W_8^{4\gamma_2\nu_2} W_8^{(\gamma_1+2\gamma_2)\nu_3} W_8^{4\gamma_3\nu_3}. \end{aligned} \quad (9)$$

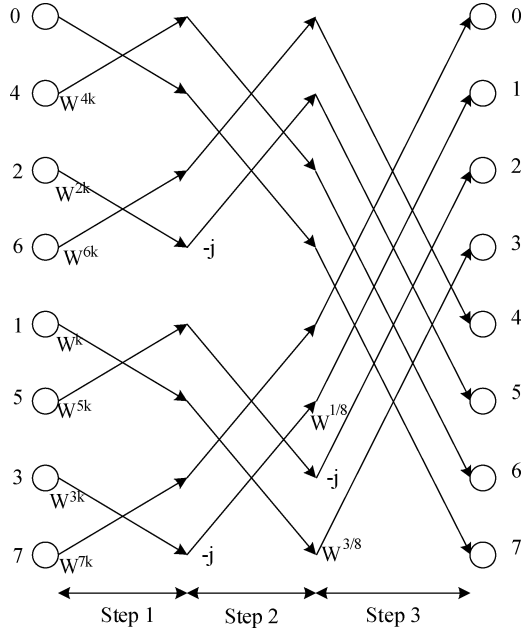


Fig. 4. Butterfly of three-step radix-8 FFT.

Using (9), (8) becomes the equation shown at the bottom of the page, where

$$TU_{\frac{N}{8}}(\gamma_1, \gamma_2, \gamma_3, k_2) = BU_{\frac{N}{8}}(\gamma_1, \gamma_2, \gamma_3, k_2)W_N^{(\gamma_1+2\gamma_2+4\gamma_3)k_2}. \quad (11)$$

In (10), we use the radix-2 index map to divide the 8-point DFT into three (\log_2^8) steps. Fig. 4 shows the butterfly of the three-step DIT radix-8 FFT. The twiddle factors, W_8^1 and W_8^3 , at the third step are trivial complex multiplications, because they can be written as $\sqrt{2}/2(1-j)$ and $-(\sqrt{2}/2(1+j))$, respectively. Thus, a complex multiplication with one of the two coefficients can be computed using additions and a real multiplication, whose hardware can be realized by six shifters and four

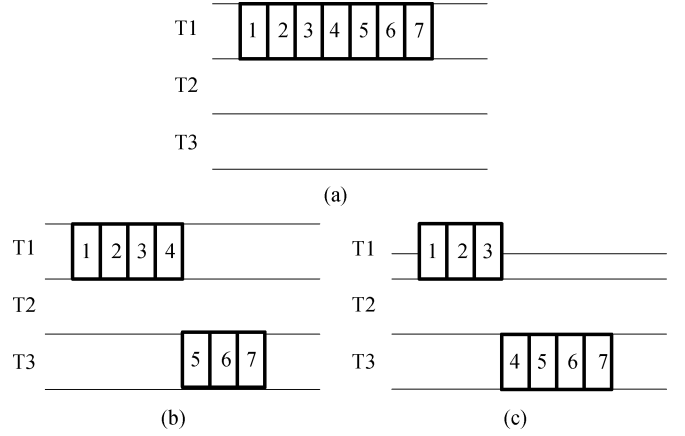


Fig. 5. Scheduling of the complex multiplications: (a) before scheduling, (b) and (c) after scheduling.

adders [8]. The butterfly of the three-step DIT radix-8 FFT algorithm is similar to the 8-point radix-2 FFT algorithm. But the number of complex multiplications used in the former algorithm is only 7/12 of that used in the latter algorithm. All the complex multiplications are performed in the first time slot in three-step DIT radix-8 FFT algorithm, as shown in Fig. 5(a), which is the scheduling of complex multiplication in three-step radix-8 FFT. In Fig. 5(a), T1, T2, and T3 mean the time slot of each step in the butterfly of three-step radix-8 FFT algorithm and the rectangle means complex multiplications in each time slot. In order to balance complex multiplications in three time slots in the butterfly and to minimize the number of complex multipliers, we propose a rescheduling method in three-step radix-8 FFT algorithm. Take an example for $N = 64$ radix-8 DIT FFT. In the traditional radix-8 FFT, there are two stages (\log_8^N), each stage contains eight butterflies ($N/8$), and all twiddle factors are at the second stage. Now we can move some twiddle factors from the second stage to the first stage, as shown in Fig. 6. The black

