

國立交通大學

材料科學與工程學研究所

博士論文

錫銀銅無鉛鉚錫電遷移之研究

**Study of Electromigration in Lead-Free**

**SnAg<sub>3.8</sub>Cu<sub>0.7</sub> Solder**



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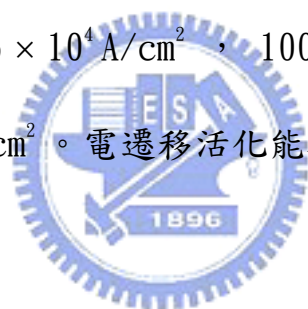
## 摘要

在積體電路的銅或鋁導線中所施加的電流密度若高於  $10^6 \text{A/cm}^2$ ，此電流密度在元件操作溫度約攝氏 100 度的環境下將在陰極造成孔洞與陽極產生凸起。此種電遷移所造成的破壞將對積體電路可靠度造成嚴重的影響。在現今的積體電路設計中每一個覆晶封裝的微接點將承載 0.2 安培的電流，而在未來電流值將會以倍數成長，而覆晶微接點的尺寸將由  $100 \mu\text{m}$  減小至  $50 \mu\text{m}$ ，屆時電流密度將到達  $10^6 \text{A/cm}^2$  此電流密度下將對覆晶微接點造成電遷移破壞。此外，在無鉛話的趨勢下傳統錫鉛覆晶微接點將被無鉛覆晶微接點所取代。

本研究主要利用覆晶微接點結構試片與 Blech structure 來研究無鉛錫銀銅錒錫之電遷移行為。在錫銀銅覆晶微接點研究方面，我們發現破壞發生在陰極的晶片端且造成接點破壞之主要原因為電流擁擠效應所造成，且在較低之電流密度下破壞發生於介金屬與錒錫之界面處。此外 UBM 中的銅原子在電子流驅動被下形成介金屬化合物堆積

於界面中。

在 Blech structure 研究中我們成功的找出錫銀銅鉛錫之門檻電流密度，其值分別為在 80 °C 下為  $4.3 \times 10^4 \text{ A/cm}^2$ ，100 °C 下為  $3.2 \times 10^4 \text{ A/cm}^2$ ，120 °C 下  $1.4 \times 10^4 \text{ A/cm}^2$ ，這些數值代表錫銀銅鉛錫在該溫度下不會造成電遷移破壞之最高電流密度。錫銀銅鉛錫之電遷移活化能在 80 °C 到 120 °C 區間為 0.45eV。而其有效電荷系數  $Z^*$  在 80°C，100°C，120°C 分別為 -27，-33，-23。此外試片經過退火後發現晶格擴散與晶界擴散具明顯溫度界限。其門檻電流密度值分別為在 80 °C 下為  $4.6 \times 10^4 \text{ A/cm}^2$ ，100 °C 下為  $3.9 \times 10^4 \text{ A/cm}^2$ ，120 °C 下  $2.2 \times 10^4 \text{ A/cm}^2$ 。電遷移活化能在 100 °C 到 140 °C 區間為 0.8eV。



**Abstract of the Dissertation**

**Study of Electromigration in Lead-Free**

**SnAg<sub>3.8</sub>Cu<sub>0.7</sub> Solder**

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Electromigration-induced failure of SnAg<sub>3.8</sub>Cu<sub>0.7</sub> flip-chip solder joints was investigated with Ti/Cr-Cu/Cu under-bump metallization (UBM) on the chip side and Cu/Ni(P)/Au pad on the BT board side. Electromigration damage was examined under the current density of  $2 \times 10^4$  A/cm<sup>2</sup> at 100 °C and 150°C. The failure was found to be at the cathode/chip side and voids were observed at intermetallic compound/solder interface at the cathode chip side. Copper atoms were found to move in the direction of electron flow to form intermetallic compounds at the interface of the solder and the pad metallization on the substrate side due to current stressing.

In addition, eutectic SnAg<sub>3.8</sub>Cu<sub>0.7</sub> solder stripes was investigated in the vicinity of the device operation temperature of 100 °C by using the edge displacement technique. Measurements were made for relevant parameters for electromigration of the solder, such as drift velocity, threshold current density, activation energy, as well as the product of diffusivity and effective charge number (DZ\*). The threshold current densities were estimated to be  $4.3 \times 10^4$  A/cm<sup>2</sup> at 80 °C,  $3.2 \times 10^4$  A/cm<sup>2</sup> at 100 °C, and  $1.4 \times 10^4$  A/cm<sup>2</sup> at 120 °C. These values represent the maximum current densities that the SnAg<sub>3.8</sub>Cu<sub>0.7</sub> solder can carry without electromigration damage at the three stressing temperatures. The electromigration activation energy was determined to be

0.45eV in the temperature range of 80°C to 120°C. The measured products of diffusivity and the effective charge number,  $DZ^*$ , were  $-1.8 \times 10^{-10} \text{ cm}^2/\text{sec}$  at 80°C,  $-5.0 \times 10^{-10} \text{ cm}^2/\text{sec}$  at 100°C, and  $-7.2 \times 10^{-10} \text{ cm}^2/\text{sec}$  at 120°C. In the pre-annealing specimens, grain boundary and lattice diffusion have a different temperature dependence range from 80°C to 140°C. The threshold current densities were measured to be  $4.6 \times 10^4 \text{ A/cm}^2$  at 80 °C,  $3.9 \times 10^4 \text{ A/cm}^2$  at 100 °C, and  $2.2 \times 10^4 \text{ A/cm}^2$  at 120 °C. The measured activation energy was 0.8eV for the temperature ranges from 100 to 140°C.



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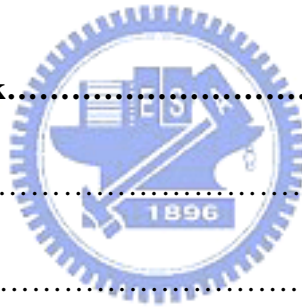
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## Chapter 1: Introduction

### 1-1 Overview of electronic packaging

Since the first integrated circuit (IC) was invented by Jack Kilby and Robert Noyce in 1958, the IC revolutionized the life of humans in the 20<sup>th</sup> century. With continued demand for better performance, the electronics industry has been forcing more and more circuitry onto a silicon chip. As the circuit density increases on the chip, the speed of functions it performs increases; however, a chip is not an isolated island, it must communicate with the other IC chips in a device through an input/output (I/O) system of interconnects. Furthermore, the IC chips and its embedded circuitry are delicate, requiring a package both to carry and protect it. Therefore, without electronic packaging, the integrated circuit chip alone is functionless.

A typical microelectronic package is designed to provide the following structures and functions:

- (1) Connections for signal lines leading onto and off the silicon chip.
- (2) Connections for providing electrical current that powers the circuits on the chip.
- (3) A means of removing the heat generated by the circuit.
- (4) A structure to support and protect the chip.
- (5) A wiring structure for signal and power interconnections within a system and for input/output [1].

Figure 1-1 illustrates microelectronic package conventional hierarchy [2]; the layers of packaging are described as follows,

Level 0: chip level connections, i.e., gate to gate interconnections on the chip.

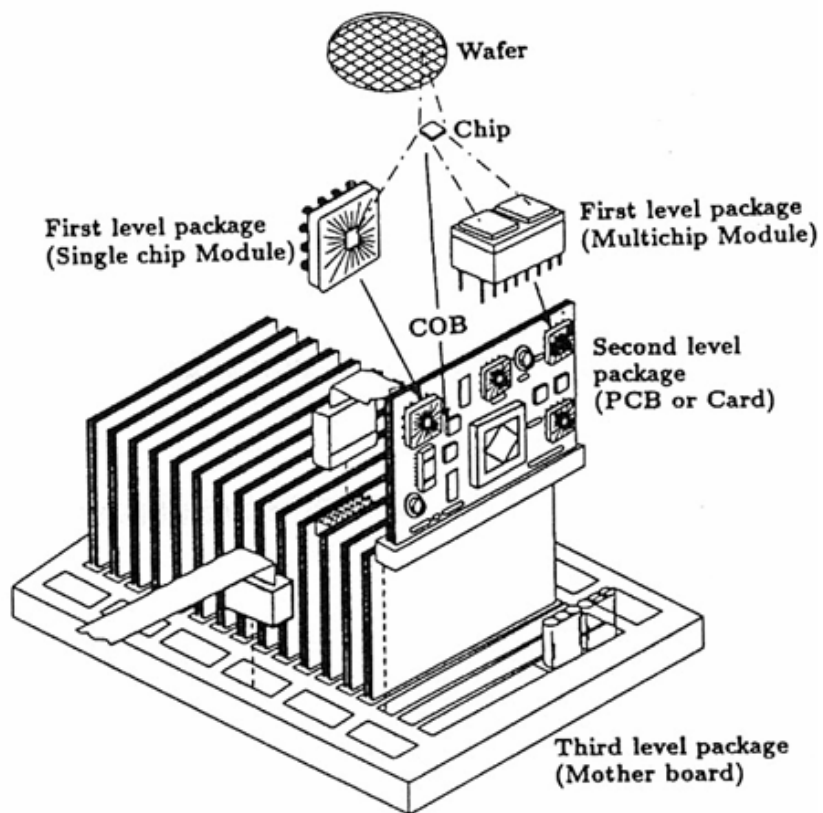


Figure 1-1: Conventional hierarchy of electronic packaging (first 3 levels) [2].



Level 1: chip-to-module connections, i.e., single-chip module or multi-chip module.

Level 2: module-to-board connections.

Level 3: board-to-board connections, e.g., board to motherboard.

Level 4: connections between sub-assemblies.

Level 5: connections between systems, e.g., computer to printer.

Over the past decade, academia and industry have paid enormous attention to level 0, which resulted in a rapid increase of interconnections; the same degree of attention has not been paid to level 1, 2, and 3. The rapid growth of the IC density inside the Si chip has posted increasing challenge towards electronic packaging. The crucial point to maintain this tendency is to rely on the development of electronic packaging to provide durable, reliable and high density input/output system in the first level packaging. This dissertation is mainly focused on level 1, which is of current importance.

## **1-2 Chip level interconnections**

The objective of chip level connections (1<sup>st</sup> level packaging) is to provide the requirement of chip-to-module connections. The methods of achieving first level packaging are (a) wire bonding, (b) tape automated bonding (TAB), and (c) flip chip bonding.

Wire bonding is used to attach a fine wire, from one connection pad to another, completing the electrical connection in an electronic device. The pads can be bond sites on the semiconductor chip or metallization bond sites on interconnection substrates. Semiconductor die can also be wire bonded to metal lead frames as is done in plastic encapsulated devices. The methods presently used to wire bond include

thermocompression, ultrasonic and thermosonic. Figure 1-2(a) shows the scanning electron microscopy (SEM) micrograph of a wire bonding, and figure 1-2(b) is a side view, showing that only the edge is used for bonding purpose. With 20  $\mu\text{m}$  wire bonding, only 1000 input/output can be provided on a  $1\text{cm}^2$  chip. Although two rows of alternating bonding pads are used along the perimeter in the higher number of input/output chip, the total number of input/output provided by wire bonding is still limited.