$$\begin{aligned}
 & X \left(\frac{N}{2}\nu_1 + \frac{N}{4}\nu_2 + \frac{N}{8}\nu_3 + k_2 \right) \\
 &= \sum_{\gamma_3=0}^1 \sum_{\gamma_2=0}^1 \sum_{\gamma_1=0}^1 \left\{ BU_{\frac{N}{8}}(\gamma_1, \gamma_2, \gamma_3, k_2) W_N^{(\gamma_1+2\gamma_2+4\gamma_3)k_2} \right\} \\
 & \quad \times W_8^{4\gamma_1\nu_1} W_8^{2\gamma_1\nu_2} W_8^{4\gamma_2\nu_2} W_8^{(\gamma_1+2\gamma_2)\nu_3} W_8^{4\gamma_3\nu_3} \\
 &= \sum_{\gamma_3=0}^1 \sum_{\gamma_2=0}^1 \sum_{\gamma_1=0}^1 \left\{ TU_{\frac{N}{8}}(\gamma_1, \gamma_2, \gamma_3, k_2) \right\} \\
 & \quad \times W_8^{4\gamma_1\nu_1} W_8^{2\gamma_1\nu_2} W_8^{4\gamma_2\nu_2} W_8^{(\gamma_1+2\gamma_2)\nu_3} W_8^{4\gamma_3\nu_3} \\
 &= \sum_{\gamma_1=0}^1 \sum_{\gamma_2=0}^1 \sum_{\gamma_3=0}^1 \\
 & \quad \times \left\{ \underbrace{\left\{ TU_{\frac{N}{8}}(\gamma_1, \gamma_2, \gamma_3, k_2) W_2^{\gamma_1\nu_1} W_4^{\gamma_1\nu_2} W_2^{\gamma_2\nu_2} \right\}}_{\text{1st step}} \right\} W_8^{(\gamma_1+2\gamma_2)\nu_3} W_2^{\gamma_3\nu_3} \\
 & \quad \underbrace{\left. \right\}}_{\text{2nd step}} \\
 & \quad \underbrace{\left. \right\}}_{\text{3rd step}}
 \end{aligned} \quad (10)$$

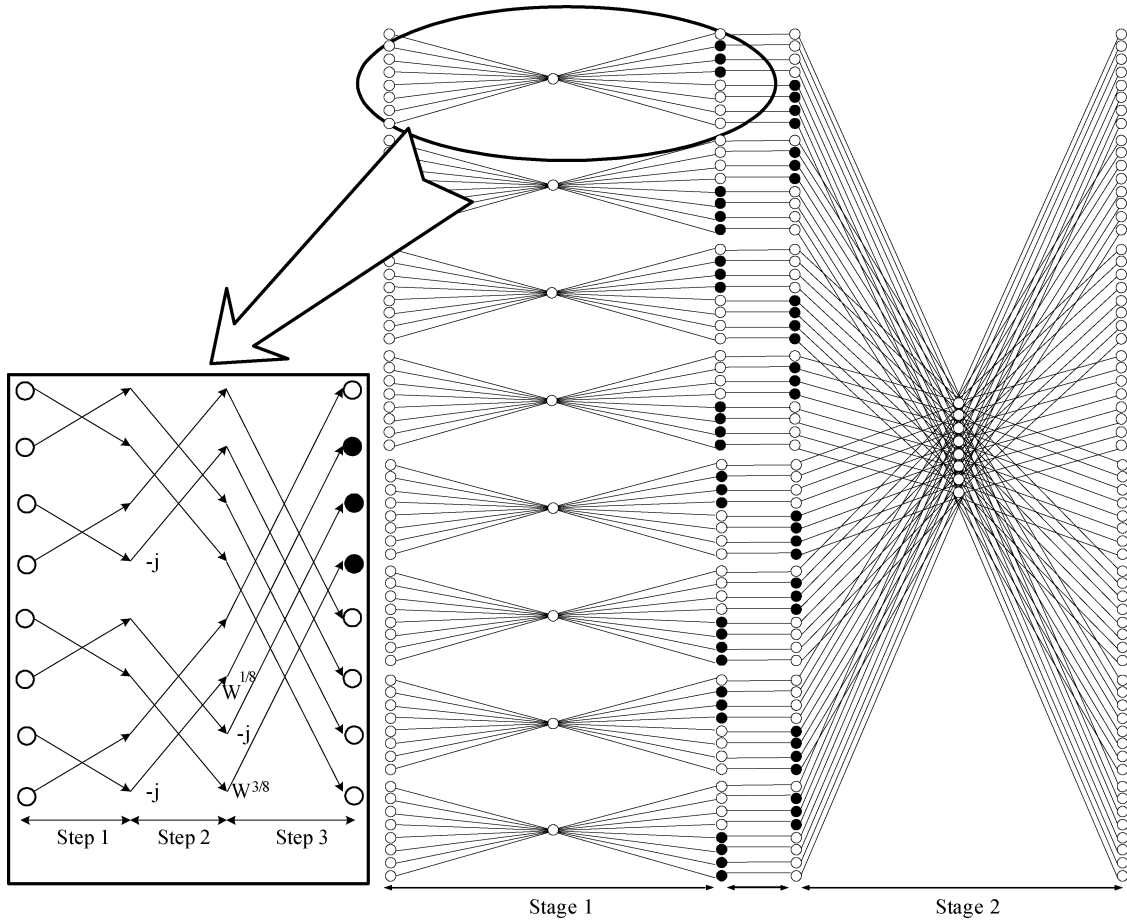


Fig. 6. SFG of the rescheduling algorithm in a 64-point DIT FFT algorithm.

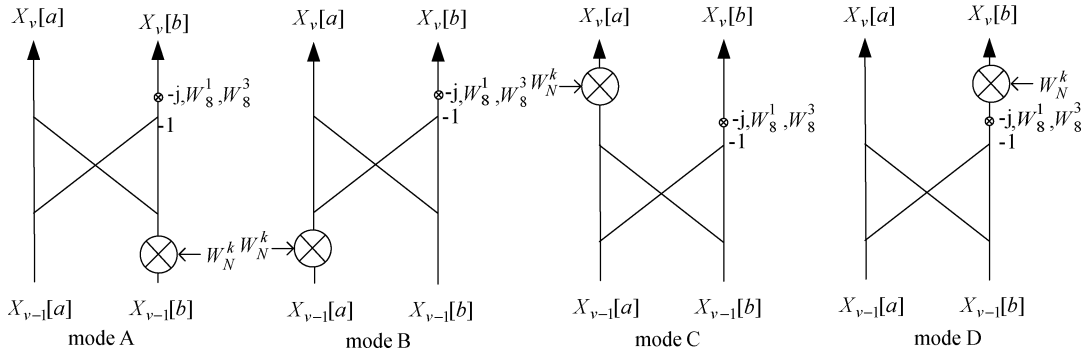


Fig. 7. Operation modes of the BU in rescheduling approach.

point in Fig. 6 means to multiply the twiddle factor at that point. In the three-step radix-8 FFT, each butterfly is divided into three steps, as shown in Fig. 4 and all removed twiddle factors are at the third step. Thus, there are at most four complex multiplications in each time slot of the butterfly and only four complex multipliers are needed in the three-step radix-8 butterfly after rescheduling. Fig. 5(b) and (c) shows the scheduling of the complex multiplication after rescheduling.

Some operation modes need to be added in Fig. 7 since the twiddle factors are located at both the first and the third steps of the butterfly under the rescheduling approach. The operation modes, modes A and B, are operated at the first step of the butterfly, and the other two operation modes, modes C and D, are at

the third step of the butterfly. In order to let eight data in the processor operate in the same mode at each step of the butterfly to reduce operation complexity, we propose a rescheduling algorithm for N -point FFT as below. We then define the following.

- The stage of N -point FFT is from 1 to $L(\log_8^N)$.
- The group number in the L th stage is from 0 to $(N/8^L)-1$.
- The butterfly number of each group in the L th stage is from 0 to $8^{(L-1)} - 1$.
- BU_1 is the operation mode in the first step of the butterfly.
- BU_3 is the operation mode in the third step of the butterfly.

The rescheduling algorithm is described in detail in Fig. 8.

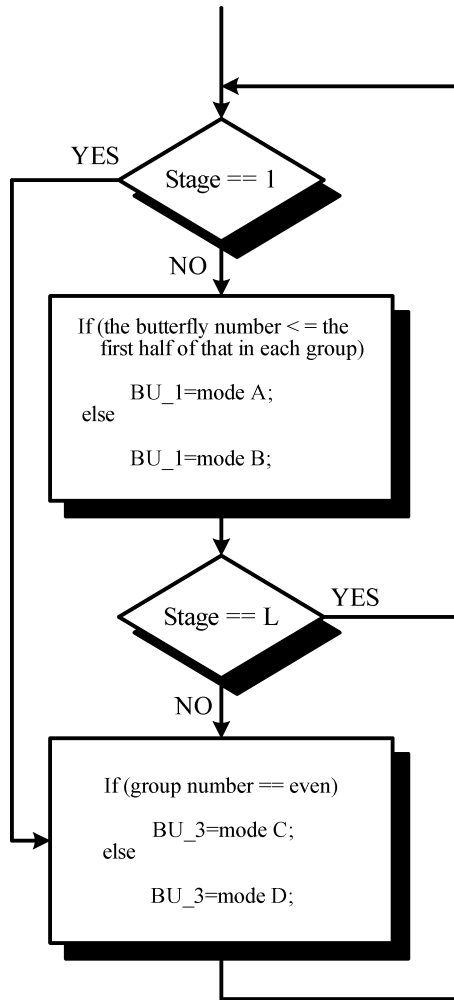


Fig. 8. Rescheduling algorithm.