Tape automated bonding (TAB) is a technique where the chip is attached to a polyimide tape prepared with copper conductors. This attachment called the inner lead bond. The copper wires are connected to the pre-bumped chips by thermo-compression bonding; in the assembly plant, the tape is cut in such way that the outer part of the conductors (leads) is exposed. The chip/film assembly is then aligned and soldered or glued to the substrate using conductive adhesive. Figure 1-3 (a) shows the scanning electron microscopy (SEM) micrograph of a TAB inner lead bonding. A single inner lead with bump is shown in Figure 1-3 (b). The principle limitation of TAB is that TAB tapes have to be custom-matched to a particular chip and/ or package. Today, TAB is only used in a very small portion of the interconnections [3]. Excluding the above two packaging technologies, flip chip packaging utilizes area array technology instead of employing only the peripheral region. If the bump diameter and pitch are reduced to 50  $\mu\text{m}$ , the input/output number on a  $1\text{cm}^2$  chip area can reach to 10000 bumps. As mentioned above, wire bonding uses only peripheral area of a Si chip; it cannot satisfy the requirement of a large number of I/O in the near future. To meet the future requirement for the next 10 years [4], flip chip technology can satisfy the requirement of high density input/output

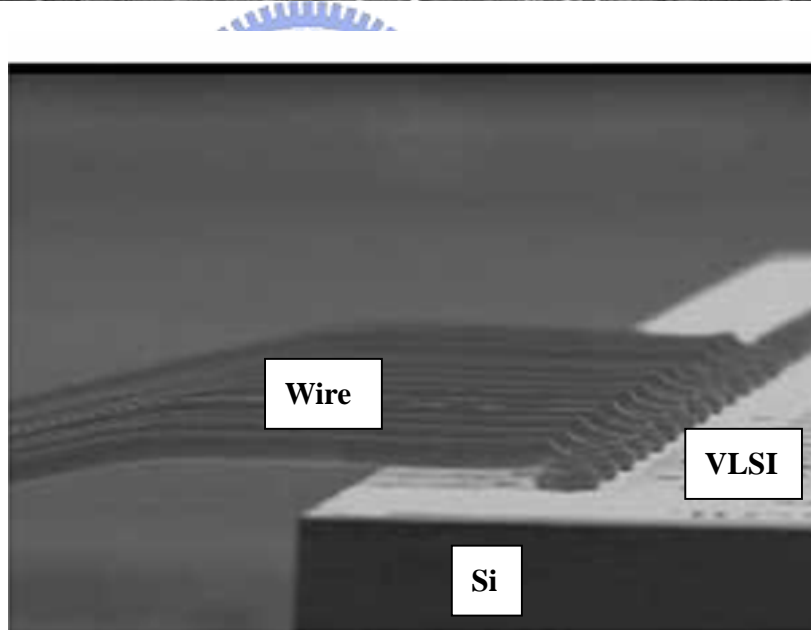
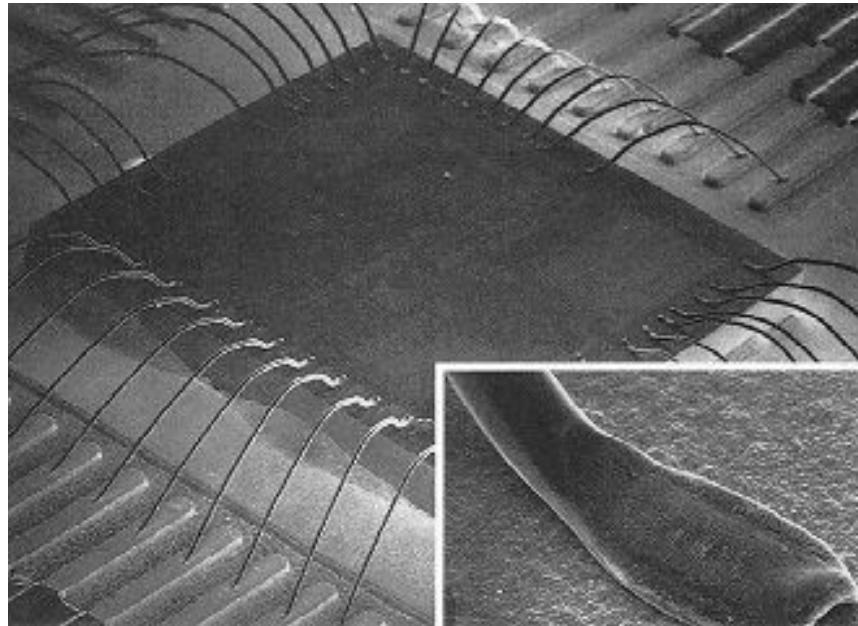


Figure 1-2: (a) SEM micrograph of a wire bonding (b) Side-view SEM micrograph of a wire bonding.

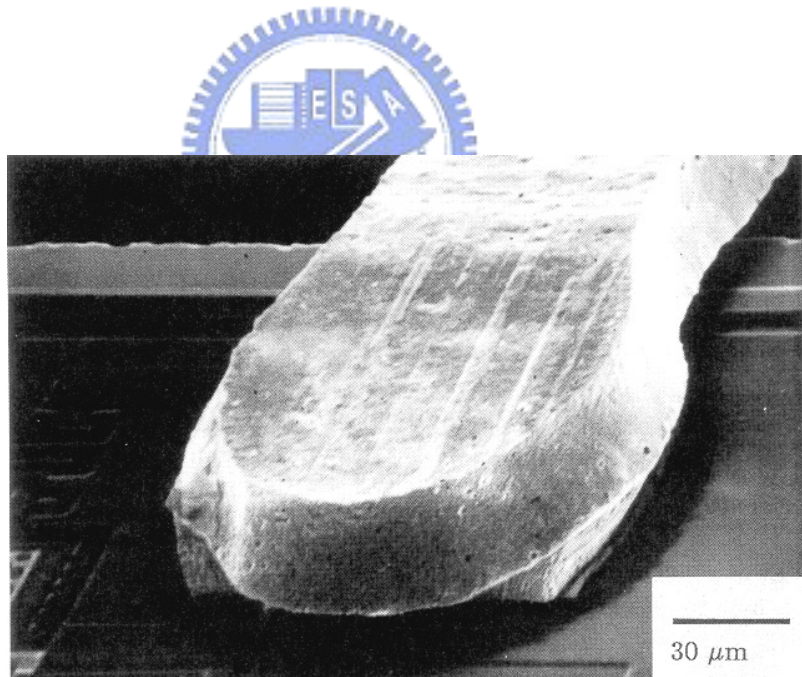
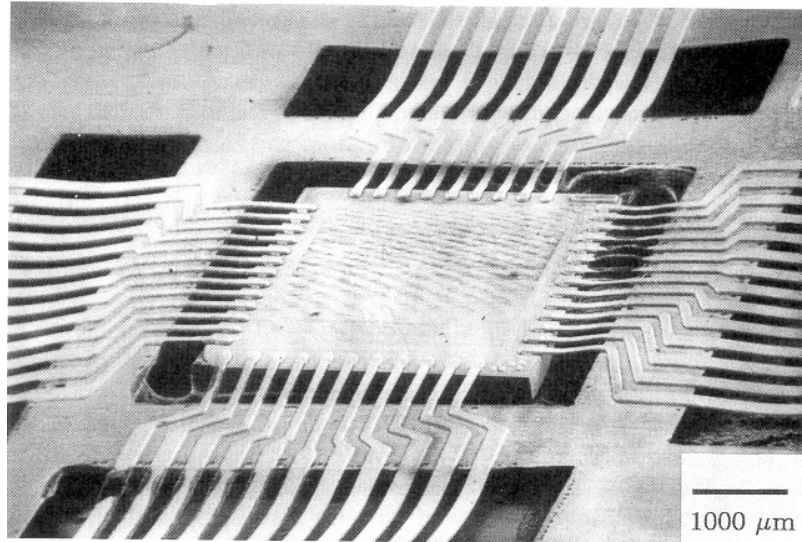


Figure 1-3: (a) Scanning electron micrograph (SEM) of a TAB inner lead bonding.  
(b) Scanning electron micrograph (SEM) of a single inner lead bonded bumped pad.

numbers for high performance devices.

The solder bump flip chip was introduced by IBM in the early 1960s for their solid logic technology (SLT), which became the logical foundation of the IBM system/360 computer line. The so-called C4 (controlled-collapse chip connection) technology utilizes solder bumps deposited on wettable metal terminals on the chip and a matching footprint of solder wettable terminals on the substrate [2]. The solder bump flip chip is aligned to the substrate, and all solder joints are made simultaneously by reflowing the solder.

A two level flip chip packaging is utilized in the mainframe computers. The chip side is bonded to ceramic module by high Pb solder (Sn5Pb95) with melting temperature of 320°C. Afterwards, the ceramic module is bonded to polymer printed circuit board (PCB) by eutectic SnPb solder and 90Pb10Sn solder ball. The schematic diagrams of two level flip chip packaging and its solder bump used for flip-chip bonding with the interface structure at both the Si side and substrate side are shown in figure 1-4 (a) and (b).

In large-volume low-cost consumer electronics, chips are bonded directly to polymer board, with ceramic module removed to reduce cost. In this process, high Pb solder no longer be used since polymers have low glass transition temperature and can not sustain the high melting temperature of high Pb solder.

Therefore, the high Pb solder was replaced by the eutectic SnPb solder. Nevertheless, eutectic SnPb has wetting problem with Au/Cu/Cr UBM, hence a low melting point eutectic solder to join the high Pb solder which called “composite solder” was applied to utilize in joining material. Figure 1-5 shows the schematic diagram of the composite

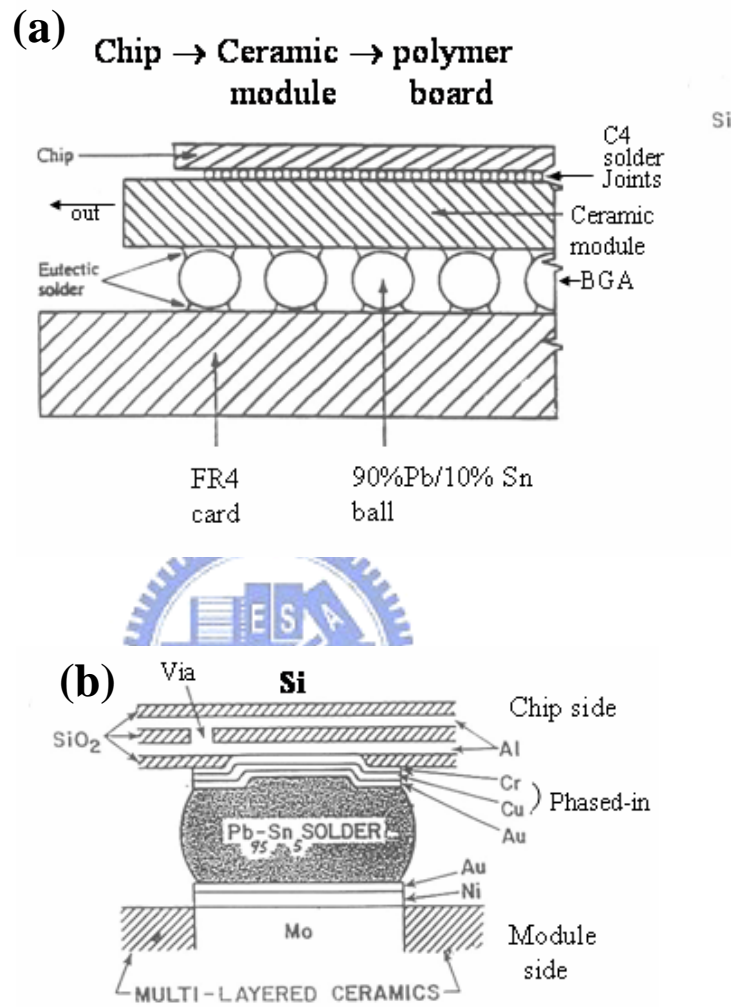


Figure 1-4: (a) Schematic diagrams of two level flip chip packaging (b) solder bump used for flip-chip bonding with the interface structure at both the Si side and substrate side [5].

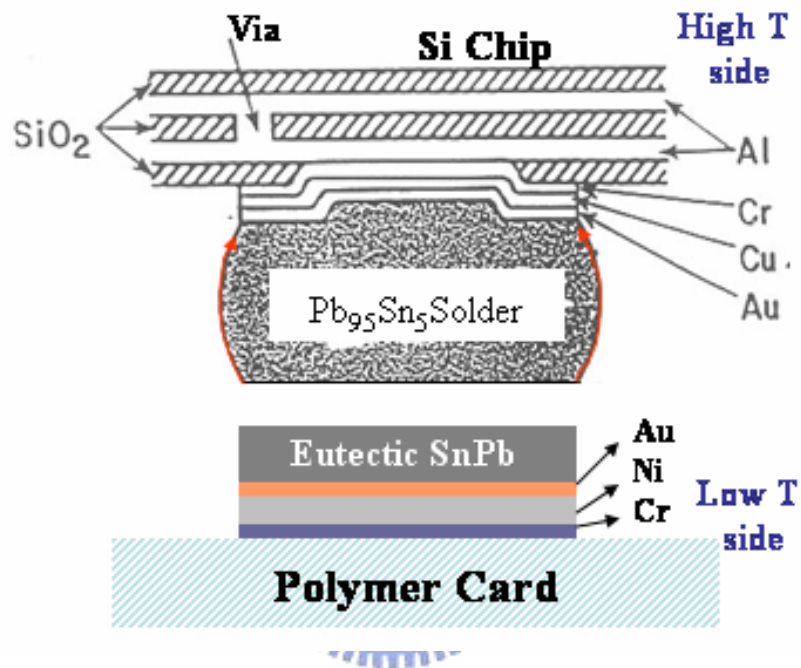


Figure 1-5: Schematic diagram of the composite solder joint. The thin eutectic solder can be deposited on the organic substrate before joining.

solder joint. The thin eutectic solder can be deposited on the organic substrate before joining. Also it can be coated on the high-Pb. The key advantage is that the reflow temperature is low and it only needs to melt the low melting point solder [5].

### **1-3 Under Bump Metallization (UBM)**

The ball-limiting metallurgy (BLM) or under bump metallization (UBM) usually consists of three layers: (1) an adhesion layer such as Cr or Ti, capable of forming a strong bond with the passivation and with the terminating aluminum pad; (2) a solder wetting layer, such as Ni or Cu, which must remain at least partially intact through all the high temperature cycles-wafer reflow, card joining and possible reworks; and (3) a protective layer, Au or other noble metal, to retain wet ability for the wetting layer when vacuum is broken.