A. Dynamic Scaling Approach

In order to maintain data accuracy in fixed-point FFT, the internal wordlength of the FFT processor is usually larger than the wordlength of input data to achieve a higher SQNR, especially in a long-size FFT processor. The block-floating point (BFP), which is one of the dynamic scaling approaches, is usually used in FFT processors to minimize the quantization error. In the traditional BFP, the largest value is detected and all computational results are scaled by a scale factor in stage N before starting the calculations of the stage $N + 1$ [6].

1) *Proposed Approach*: A new BFP approach, which can be implemented by a prefetch buffer based FFT processor, is proposed. It improves SQNR dramatically by increasing the number of the scale factor and block in the FFT algorithm. Fig. 9 shows an example for the block size having four points in 16-point FFT. The scale factor is determined when the operation of each block is finished. The data in the block are scaled before starting to operate the next block. All scale factors need to be stored in a table and used when data are operated.

2) *Simulation*: Signal processing quality of the three data representations, including fixed point, traditional block-floating point, and the proposed approach is simulated. Because SQNR

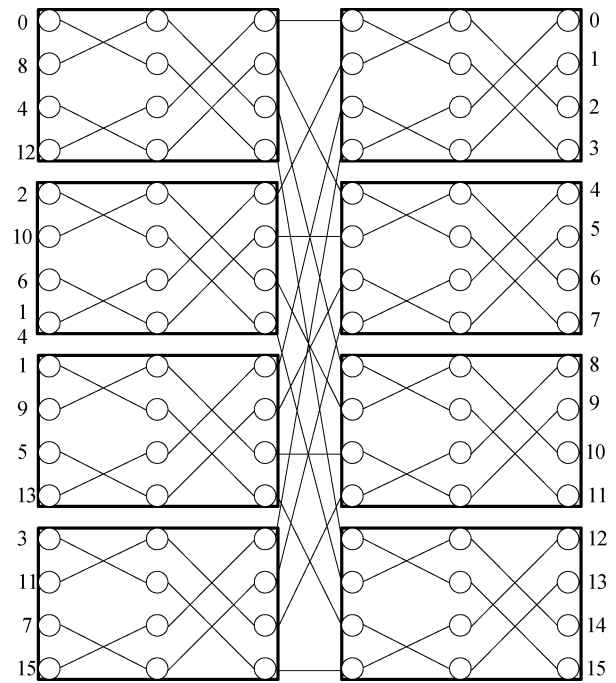


Fig. 9. Proposed block-floating point approach.

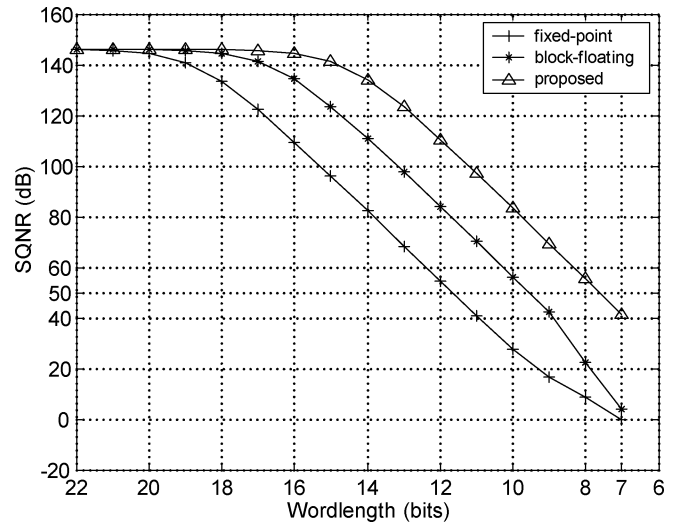


Fig. 10. SQNR for 8 K point FFT with different data representations.

is highly dependent on input data, we build up a system platform for 8 K mode DVB-T system and all data are generated by this platform. The block size of our approach is 64 points. It is clearly seen that our proposed approach can minimize quantization error efficiently and provide much higher SQNR than the others at the same bit rate, as shown in Fig. 10.