The most popular UBM is phased-in Cu-Cr/Cu/Au used in IBM, Al/Ni(V)/Cu in Delco, Flip Chip Technologies, Amkor, Intel and AMD (Advanced Micro Devices), electrolytic Cu in Unitive and Amkor, and electrolytic Ni and electroless Ni in Motorola. Cu-Cr/Cu/Au and Al/Ni(V)/Cu are thin film UBM, which are about 1 $\mu$ m thick. Electrolytic and electroless Cu and Ni are thick UBM and about 10 $\mu$ m in thickness. The choice of UBM metals depends on the choice of solder materials. The reactions between molten solder and under bump metallization are a very challenging issue for the flip chip assembly. The details of this issue will not be discussed in this dissertation [6]-[9].

### **1-4 Why Lead-free Solders**

The solder alloys of 95Pb5Sn and 63Sn37Pb have been used as solder bumps on Si



chip and polymer boards surfaces in the manufacturing of mainframe computers, the details have been described in section 1-2. However, academia and industry have engaged in searching for reliable Pb-free solders to replace the Pb-containing solders in recent year. The driving force is the environmental concern of toxicity of lead [10]-[14].

In the United States, there are four anti-Pb bills pending in the Congress. Europe has the Waste Electrical and Electronic Equipment (WEEE) directive to ban the use of Pb in consumer electronics in 2010. In Japan, while no legislative pressure to ban the use of Pb is in effect, most of the major Japanese firms such as Fujitsu, Toshiba, Hitachi, Sony, and Panasonic have made commitments to go Pb-free as early as 2001 in their consumer products. In addition to environmental concern, Pb-containing solders may have a minute amount of Pb210 isotope. It decays to Bi. During the decay, it emits alpha particles. These particles may affect the charges stored in the capacitors of device, leading to “soft error” failure [14]-[15].

To meet the future requirement of lead-free solders, it should have equivalent or better mechanical, thermal, electrical properties than conventional SnPb solder. Table 1-1 and 1-2 list the candidates of lead-free solders and the criteria which presented by National Institute of Standards and Technology (NIST) [16]. It can be obviously seen that Pb-free solders are Sn-based. For solder, a eutectic alloy is preferred since it has a single melting point so that the entire joint will melt or solidify at eutectic temperature. The alloy elements for Sn-based lead-free solders are Ag, Cu, Bi, In, Zn, and Sb. However, Sb is considered as a harmful element. Zn has poor wettability during reflow processing. Indium is scarce and costly. Eutectic SnBi solder possesses low melting point of 139°C, it

Table 1-1 Chemical composition of 79 lead-free solder alloys down-selected for preliminary testing by the National Center for Manufacturing Science (NCMS) [16].

NCMS Alloy Code	Chemical Composition (% by wt.)	NCMS Alloy Code	Chemical Composition (% by wt.)	NCMS Alloy Code	Chemical Composition (% by wt.)
A1*	Sn-37Pb	D8	Sn-3Ag-55Bi-2Sb	F5	Sn-20In-2.8Zn
A2	Sn-2Ag-36Pb	D9	Sn-3Ag-54Bi-2In-2Sb	F6	Sn-5Bi-7Zn
A3	Sn-97Pb	D10	Sn-3Ag-54Bi-2Cu-2Sb	F7	Sn-31.5Bi-3Zn
A4*	Sn-3.5Ag			F8	Sn-3.5Ag-1.5In
A5	Sn-5Sb	E1	Sn-3Ag-2Sb	F9	Sn-2Ag-7.5Bi-0.5Cu
A6*	Sn-58Bi	E2	Sn-3Ag-2Cu-2Sb	F10	Sn-0.2Ag-2Cu-0.8Sb
A7	Sn-3.5Ag-0.5Sb-1Cd	E3	Sn-3Ag-2Bi-2Sb		
A8	Sn-75Pb	E4	Sn-3Ag-2Bi	F11	Sn-2.5Ag-19.5Bi
		E5	Sn-2.5Ag-2Bi	F12	Sn-3Ag-41Bi
B1	Sn-50Bi	E6	Sn-2Bi-1.5Cu-3Sb	F13	Sn-55Bi-2Cu
B2	Sn-52Bi	E7	Sn-2Bi-8In	F14	Sn-48Bi-2Cu
B3	Sn-55Bi-3Cu	E8	Sn-10Bi-10In	F15	Sn-57Bi
B4	Sn-48Bi-4Cu	E9	Sn-10Bi-20In	F16	Sn-56.7Bi-0.3Cu-1In
B5	Sn-2Ag-46Bi-4Cu	F10	Sn-9Zn	F17	Sn-3.4Ag-4.8Bi
B6	Sn-56Bi-2In			F18	Sn-3Ag-15In
		EN1	Sn-5Ag-8.6In	F19	Sn-3Ag-5Bi-10In
C1	Sn-2Ag-1.5Sb-29Pb	EN2	Sn-5.6Ag-14.4In	F20	Sn-5Bi-10In
C2	Sn-3Ag-4Cu	EN3	Sn-6.8Ag-20In		
C3	Sn-2.5Ag-2Bi-1.5Sb	EN4	Sn-3.1Ag-6.1Bi	F21	Sn-2.8Ag-20In
C4	Sn-3Ag-1Bi-1Cu-1.5Sb	EN5	Sn-3.5Ag-10Bi	F22	Sn-0.1Ag-1Bi-3.9Sb
C5	Sn-2Ag-9.8Bi-9.8In	EN6	Sn-3.3Ag-15Bi	F23	Sn-0.5Ag-1.5Bi-3Sb
		EN7	Sn-6.8Ag-30Bi	F24	Sn-55Bi-2Zn
D1	Sn-45Bi	EN8	Sn-3.3Ag-11.2Bi-5.5In	F25	Sn-0.5Ag-56Bi
D2	Sn-57Bi-2In	EN9	Sn-2.5Ag-11.2Bi-5.5In	F26	Sn-4.5Ag-1.6Cu-5Sb
D3	Sn-2Ag-57Bi			F27	Sn-3.5Ag-0.5Cu-1Zn
D4	Sn-57Bi-2Sb	F1	Sn-2Ag-7.5Bi-0.5Cu	F28	Sn-3Ag-10.9In-0.4Sb
D5	Sn-57Bi-1Sb	F2#	Sn-2.6Ag-0.8Cu-0.5Sb	F29	Sn-4.7Ag-1.7Cu
D6	Sn-2Ag-56Bi-1.5Sb	F3	Sn-0.5Ag-4Cu	F30	Sn-3.2Ag-0.7Cu
D7	Sn-3Ag-55.5Bi-1.5Sb	F4	Sn-8.8In-7.6Zn	F31	Sn-3.5Ag-1.3Cu

Table 1-2 Criteria for bown-selection of Pb-free solder alloys [16].

Property	Definition	Minimum Acceptance Level
<b>Liquidus temperature</b>	Temperature at which solder alloy is completely molten	
<b>Pasty Range</b>	Range of temperature between solidus and liquidus, where alloy is part solid and part liquid.	< 30 (°C)
<b>Wettability</b>	Assessed by force required to wet a copper wire with molten solder. A large force indicates good wetting, as does short duration $t_0$ at zero wetting force and time $t_{2/3}$ to reach two thirds of maximum wetting force.	$F_{max} > 300 \text{ uN}$ $t_0 < 0.6 \text{ s}$ $t_{2/3} < 1 \text{ s}$
<b>Area of Coverage</b>	Measures coverage of copper test piece by solder	> 85 %
<b>Drossing</b>	Measured by amount of oxide formed in air on surface of molten solder after a fix duration at soldering temperature	Qualitative
<b>TMF</b>	Lifetime at a given failure rate compared to that of (eutectic) Sn/37Pb, for a specific configuration of board and solder joint	> 75 %
<b>Thermal Mismatch</b>	Difference in coefficients of thermal expansion that causes unacceptable thermal stress	< 29 ppm/°C
<b>Creep</b>	Stress load to failure at room temperature, in 10,000 minutes (~167 hours)	> 500 psi
<b>Yield Strength</b>		>2000 psi
<b>Elongation</b>	Relative elongation of material under uniaxial tension at room temperature	> 10 %

is not properly used in high temperature conditions, and it is a by-product of lead refining, this issue will be limited due to the restrictions on lead. Among the Pb-free solders, eutectic  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder appears to be the most promising candidate for replacing the eutectic SnPb solder. Indeed, the NEMI (National Electronics Manufacturing Initiative) has recommended replacing the eutectic SnPb alloy with the eutectic SnAgCu alloy in reflow processing, and eutectic SnCu and SnAg alloys as alternatives in wave soldering [17].



## Chapter 2: Electromigration

### 2-1 An Overview of Electromigration

Electromigration is an atomic motion in a metal under the applied electric field. It is a diffusion phenomenon under a driving force. This phenomenon was first observed by Gerardin (1861) in molten lead-tin and mercury-sodium. In 1953, Seith and Wever measured the mass transport across the phase diagram of some Hume-Rothery alloys, they discovered that the direction of mass transport can be reversed and is correlated with the type of the majority charge carriers in the specific alloy phase. The evidence showed that the atomic motion is not only affected by the electrostatic force imposed by the applied field; instead it depends on the direction of motion of the charge carriers. Seith and Wever also introduced the method of using the displacement of an indentation on a metal wire to measure the induced mass transport. This technique now called the vacancy flux method, has become one of the standard measurements of electromigration.

The concept of the electron wind force was first described by Ficks, Huntington and Grone (1961). They employed a semiclassical ballistic approach to treat the collision of the moving atom by the charge carriers. Huntington and Grone showed that not only do the initial and final states of the charge carriers have to be considered in the collision process, but the spatial variation of the force experienced by the moving atom has to be taken into account as well.

During the 1960s, the investigations were primarily concentrated on bulk materials. The interest initially concentrated on self-electromigration in pure metals, later extended

to alloys and liquid metals. Electromigration study took a drastic turn in late 1960s when electromigration was identified as causing the failure of aluminium interconnects in IC. Instead of bulk materials, the electromigration studies were carried out in thin film prepared by evaporating metal onto insulating substrates. Under these conditions electromigration occurs primarily along the grain boundaries. This trend continued into the 1970s, particularly in the industrial laboratories.

The mass transport of electromigration in a thin metal film can be directly investigated by using the drift velocity method. The method is also called the “saddle movement experiment”. It was first presented by Blech in 1976 and has been widely adopted for the study of atomic drift velocity, activation energy and electromigration parameters. A sample configuration for the drift velocity experiment is shown in figure 2-1. The applied electrical current in the TiN takes a detour to go along aluminum line because the latter is a path of lower resistance. A threshold current density exists and is shown in figure 2-2. Blech found that the threshold current density is approximately inversely proportional to the stripe length, and it is increased by decreasing the temperature or by SiN covering film.

In the past several years, an impetus to study electromigration in very fine conductors has arisen from the development of very large-scale integrated circuits. The conductors are not only interested in small dimensions; they are often assembled into multilayered structure with a certain combination of conductors and insulators. This gives rise to electromigration problems which distinctly different from simple single-level conductor line.

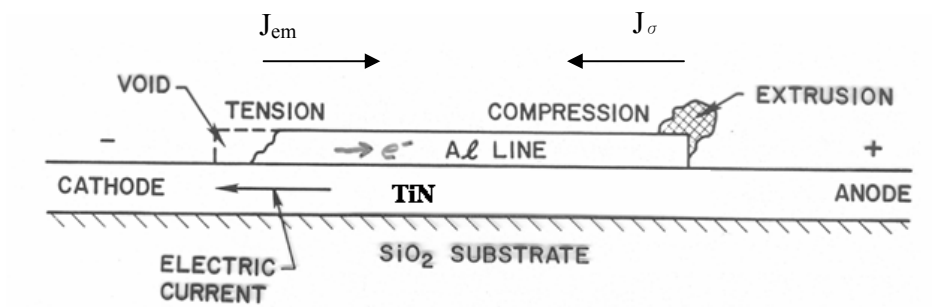


Figure 2-1: Blech's pioneering electromigration sample, showing an aluminum strip deposited on a conducting TiN layer.

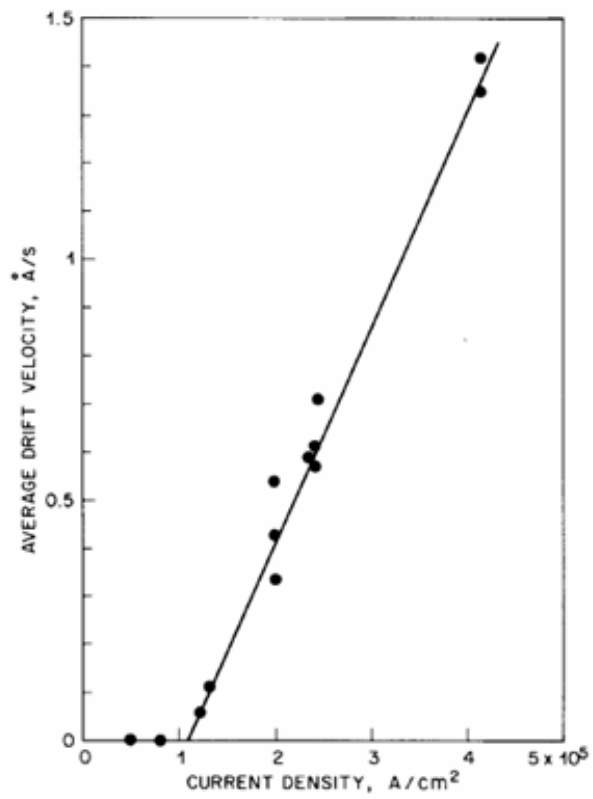


Figure 2-2: Average drift velocity of an aluminum stripe (heat treated 500°C, 1/2h) as a function of current density.



At present, as IC continues to scale down, the bump pitch and diameter also scale down. This leads to current density increase in the solder bumps, thus Electromigration in solder bumps has been recognized to be a new reliability issue in interconnects [18]-[32].

## 2-2 The Driving Force of Electromigration

Electromigration is the mass transport by the electric field and charge carriers. The driving force of the net atomic flux comes from the applied electrical field and the force has two parts: the first is the direct action of the electrostatic field on the diffusing atoms and the second is the momentum exchange of the moving charge carriers with the diffusing atoms, or the so-called electron wind force.

Hungtington and Grone [38] presented a theory to explain electro-migration based on certain simplifying assumptions. It was assumed that (1) the momentum transfer takes place in a conducting metal with a point defect as shown in figure 2-3; (2) Electrons are scattered by the defect alone and the defect is not coupled from the lattice; (3) the scattering occurs without energy lost. Therefore, the momentum transferred to an electron during the scattering process can be expressed as

$$\Delta P = m_0 \Delta V = m_0 V' - m_0 V \quad (1)$$

where  $m_0$  is the mass of a free electron,  $V$  and  $V'$  are the group-velocity of Bloch wave before and after the scattering. The X-component of the total momentum transferred per unit time is given by

$$\frac{dp_x}{dt} = \iint \frac{d(m_0 V)}{dt} \quad (2)$$

To understand the concept, we express the momentum transportation in

momentum space. Since the group velocity  $V$  is equal to  $\frac{1}{\hbar} \frac{\partial E}{\partial k}$ , the momentum transferred per unit time per unit volume in momentum space as follows

$$\frac{dp_x}{dt} = -\left(\frac{1}{4\pi^3}\right)^2 \iint (m_0 / \hbar) \left( \frac{\partial E}{\partial k'} - \frac{\partial E}{\partial k} \right) f(k) [1 - f(k')] W(k, k') dk' dk \quad (3)$$

where the function of  $W(k, k')$  gives the transition probability per unit time that the electron in state  $k$  jumps to state  $k'$  by virtue of interaction with point defects, in other words, it is the probability that an atom goes over the energy barrier with activation energy  $\Delta G_m$  as shown in figure 2-4 The  $f(k)$  is electron distribution function in momentum space.

The equation of (3) can be written as

$$\begin{aligned} \frac{dp_x}{dt} = & -\left(\frac{1}{4\pi^3}\right) \left\{ \iint (m_0 / \hbar) \frac{\partial E}{\partial k'} f(k) [1 - f(k')] W(k, k') dk' dk \right. \\ & \left. - \iint (m_0 / \hbar) \frac{\partial E}{\partial k} f(k) [1 - f(k')] W(k, k') dk' dk \right\} \end{aligned} \quad (4)$$

by interchanging the primed and unprimed in the first term of equation of (4), the equation becomes

$$\begin{aligned} \frac{dp_x}{dt} = & \left(\frac{1}{4\pi^3}\right) \left\{ \iint (m_0 / \hbar) \frac{\partial E}{\partial k} f(k) [1 - f(k')] W(k, k') dk' dk \right. \\ & \left. - \iint (m_0 / \hbar) \frac{\partial E}{\partial k} f(k') [1 - f(k)] W(k', k) dk' dk \right\} \quad (5) \\ = & -\left(\frac{1}{4\pi^3}\right)^2 \int (m_0 / \hbar) \frac{\partial E}{\partial k} \int \{ f(k) [1 - f(k')] W(k, k') - f(k') [1 - f(k)] W(k', k) \} dk' dk \end{aligned}$$

Equation of (5) can be simplified by introduction of a relaxation time  $\tau_d$  defined by

$$\frac{f(k) - f_0(k)}{\tau_d} = \int \{ f(k) [1 - f(k')] W(k, k') - f(k') [1 - f(k)] W(k', k) \} dk' / 4\pi^3 \quad (6)$$

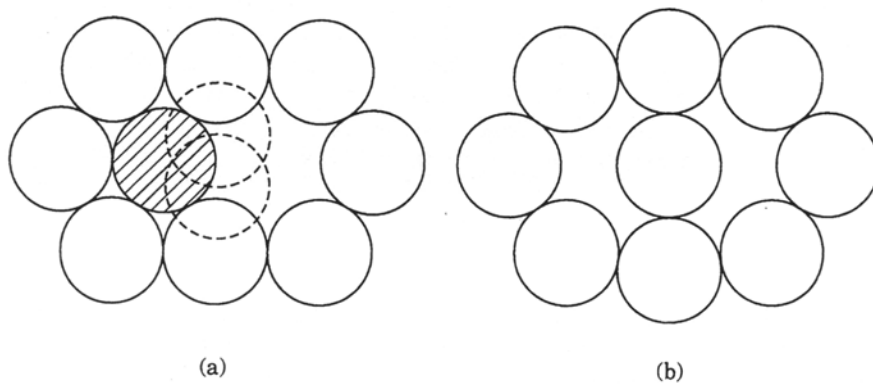


Figure 2-3: A sketch of an atom diffusing to a point defect.

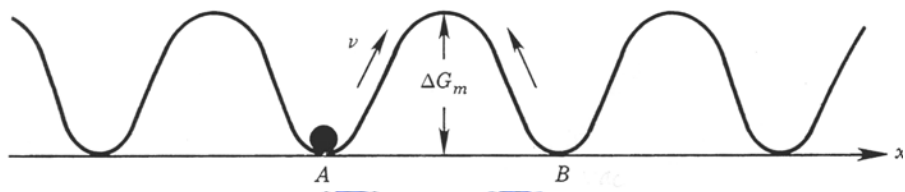


Figure 2-4: Diagram of barrier energy that an atom jumps to fill up a vacancy



Here  $f_0(k)$  denotes the electron distribution at equilibrium. If the electron distribution function is normalized to equilibrium state, the substitution of (6) into equation of (5) gives

$$\begin{aligned}\frac{dp_x}{dt} &= \left(\frac{1}{4\pi^3\tau_d}\right) \int (m_0/\hbar) \frac{\partial E}{\partial k} f(k) dk \\ &= \frac{m_0}{\tau_d\hbar} \int \frac{\partial E}{\partial k} f(k) dk / 4\pi^3\end{aligned}\quad (7)$$

The current density in the X-direction  $j_x$  can be expressed as

$$j_x = -e \int f(k) \frac{\partial E}{\hbar\partial k} dk / 4\pi^3 \quad (8)$$

where  $\frac{\partial E}{\hbar\partial k}$  is group velocity of Bloch wave,  $f(k)$  is electron distribution function. So the integral represents the total number of electrons per unit time per unit volume in momentum space. By substituting (8) into (7), the momentum exchange can be expressed as

$$\frac{dp_x}{dt} = -\frac{j_x m_0}{e\tau_d} \quad (9)$$

Since the momentum change is equal to product of force exerted on electrons and period of collision time, namely,  $\Delta p = F * \Delta t$ , the average force for each collision can be approximated by

$$\begin{aligned}F_x &= \frac{dp_x}{dt N_d} \\ &= -\frac{j_x m_0}{e\tau_d N_d}\end{aligned}\quad (10)$$

where  $N_d$  is the density of defects. The contribution of the defects to the resistivity is

$$\rho_d = \frac{|m^*|}{ne^2\tau_d} \quad (11)$$

here  $m^*$  is effective mass of electron,  $n$  is density of conducting electrons. So the force can be expressed as function of resistivity  $\rho$  as well as electron density  $n$

$$F_x = -\frac{nej_x}{N_d} \frac{m_0}{m^*} \rho_d \quad (12)$$

in virtue of Ohm Law,  $j_x = \frac{\mathcal{E}_x}{\rho}$ ,  $j_x$  in equation (12) is replaced by electric field and resistivity

$$\begin{aligned} F_x &= -\frac{ne}{N_d} \frac{\mathcal{E}_x}{\rho} \frac{m_0}{m^*} \rho_d \\ &= -e\mathcal{E}_x z \frac{N}{N_d} \frac{\rho_d}{\rho} \frac{m_0}{m^*} \end{aligned} \quad (13)$$

where  $N$  is density of metallic ions.  $Z$  is nominal valence of an ion. The electron density has been replaced by  $z$  times the density of metallic ions  $N$ . It is worthy to note that  $F_x$  is proportional to three ratios:  $N/N_d$ , the ratio of metallic ions to defects;  $m_0/m^*$ , the ratio of free to effective electron mass, and  $\rho_d/\rho$ , the ratio of specific resistivity per defect to per normal atom. The equation of (13) should be modified by a factor of  $1/2$  because  $F_x$  is a micro-position function. For example, an ion at the saddle point halfway from an equilibrium site on its way to fill a vacancy (see Fig.2), the interaction with the electrons is strong, whereas at the lattice point the same interaction is a minimum. For simplicity, it is assumed the form of function is a sinusoidal periodicity that contributes a factor of  $1/2$ , namely,

$$F_x = -e\mathcal{E}_x z \frac{1}{2} \frac{N}{N_d} \frac{\rho_d}{\rho} \frac{m_0}{m^*} \quad (14)$$

The electron flow might act as a “frictional” force on the metal ions which would generally tend to drive the ions against the electrostatic field. The “frictional” force is

commonly called “electron wind force.” Therefore the total driving forces exert on the moving ion can be given by

$$\begin{aligned}
 F_{driving} &= F_{field} + F_{el-wind} \\
 &= e\mathcal{E}_x z - e\mathcal{E}_x z \frac{1}{2} \frac{N}{N_d} \frac{\rho}{\rho_d} \frac{m_0}{m^*}
 \end{aligned} \tag{15}$$

Let us define the charge number,  $Z_{wd}$ , representing the momentum exchange effect

$$z_{wd} = -z \frac{1}{2} \frac{N}{N_d} \frac{\rho}{\rho_d} \frac{m_0}{m^*} \tag{16}$$

The driving force can be presented by the valance of an ion  $z_{el}$  and  $z_{wd}$

$$\begin{aligned}
 F_{driving} &= e\mathcal{E}_x z_{el} + e\mathcal{E}_x z_{wd} \\
 &= e\mathcal{E}_x (z_{el} + z_{wd}) \\
 &= e\mathcal{E}_x z^*
 \end{aligned} \tag{17}$$

Generally  $Z_{wd}$  has been found to be of the order of ten for a good conductor, so the momentum exchange effect is much greater than the electrostatic field effect for electromigration in metals. Therefore, the direction of driving force is opposite to electrostatic field.

### 2-3 Back stress in electromigration

In early experiments studying electromigration, Blech passed current through aluminum stripes of various lengths deposited on conducting TiN. The schematic diagram of the experiment is shown in figure 2-3. Blech discovered some basic observations in this work [32]-[39]:

1. Longer strips possessed higher drift velocity than shorter ones.
2. Very short strips below a critical length did not drift.

3. Below a critical current density, the drift velocity of a given stripe was zero.

The above existences can be explained by atom back flow. Electromigration in short strips can induce back flow, resulting in an atomic flux in the opposite direction. Electromigration pushes atoms towards the anode and builds up compressive stress, leading more vacancies at the cathode side and fewer vacancies at the anode side according to the Nabarro-Herring model. Consequently, there is a gradient of vacancy concentration in the strip, inducing an atomic flux  $J_\sigma$  in the opposite direction to that of electromigration  $J_{em}$ . When the strip is shorter than a critical length, electromigration ceases to occur. Base on the above description, equation 2-1 can be rewritten as

$$J = J_{chem} + J_{em} + J_\sigma = -D \frac{\partial C}{\partial X} + C \frac{D}{KT} Z^* e \rho j - C \frac{D}{KT} \frac{d\sigma\Omega}{dX} \quad (18)$$

Where  $\sigma$  is the stress and  $C=1/\Omega$  for pure metal, and  $\Omega$  is the atomic volume.  $J_{em}$  and  $J_\sigma$  are the mass fluxes, resulting from electron wind force and back stress respectively.