The performance of our proposed dynamic scaling FFT algorithm approach is evaluated with an 8 K mode DVB-T system based on different conditions. We consider the case of 16 QAM and 64 QAM with code rate 7/8 and 2/3 in AWGN channel. Bit error rate (BER) plotted against carrier-to-noise ratio (CNR) is shown in Fig. 11. Because higher SQNR can be provided in our approach, less wordlength is needed in an FFT processor. Through the complete DVB-T system simulation,

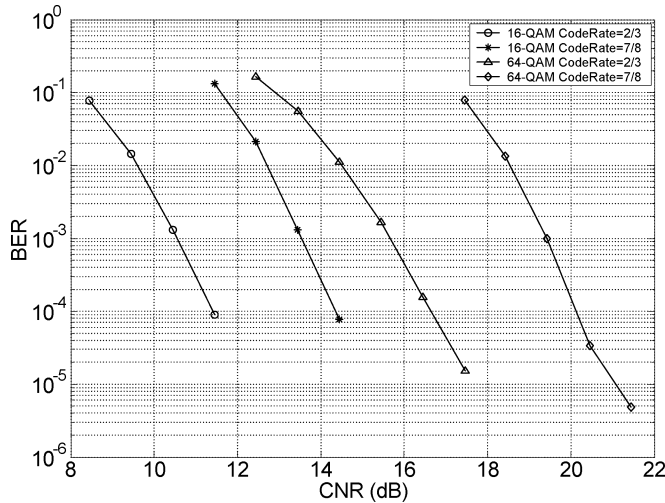


Fig. 11. Performance of 8 K mode DVB-T system after Viterbi decoding in AWGN channel.

the wordlength of the real and imaginary parts has about 4 bits less than that of the fixed-point when BER meets the 8 K mode DVB-T standard. So about 64 K ($= 4 \times 8 \text{ K} \times 2$, for both real and imaginary parts) bits of memory capacity can be saved by this approach.

III. ARCHITECTURE

For designing a low-power FFT processor, it is important to minimize memory access, which dominates the power consumption in FFT processors. The three-level memory architecture is proposed in our FFT processor to increase energy efficiency. A block diagram of the proposed FFT architecture is shown in Fig. 12. The first level is main memory which is divided into eight banks to allow concurrent accesses of multiple data and its size is 8 K points. The matrix prefetch buffer with 64 points, which is the second level, is designed for radix-8 FFT algorithm. The third level is the buffer, consisting of buffer 1 and buffer 2, each of which is 8 points. Through an appropriate scheduling among three-level memories, single-port memory can be used in the first and second level without any throughput rate degradation. Besides, the wordlength can be minimized by using our proposed dynamic scaling approach.

The BU is the kernel of the arithmetic unit in an FFT processor, as shown in Fig. 12. It includes a complex multiplier, a trivial multiplier dealing with $-j$, W_8^1 , W_8^3 , a complex adder/subtractor, and multiplexers. ROM is used to store twiddle factors. Only 1/8 period of cosine and sine waveforms are stored in ROM and the other period waveforms can be reconstructed by these stored values. Data are multiplied by the twiddle factors, when they are read from or written into the buffers. The data in buffers need three cycles in BUs to implement the three-step radix-8 FFT algorithm. The scale factor table is to store the scale factor of each block. The number of block number is 128 in our proposed 8 K point FFT architecture. Each scale factor has 4 bits. So the size of the scale factor table is 4×128 bits. The function of the normalized unit is used to scale the data before they are stored in memory. The memory space increased by using the scale factor table

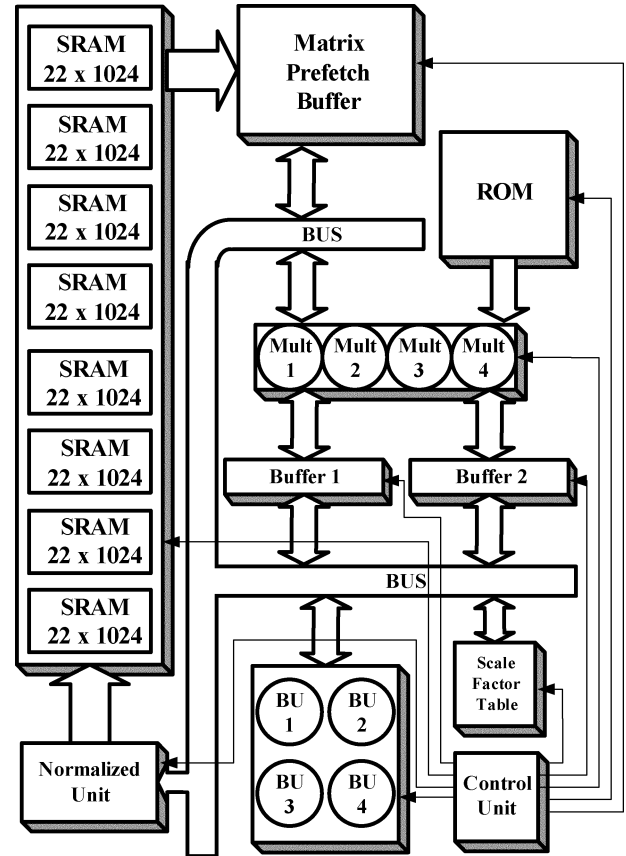


Fig. 12. Block diagram of the proposed FFT architecture.