With no chemical potential gradient along the Al strip, equation can be rewritten as

$$J = C \frac{D}{KT} Z^* e \rho j - C \frac{D}{KT} \frac{d\sigma\Omega}{dX} \quad (19)$$

there would be no electromigration damage if we assume mass flux  $J=0$  in equation, the critical length  $\Delta X_{crit}$ , can be written as below when no electromigration damage occurs

$$\Delta X_{crit} = \frac{\Delta\sigma\Omega}{Z^* e \rho j} \quad (20)$$

Critical product  $(j\Delta X)_{crit}$  can be obtained

$$(j\Delta X)_{crit} = \frac{\Delta\sigma\Omega}{Z^* e \rho} \quad (21)$$

Electromigration damage would not take place if the product of  $(j\Delta X)$  of the sample is



less than the  $(j\Delta X)_{\text{crit}}$ .

## 2-4 Electromigration in solder materials

At present, the trend of circuit design, each flip chip solder joint will carry 0.2 A, and to meet the future performance requirement even in a hand-held consumer product, the applied current density will increase. The average current density in a 50  $\mu\text{m}$  solder joint is about  $10^4\text{A}/\text{cm}^2$  when 0.2 A current is applied and it will be extended to 0.4 A in the near future. According to the 2000 International Technology of Roadmap for Semiconductors, a current density in the solder bump may reach  $1\times 10^4\text{A}/\text{cm}^2$  in the near future. In the device operating temperature range from 80-150°C, electromigration occurs in solder joint and it turns into an emerging reliability issue in microelectronic industry. From the point of view of device reliability, electromigration in a flip chip solder joint is as significant as that in an Al or Cu interconnect line because of the high lattice diffusivity in the solder alloys, higher resistivity, lower Young's modulus, and higher effective charge number of the chemical elements in solder alloys than those of Al or Cu. It is known that the current density needs to fail a solder joint is about two orders of magnitude less than that needed to fail an Al or Cu line. Besides, due to the unique geometry of a flip chip configuration, current crowding effect takes place at the contact interface between conducting metal line and solder bump, a high Joule heating generates simultaneously and accelerates solder bump to failure [18] [40].

In 1976, Sun and Ohring devised a nondestructive technique to study lateral self-diffusion and electromigration in evaporated thin Sn film [49]. The diffusional spreading and migration of tracer distributions of  $\text{Sn}^{119\text{m}}$  in thin Sn film matrices was

recorded over a temperature range 142-213 °C . They found out the diffusivity  $D = (1.8_{-0.9}^{+1.9}) \times 10^{-5} \exp(-10700 \pm 700RT) \text{ cm}^2 / \text{sec}$  and migration velocity  $V = (1.07_{-0.54}^{+1.10}) \times 10^{-4} / T \exp(-9800 \pm 650 / RT) \text{ cm} / \text{sec}$  at a current density of  $1 \times 10^4 \text{ A/cm}^2$ . The effective charge  $Z^*$  and defect resistivity were also derived. The results are consisted with a bulk-assisted grain boundary transport mechanism involving a vacancy defect.

Liu et al. studied a thin stripe of eutectic SnPb solder stressed by a current density of  $10^5 \text{ A/cm}^2$  at room temperature [20]. The test sample was prepared by a Cu/Cr thin film deposited onto an oxidized Si substrate by a process of e-beam evaporation. By using lithographic and etching method, two thin film lines of  $120 \mu\text{m}$  width and  $1 \mu\text{m}$  thickness, with a separation of  $100 \mu\text{m}$ , were patterned on the  $\text{SiO}_2/\text{Si}$  substrate, as depicted in figure 2-5 (a). Figure 2-5 (b) illustrates the scanning electron microscopic (SEM) image of a eutectic SnPb solder stripe after it was stressed by a direct electrical current density of  $10^5 \text{ A/cm}^2$  at room temperature for 19 days. Hillocks are observed in the anode side near the interface between the solder and Cu. They also discovered that the dominant diffusion species is Sn in the SnPb alloy, and the growth of the hillocks originates from the Pb grain. Later, they investigated the electromigration of six different compositions of Sn-Pb solders. The eutectic alloy with the lowest melting point and a high density of lamella interface was found to have the fastest hillock growth, as shown in figure 2-6. The results also indicated that the annealed samples with a larger grain size showed a slower hillock growth rate, as shown in figure 2-7.

Huynh prepared eutectic SnPb solder lines for electromigration study by a process of solder reflow into V-grooves etched on (001) Si wafer surface [23], the

schematic diagrams of a V groove on and the cross section of a V groove are shown in figure 2-8. Figure 2-9 shows the SEM images of microstructural evolution of the test sample. The sample was stressed by a current density of  $2.8 \times 10^4$  A/cm<sup>2</sup> at 150°C in ambient. They measured the volume of the lump, and calculated the average effective charge number of electromigration in eutectic solder is 33, which is close to the value of 47 for self-electromigration in bulk Pb.

Yeh et al. investigated electromigration failure in flip chip solder joints [27], they discovered that at the contact interface between the bump and the wire, a very large current crowding occurs and it causes a unique and fast electromigration failure in the bump. The schematic illustration of a flip chip solder joint and its simulation are respectively shown in figure 2-10 (a) and (b).

Lee et al. studied the electromigration of eutectic SnPb solder interconnects between a Si chip and a FR4 substrate at 120°C. Hillocks were observed at the anode and voids at the cathode [24]. The dominant diffusing species was found to be Pb. In addition, they use the surface marker to measure the electromigration flux and calculate the effective charge in the SnPb solder joint.

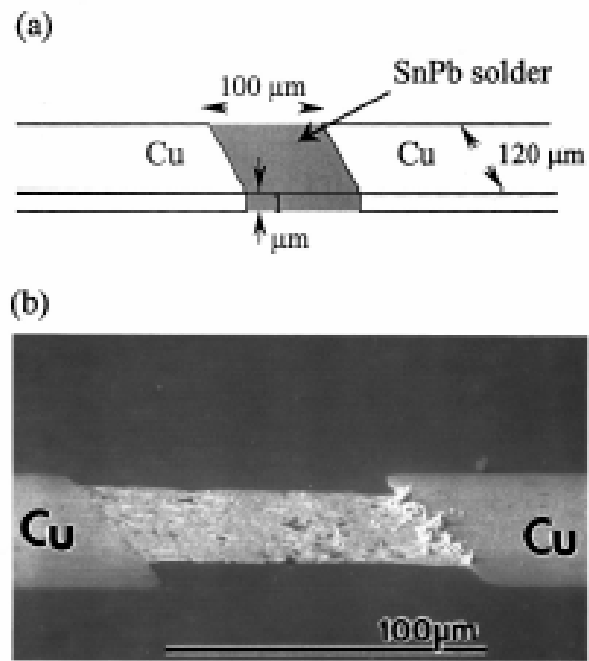


Figure 2-5: (a) Schematic picture of the eutectic SnPb solder thin stripe sample. (b) The SEM image of an solder stripe after stressing

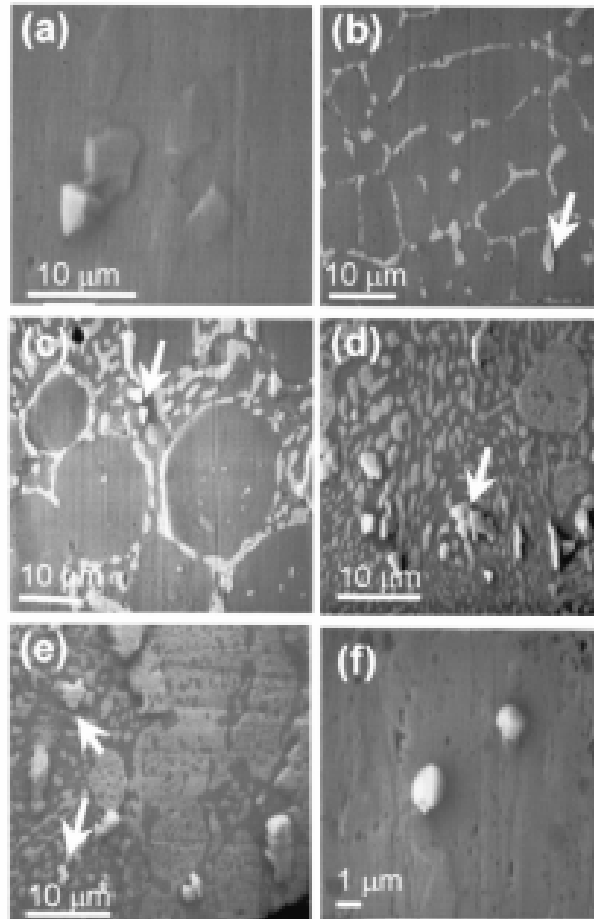


Figure 2-6: Hillock formation of as-prepared Sn-Pb alloys after 40 h current stressing at ambient temperature: (a) pure Sn; (b) Sn80Pb20; (c) Sn70Pb30; (d) eutectic SnPb; (e) Sn40Pb60; (f) Sn5Pb95.

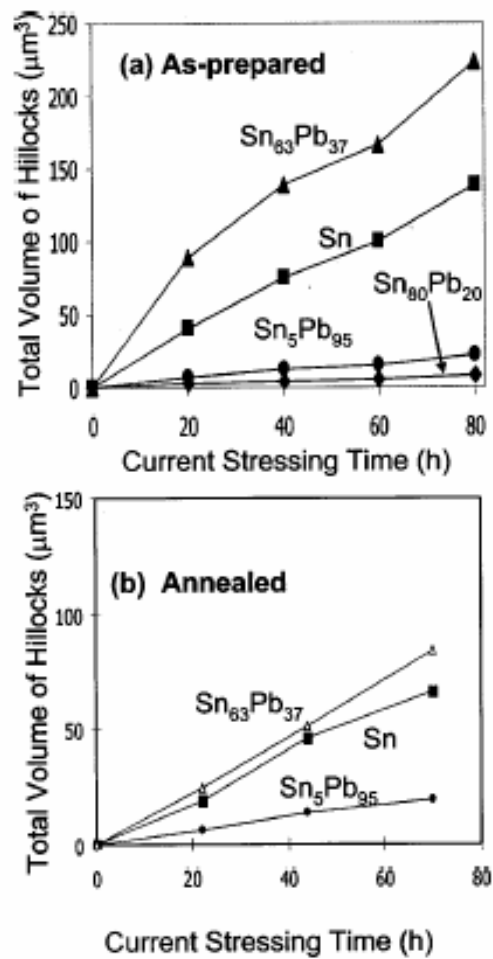


Figure 2-7: Total volume of hillock vs the current stressing time: (a) as-prepared, and (b) annealed

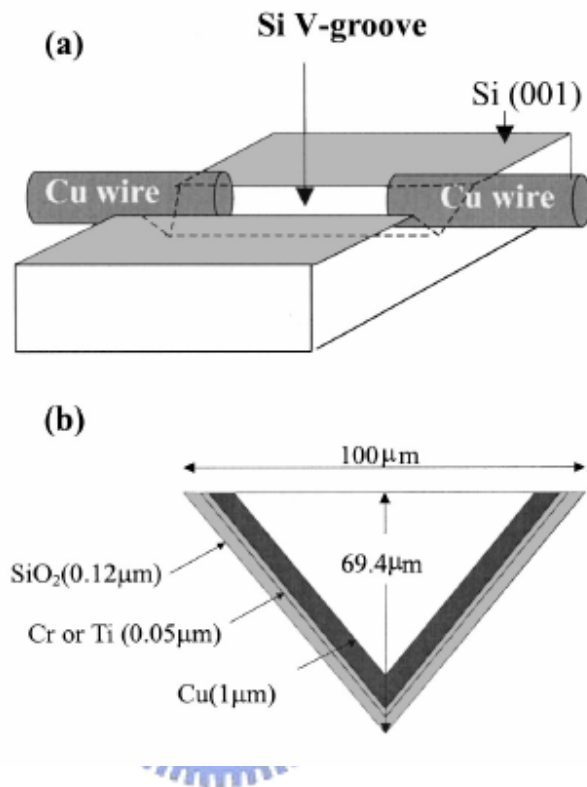


Fig 2-8: Schematic diagram of (a) A V groove on (001) Si surface with two Cu wires as electrode at the two ends and (b) the cross section of a V groove and its dimensions.