and normalized unit is much less than that saved by using the proposed dynamic scaling approach.

A. Matrix Prefetch Buffer

The architecture of the prefetch buffer depends on the FFT algorithm. In order to ensure that lots of data in the prefetch buffer can be read or written simultaneously, higher banked memory in the prefetch buffer architecture is needed in higher-radix FFT algorithm. As well, two prefetch buffers are needed in the FFT processor to avoid stall in the BUs. While one is operating with BU, the other is exchanging data with memory and *vice versa* [3]. Using both three-step radix-8 FFT algorithm and data scheduling method, only one prefetch buffer is needed in our design.

The proposed matrix prefetch buffer scheme is shown in Fig. 13. Columns 0~7 are eight butterflies of the first stage and rows 0~7 are eight butterflies of the second stage, as shown in Fig. 6. Eight data in the matrix prefetch buffer are read or written simultaneously in the horizontal or vertical direction each time.

When data have been completely loaded from memory in order, the FFT processor starts to implement 64 points FFT with three-step radix-8 FFT algorithm. At the first stage, data are loaded into buffers in the direction of column in order, as presented in Fig. 13(a). All computed data are restored to the same addresses in the matrix prefetch buffer. At the second stage, data are loaded into the buffers in the row direction. After data are operated in buffers, they will wait for scaling in the normalized