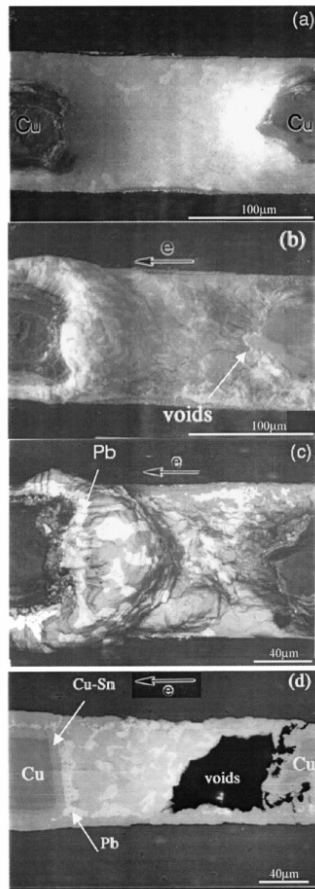


Figure 2-9: SEM images of the surface of a eutectic SnPb wire on V groove of 100  $\mu$  m wide and 150  $\mu$  m long stressed by  $2.8 \times 10^5$  A/cm<sup>2</sup> at 150°C in ambient. (a) Before electromigration, (b) after four days, (c) after eight days, and (d) same as the sample shown in (c) except that a layer of 10 mm has been polished away from the surface



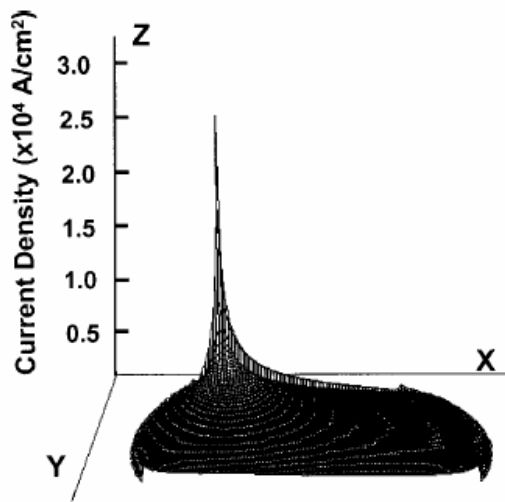
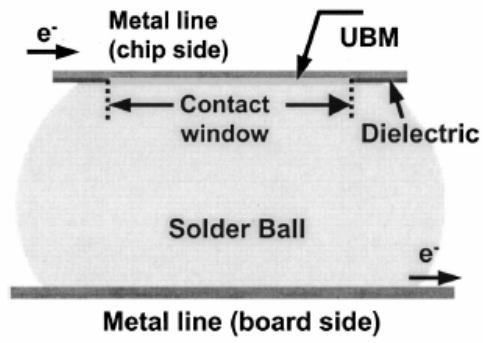


Figure 2-10: (a) A schematic illustration of a flip chip solder bump. (b) The simulated current distribution in the solder bump.

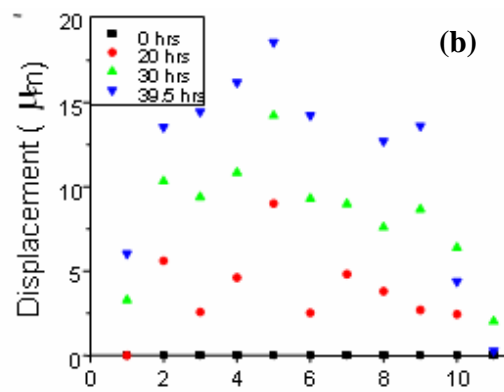
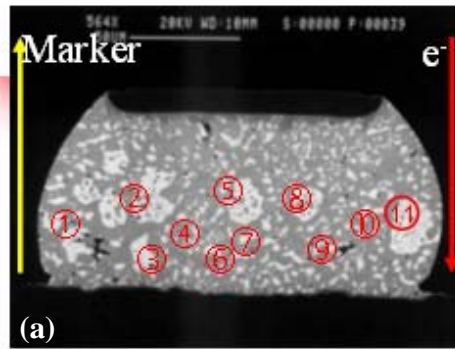


Figure 2-11: The marker movement on the cross-sectioned eutectic SnPb surface. (a) Marker on the cross-sectioned surface. (b) marker displacement.

## 2-5 Motivation of this study

Several studies have addressed the electromigration issue of SnAgCu alloy [24] [41] -[46]. Choi *et al.* measured the mean-time-to-failure (MTTF) of eutectic SnAgCu bumps, and they attempted to determine the effective charge number ( $Z^*$ ) of the solder by marker movement. But the marker movement was too small to be measured. Lin *et al.* investigated the current carrying capability of eutectic SnAgCu bumps, and found that there was no electromigration damage in the bumps after stressing by  $2.5 \times 10^4 \text{ A/cm}^2$  at  $150 \text{ }^\circ\text{C}$  for 2338 hours. However, the relevant parameters of electromigration, such as threshold current density ( $J_c$ ), drift velocity, activation energy ( $E_a$ ), and the product of diffusivity and the effective charge number ( $DZ^*$ ) of the eutectic SnAgCu solder are still unknown.

This lack of information is because of the difficulty in preparing Blech-type electromigration test specimens of SnAgCu solder. Blech developed a unique set of test structures of short stripes of Al on a TiN base line for the measurement of the drift velocity of electromigration so that the relevant parameters of electromigration can be determined [39] [47]. However, eutectic SnAgCu solder stripes have not been deposited by evaporation, sputtering, or electroplating. In addition, the solder is very soft, and it is hard to pattern it into short stripes. In this study, we report a process to fabricate solders Blech specimens, and we employed atomic force microscopy (AFM) to measure the depletion volume and drift velocity on the cathode side. As a result, we obtained direct and precise measurement of the solder electromigration parameters. Besides, electromigration in SnAgCu solder was carried out by the flip chip solder joint, the

failure mechanism due to electromigration will be discussed in this study as well. In addition, the electromigration in flip-chip SnAgCu solder joint was also studied. The electromigration behavior in the solder bumps was investigated at 100°C and 150°C.



## Chapter 3: Electromigration Study in Pb-free SnAg<sub>3.8</sub>Cu<sub>0.7</sub> Solder joints with Ti/Cr-Cu/Cu Under-Bump Metallization

### 3-1 Sample preparation and experimental procedures

A UBM of a Ti/Cr-Cu/Cu tri-layer was deposited on the chip side, and a Cu/Ni(P)/Au pad was electroless plated on the BT board side. The solder bumps on the chip were formed by printing solder paste through a metal stencil and were reflowed in a furnace at 250°C. The chips are then flipped over, aligned to BT substrates, and reflowed again. The reflow profile for the solder bumps is presented in Fig.3-1. Afterwards, the package was filled with underfill. The solder bumps were electrically connected by an Al line in the chip, while Cu line was used in the BT board side. A schematic illustration of the structure is shown in Fig. 3-2(a), which shows that the contact window in the chip side was 85 μm and 150 μm for board side. The pitch for the sample was 400 μm. Figure 3-2(b) is a three-dimensional schematic diagram of the bump pairs subjected to electromigration testing in which the routes for electrical current are depicted. Two sets of bump pairs, Pair A and Pair B were employed for electromigration study. The current paths for bump Pair A and Pair B are indicated by the arrows in Fig. 3-2(b).

Cross-sectioned bumps and whole-bump samples were prepared for the electromigration test. Cross-sectioned bumps refer to those bumps which had been cross-sectioned first and then stressed. To investigate current crowding effect, two different cross-sections, i.e. cross-section A and cross-section B were prepared, as shown schematically in Fig. 3-2(c). The samples were stressed at 100°C or 150°C on a hot plate in an ordinary atmospheric conditions. The calculated current density was  $2 \times 10^4$  A/cm<sup>2</sup> for contact opening on the chip side and  $6.6 \times 10^3$  A/cm<sup>2</sup> for the contact opening on the board side. Resistance change caused by electromigration was monitored every ten second during the current stressing. Electromigration damage was examined using a scanning electron microscope (SEM). Energy dispersive spectroscopy (EDS) was used

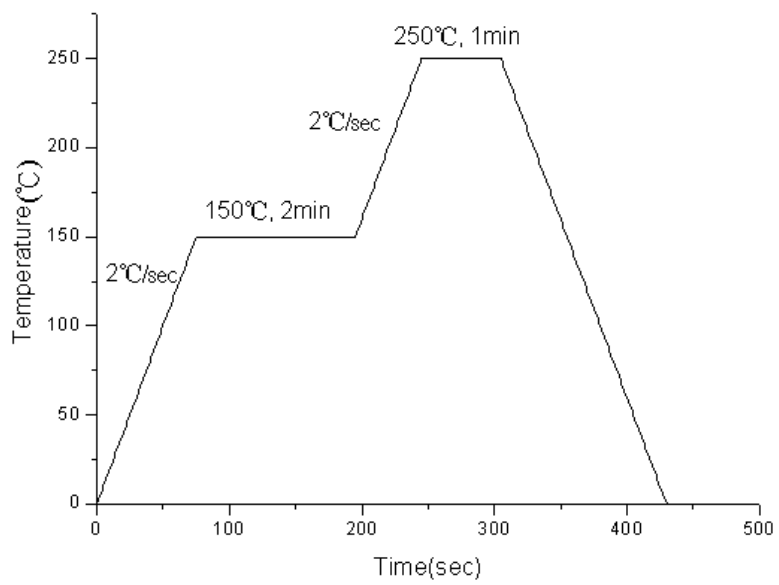


Figure 3-1: Reflow profile for the solder bumps.

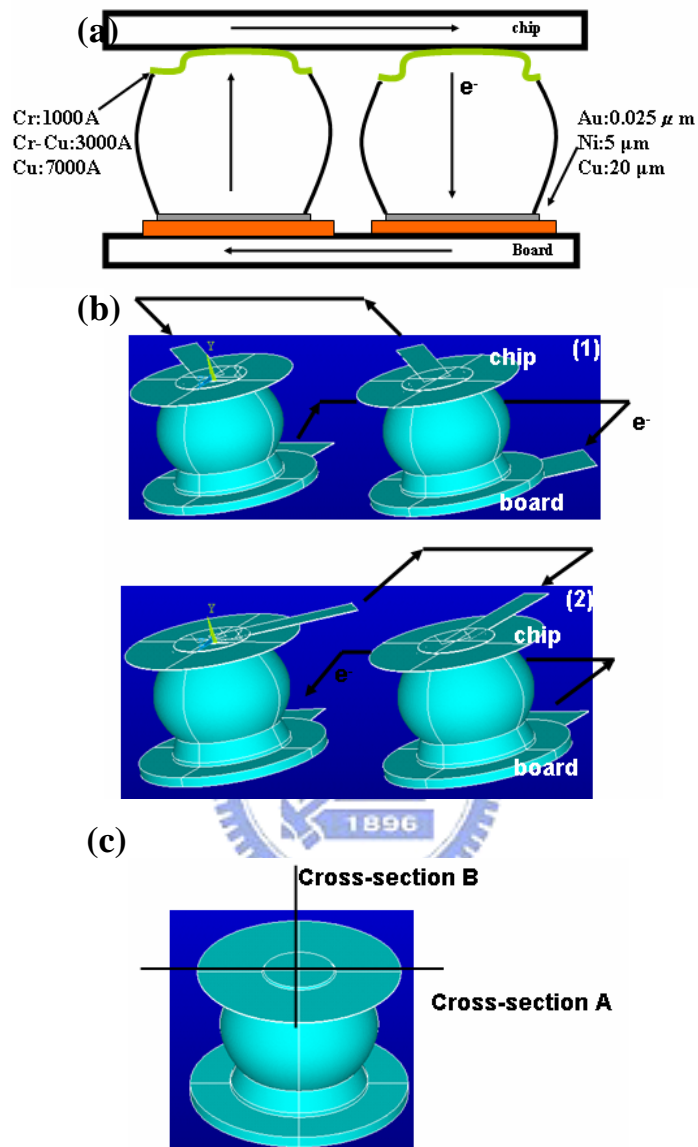


Figure 3-2: (a) Schematic cross-sectional view of a pair of solder bumps. (b) Schematic three-dimensional view of the position of conducting lines related to the bumps, (1) Pair A, (2) Pair B. (c) Schematic three-dimensional view of a solder bump, defining cross-section plane A and cross-section plane B used in this study.

to determine the composition of intermetallic compounds (IMCs) and distribution of metal atoms.

### **3-2 Results**

#### **A. Composition and Microstructure of the Intermetallic Compound (IMCs) before Current Stressing**

Fig. 3-3(a) illustrates the cross-sectional SEM image of the SnAgCu solder bump before current stressing. In the bulk solder, the composition of the IMC was identified to be  $\text{Cu}_6\text{Sn}_5$  with dissolution of 2-4 atomic percent of Ni. The  $\text{Ag}_3\text{Sn}$  particles were also found in the solder bump. Figs. 3-3 (b) and 3-3(c) show the enlarged SEM images of the chip side and the BT board side respectively. A layer of IMC with thickness of  $0.7 \mu\text{m}$  was formed between the solder and UBM interface at the chip side. On the BT board side, IMC of  $\text{Cu}_6\text{Sn}_5$  with dissolution of 15-20 atomic percent of Ni was observed.