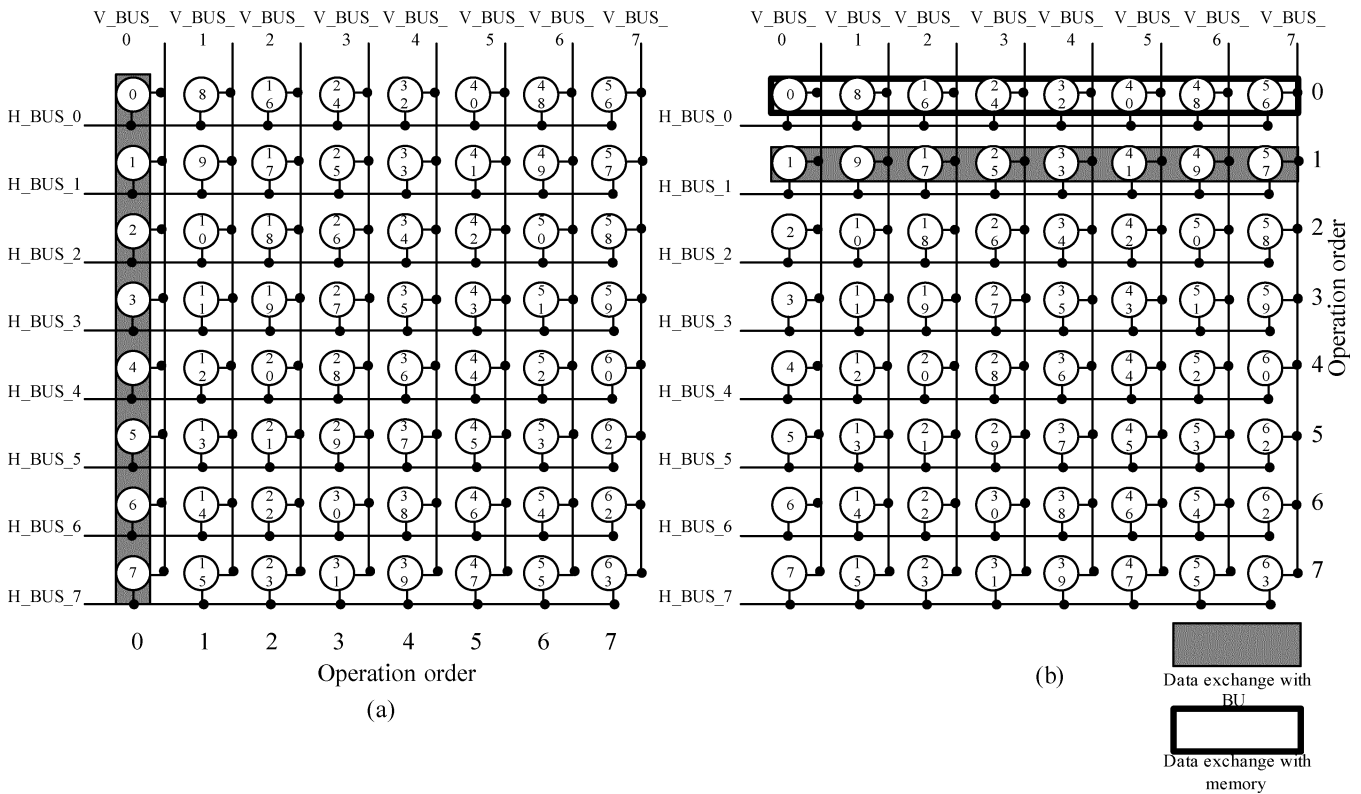


Fig. 13. (a) Operation of the matrix prefetch buffer at the first stage. (b) Operation of the matrix prefetch buffer at the second stage.

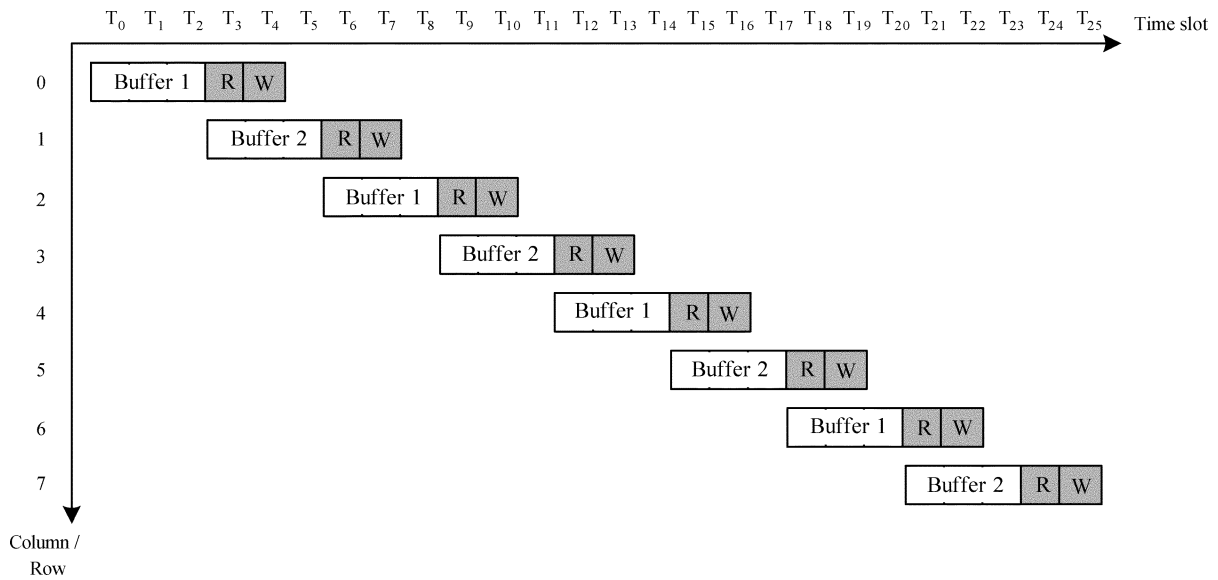


Fig. 14. Scheduling of the data in the matrix prefetch buffer operated with BUs and exchanged with memory.

unit. When the data of row 0 have been loaded into the normalized unit, new data will be loaded into row 0 from the memory, as shown in Fig. 13(b). In the next 64-point, the direction of the first stage will change to row direction because the direction of the updated data is in row direction.

B. Memory Capacity

The main memory occupies large chip area in the long-size FFT processor. The total memory size in our design is up to

176 K bit, consisting of 11-bit real and imaginary parts. Fig. 14 shows scheduling of the data in the buffers operated with BU and exchanged with prefetch buffer if single-port memory is adopted. In this figure, the white rectangle is the operational time of the data in buffers. The gray rectangle is the time spent in exchanging the data in the matrix prefetch buffer or loaded into the normalized unit. It can be clearly seen that there is no stall in this scheduling. Similarly, data are loaded from the first level into the second level at the second stage of 64-point FFT and they are restored to the first level from the normalized unit