#### **B. Electromigration in cross-sectioned solder bump**

Figure 3-4(a)-(d) shows the microstructure evolution in the cross-sectioned SnAgCu bump before and after stressing by the current of 0.085 ampere for 20 h, 264 h, and 408 h at  $100^\circ\text{C}$  respectively. The bump was polished in cross-section plane A before stressing, and the remaining cross-section area on the chip side was measured by the cross-sectional UBM length. Thus, the current density for the bump can be obtained. The current density used to stress the cross-sectioned bump is  $2 \times 10^4 \text{ A/cm}^2$ . The direction of electron flow is from the chip side to the board side, as shown in the left bump of pair A in Fig. 3-2(b). Voids formed at the solder and UBM interface after reflow can be seen in Fig. 3-4(a). After stressing for 20 hours, a hillock was observed at the chip side as



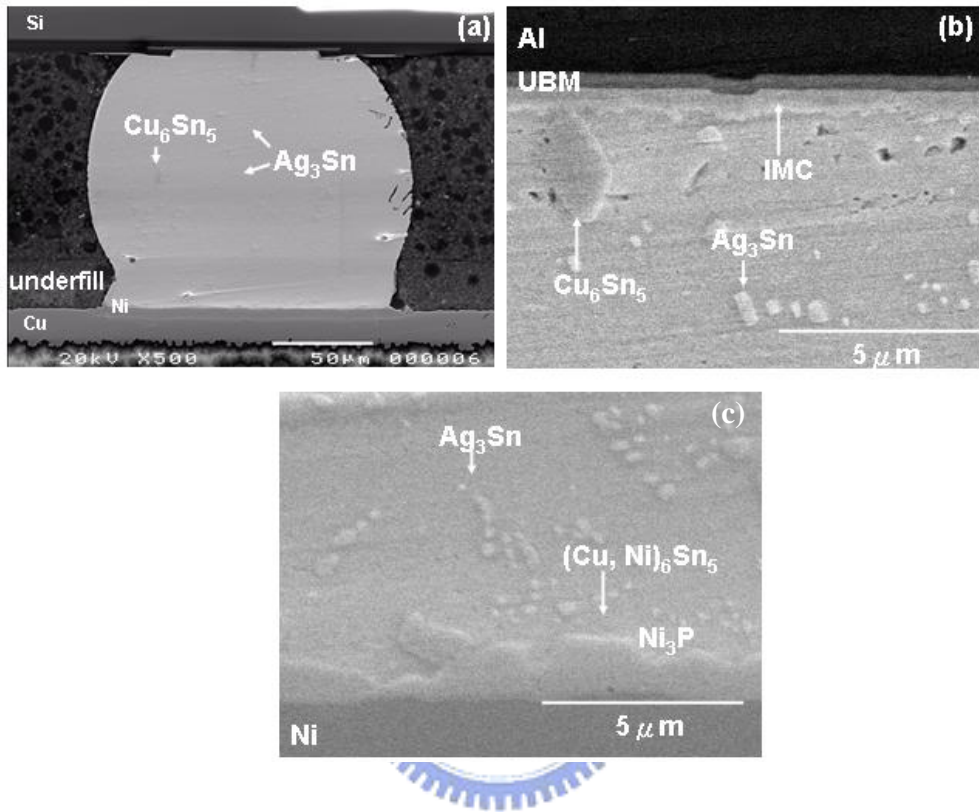


Figure 3-3: Cross-sectional SEM images of a solder bump before current stressing. (a) whole bump view, (b) enlarged image on the chip side, (c) enlarged image on the board side.

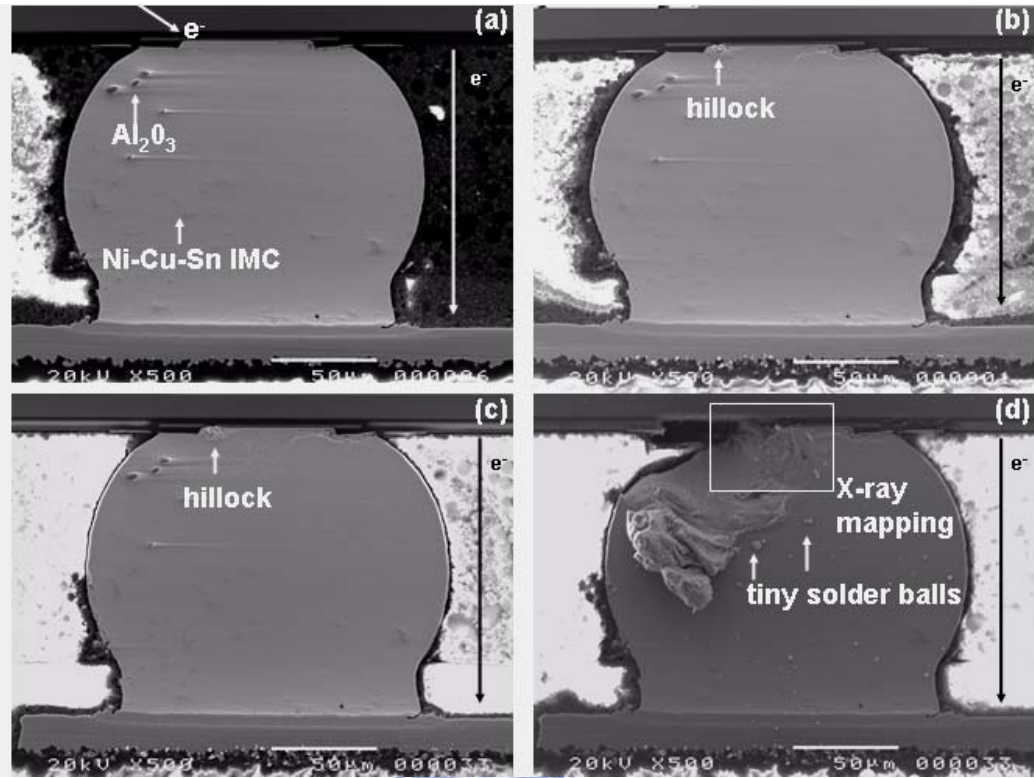


Figure 3-4: Cross-sectional SEM images of solder bump stressed at 100°C for (a) 0 h, (b) 20 h, (c) 264 h, (d) 408 h.

indicated by the arrow in Fig. 3-4(b). From EDS analysis, this hillock contained both Sn and Al. As shown in the left bump in Fig. 3-2(b), the electrons enter the bump from the back-left corner of the UBM on the chip side, migrate both Al and Sn atoms to form the hillock. After stressing for 264 hours, no further damage was observed as shown in Fig. 3-4(c). However, after 408 h of stressing, the solder bump failed. In the upper-left corner of the bump in fig. 3-4(d), a large void was observed, which may be responsible for the failure of the Pair A bump. Fig. 3-5(a) shows the enlarged SEM images of the rectangular area in fig. 3-4(d). The surface of the void appears to be very smooth; from which it may be inferred that the vicinity underwent a liquid state before failure occurred. Furthermore, some tiny solder balls were found on the surface near the void, which implies that the local temperature in the void may surpass the melting point of the solder at the moment of failure. Figs. 3-5(b) shows the corresponding X-ray mapping for Al and Ti atoms, respectively. The migration of Al atoms can be clearly seen in these 2 figures.

To examine the polarity effect, the current direction was reversed for the other bump of pair A, i.e. the direction of electron flow goes from board side to the chip side. Figure 3-6(a) - (d) shows the microstructure evolution before and after current stressing for 20 h, 264h , and 408 h at 100°C respectively. The Al was squeezed out at the chip/anode side after stressing. Figure 3-7(a) and (b) show the enlarged SEM images of the damage and the corresponding X-ray mapping for Al, at the interfacial region. On the board side, no obvious electromigration damage was found for the preceding two bumps. This may be attributed to the lower current density on the board side.

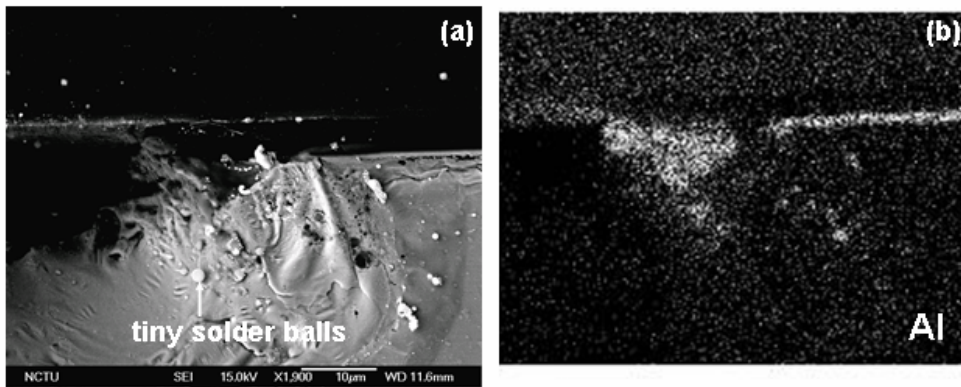


Figure 3-5: (a) Enlarged SEM image of the rectangular area in Fig. 4(d), and corresponding X-ray mapping of (b) Al.

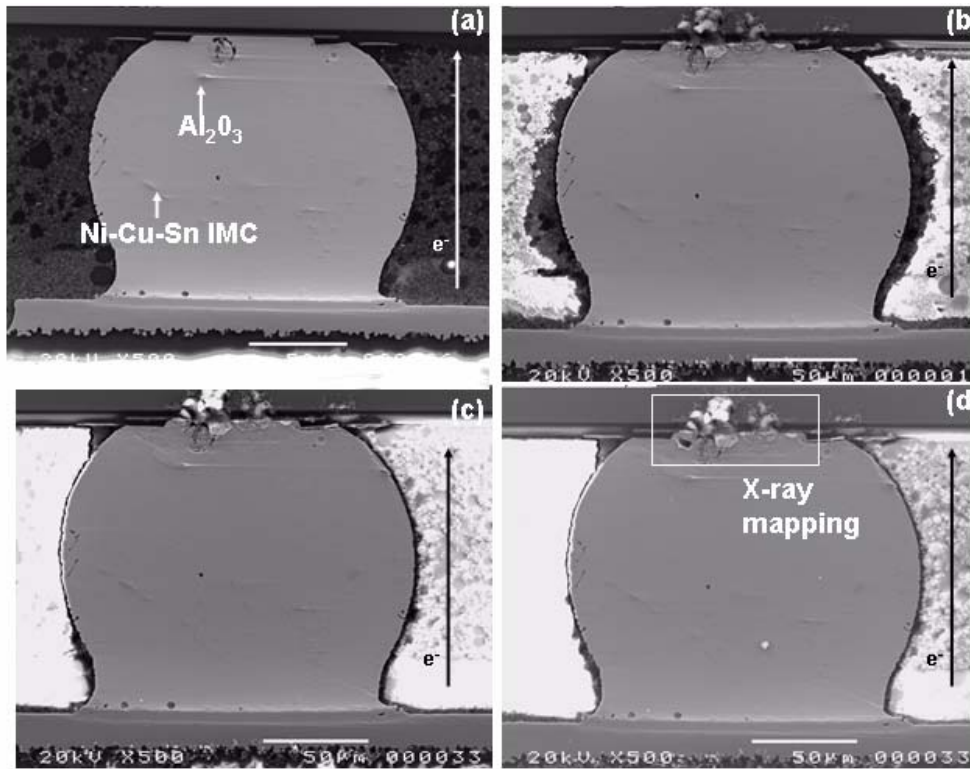


Figure 3-6: Cross-sectional SEM images of solder bump stressed at 100°C for (a) 0 h, (b) 20 h, (c) 264 h, (d) 408 h.

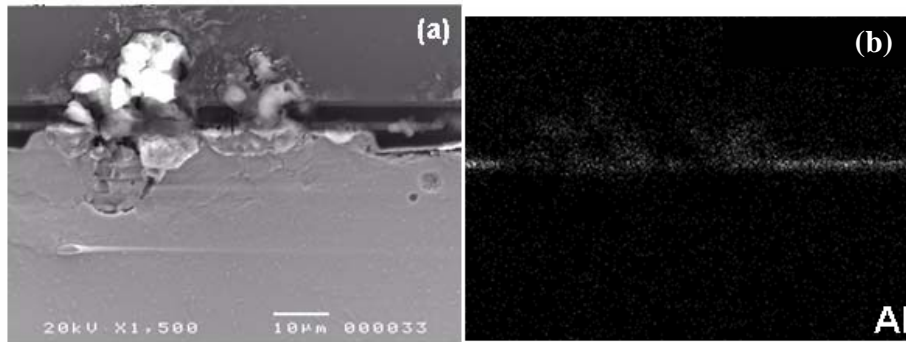
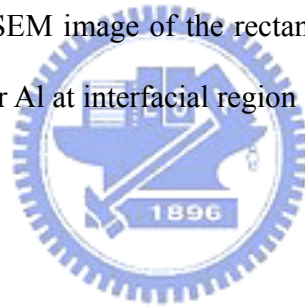


Figure 3-7: (a) Magnified SEM image of the rectangular area in Fig. 6(d) and (b) is the x-ray elemental mapping for Al at interfacial region in (a).