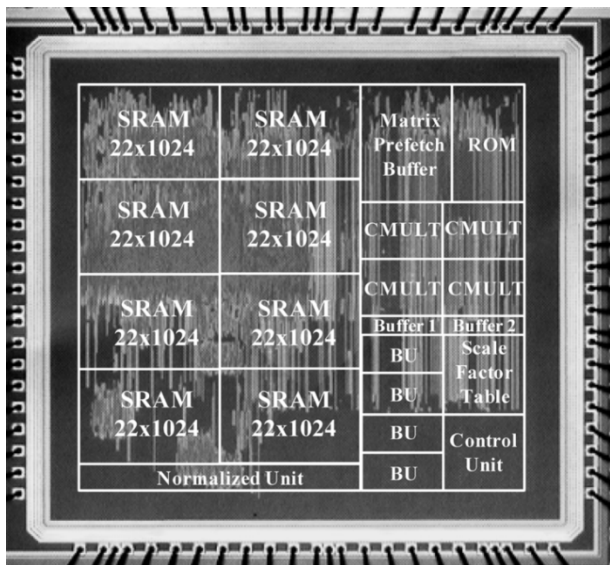


Fig. 15. Microphoto of the proposed FFT processor.

TABLE I
CHIP SUMMARY IN OUR PROPOSED FFT PROCESSOR

Items	Specification
FFT size	8 K point
Process Technology	0.18 μm 1p6m
Package	128 CQFP
Supply Voltage	3.3 V/1.8V
Max Work Frequency	56 MHz
Memory Size	8192 \times 22 bit
Execution Time	717.35 μs
Core Power Consumption	25.2 mW @ 20 MHz
Core Size	2.26 \times 2.26 mm ²

at the first stage of 64-point FFT. Thus, the single-port memory can be used without degrading throughput rate.

IV. CHIP IMPLEMENTATION

Before VLSI implementation, we build up a MATLAB model which includes our proposed three-step radix-8 FFT with rescheduling algorithm, dynamic scaling approach, and matrix prefetch buffer scheme to verify our algorithm, and we figure out that the optimal bit number for data representation is 11 bits in our FFT processor according to the whole DVB-T system simulation.

This 8 K point FFT test chip is fabricated in 0.18- μm one-poly six-metal CMOS process. The core size is 2.26 mm \times 2.26 mm. The chip microphoto is shown in Fig. 15. The 92-pin chip is packaged in 128-pin CQFP package, where 40 pins are power pin and 52 pins are signal pin. Table I lists the chip summary and some measurement results. It completes the 8 K point FFT in 717.35 μs with power dissipation of 25.2 mW at 20 MHz which meets DVB-T standard (i.e., 896 μs in 8 K mode). Table II shows a summary of the features of three 8 K point FFT processors. The normalized indexes for power consumption and chip area,

TABLE II
FEATURE COMPARISON

	Proposed	[9]	[8]
Technology	0.18 μm	0.5 μm	0.6 μm
Word length	2 \times 11 bit	2 \times 12bit	2 \times 12bit
Supply Voltage	3.3/1.8 V	3.3 V	3.3 V
Clock rate	20 MHz	20 MHz	20 MHz
8 K-point FFT	717.35 μs	400 μs	409 μs (estimated)
Power dissipation	25.2 mW	600 mW	650 mW
Core area	4.84 mm ²	100 mm ²	107 mm ²
FFTs/Energy*	55.32	11.57	12.54
Normalized Area*	4.84	12.96	9.63

* Based on the formulation proposed by Bass [3].

FFTs per energy and normalized area, are defined respectively by the following relations [3]:

$$\frac{\text{FFTs}}{\text{Energy}} = \frac{\text{Technology}/0.18 \mu\text{m}}{\text{Power} \times \text{Execution Time} \times 10^3} \quad (12)$$

$$\text{Normalized Area} = \frac{\text{Area}}{(\text{Technology}/0.18 \mu\text{m})^2} \quad (13)$$

where FFTs per energy are the number of 8 K complex FFTs calculated per unit of energy normalized to 0.18- μm technology, and the normalized area is the chip area normalized to the same technology. Compared with other 8 K point FFT processors, our proposal achieves better power dissipation index with much less area.

V. CONCLUSION

An 8 K point FFT processor for DVB-T system has been designed, fabricated, and tested in 0.18- μm CMOS process. The proposed FFT processor consists of three-step radix-8 FFT algorithm, new dynamic scaling, and matrix prefetch buffer scheme. Besides, a single port memory with minimal wordlength is adopted in our design without degrading throughput rate. Test results show that both area and power dissipation can be saved a lot compared to available solutions.

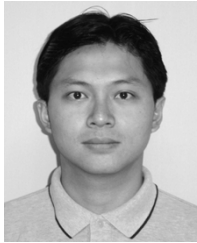
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