### C. Electromigration in Whole Bump

In Pair B case, the set of whole bumps were stressed at the current density of  $1 \times 10^4$  A/cm<sup>2</sup> until failure occurred. Afterwards, they were subject to cross-sectioning for failure analysis. Figure 3-8(a) shows the cross sectional SEM images of the solder bump along cross-section plane A. The bump failed after stressing for 140 h at 100°C, and was then ground, cut, and polished away approximately 1/3 volume of the solder bump. The Cu-Sn intermetallic compounds were accumulated at the anode/board side, which implies that the Copper atoms on the chip side and in the solder were caused to migrate by the electron flow to the board side.

To examine the microstructure beneath the cross-sectioned surface in figure 3-8(a), further polishing was performed. Figure 3-8(b) and (c) shows the microstructures after polishing away half the volume and two-thirds the volume of the solder bump. Comparing both figures, crack appears to be larger as the bump was polished down.

Fig. 3-9 shows the cross-sectional SEM image of the solder bump along the cross-sectioned plane B. Solder bump failed after 42 h of current stressing at 150°C. Because the test temperature was raised up to 150°C, the failure time decreased from 140 hours at 100°C to 42 hours. Obviously, the voids at the right are much bigger than the ones on the left as the result of the current crowding effect.

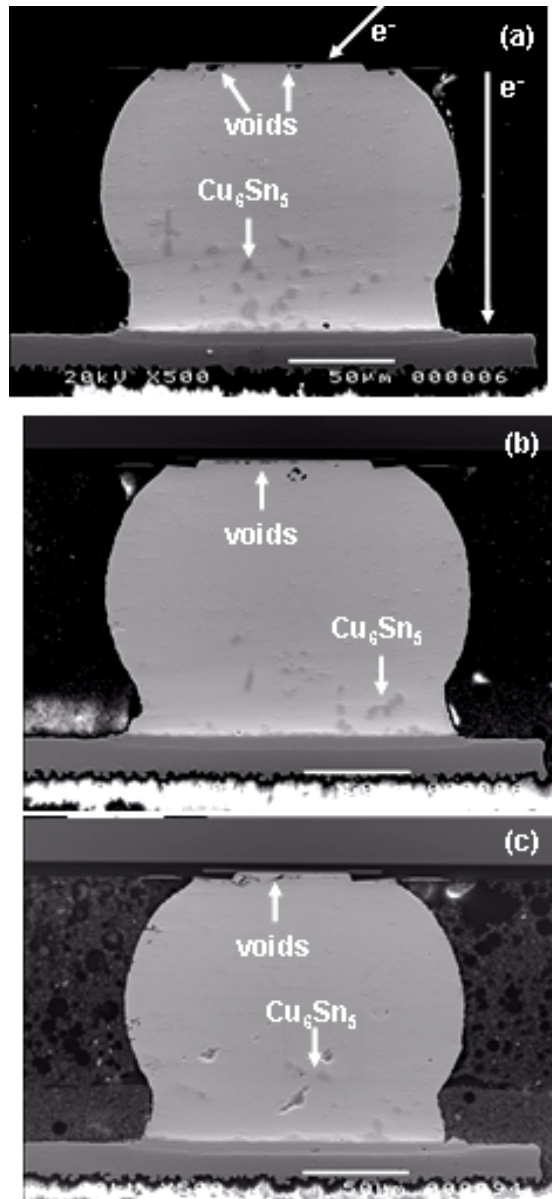


Figure 3-8: Cross-sectional SEM image of the solder bump stressed at 100°C for 140 hours, (a) after polishing to one-third of the bump volume, (b) after polishing half of the bump volume, (c) after polishing two-thirds of the bump volume.



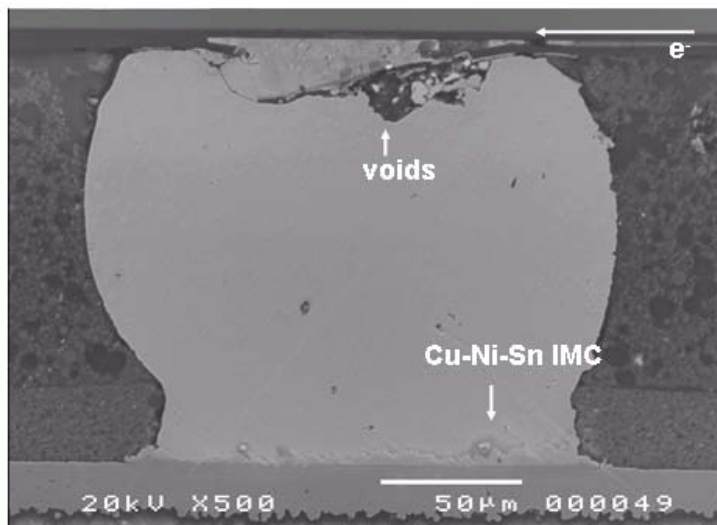


Figure 3-9: Cross-sectional SEM image of the solder bump stressed at 150°C for 42 hours.

### **3-3 Discussion**

#### **A. Current Crowding Effect on Bump Failure**

In the case of the cross-sectioned bump, the solder bump failed after 408 hours of current stressing, as shown in Fig. 3-4(a) through (d). The corresponding schematic picture of the bump is shown in the left bump of pair A in Fig. 3-2(b), where the electrons crowded into the bump from the upper-left-back corner. At the early stage of current stressing, it was speculated that atoms around the corner were migrated to the board/anode side, and voids started to form at the point where current crowding occurred. As voids aggregated to form cracks, the contact area on the chip side decreased, forming a vicious cycle and deteriorated the contact. As the cracks move toward the cross-sectioned surface, current density increased dramatically, heating up the bump locally because of Joule heating. It is believed that the local temperature at the failure site was ramped over the melting point of the solder before failure because there were tiny solder balls observed near the voids. Furthermore, the surface morphology of the void has a smooth appearance which also implies that the solder may have been in a molten state before the failure.

In Fig. 3-9, voids at the upper-right corner are much bigger than those at the upper-left corner. This may be the result of current crowding, where the electrons crowded into the bump from the upper-right corner of the bump. At the board side in Fig. 3-9, Cu-Ni-Sn IMC grew thicker at the lower-right corner, which was attributed by the current crowding on the board side.

#### **B. Temperature Effect on Failure and Microstructure of the Bumps**

The results for the measured resistance of the stressing circuit as a function of stressing time is shown in Fig. 3-10. Although the resistance included both the resistance of the conduction lines and that of the bump pair, it was still observed that the failure time depended on the dramatic increase of the resistance. As expected, the bump failed within 42 hours of stressing time at 150°C, and within 142 hours at 100°C because the diffusivity of metal atoms are larger at high temperature. To examine the microstructure changes caused by thermal effect, reference bumps were employed to undergo the similar thermal history during current stressing. Figure 3-11 shows the microstructure changes resulting from the thermal effect only. The bump was kept at 150°C for 42 hours, and no obvious microstructure change was observed .

### **C. Interface Analysis after Current Stressing**

An enlarged image of the chip side of the bump in Fig. 3-8(c) is shown in fig. 3-12. The crack was found at the solder/IMC interface. Above the crack, the EDS composition analysis at point 1 and point 2 indicated that there are Al, Ti, Cr, Cu and Sn in this region. It is believed that Al, Ti, and Cr atoms migrated by the electron flow into IMC. Because Cu atoms were caused by the electron flow to migrate toward the board side to form a Sn-Cu compound, the analysis at point 3 and point 4 revealed that only Sn and Ag atoms were detected at this region. The composition of these points in the solder is shown in table 3-1.

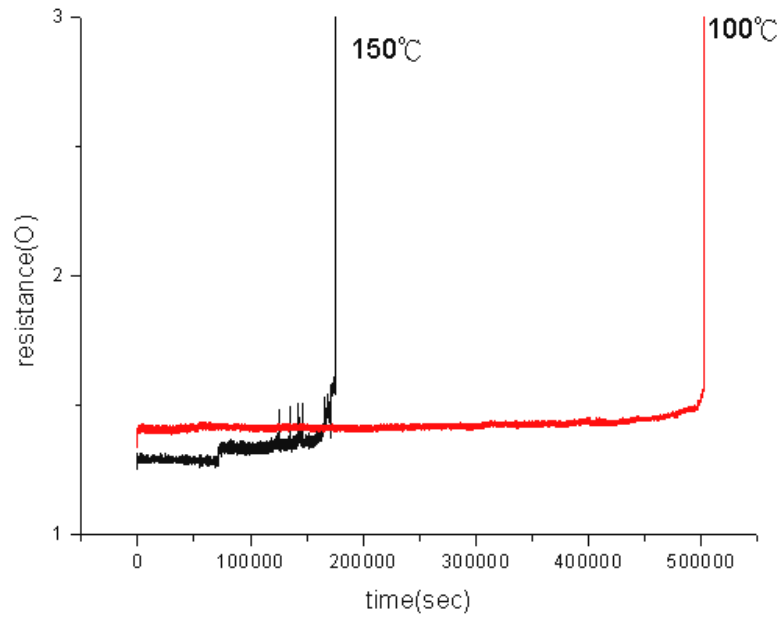


Figure 3-10: Measured resistance of the stressing circuit as a function of stressing time.

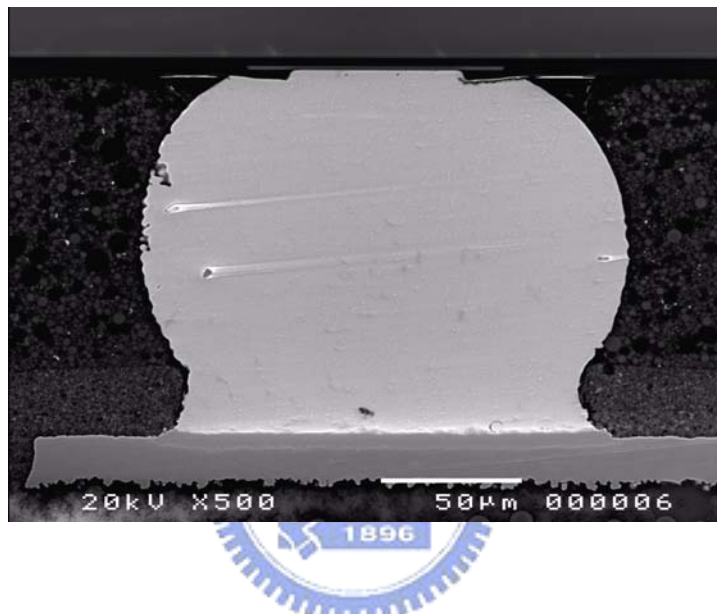


Figure 3-11: Cross-sectional SEM image of the reference bump, the bump was kept at 150°C for 42 hours.

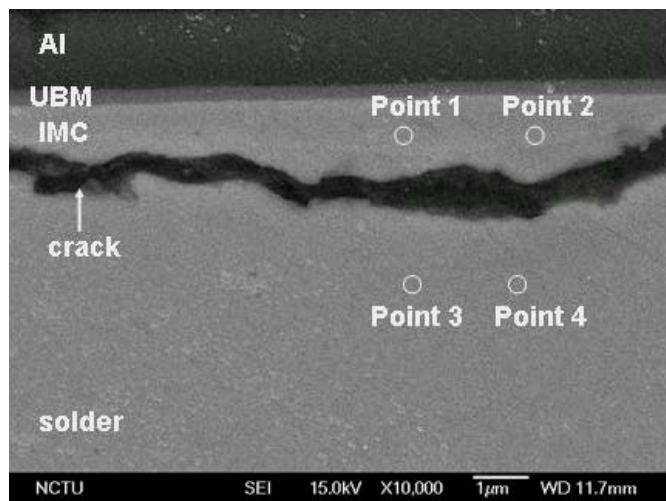


Figure 3-12: Enlarged SEM image of chip side in Fig. 8(c).

Table 3-1. Composition of solder at point 1, point 2, point 3, and point 4.

	<b>Point1 (atomic %)</b>	<b>Point2 (atomic %)</b>	<b>Point 3 (atomic %)</b>	<b>Point4 (atomic %)</b>
<b>Al</b>	<b>11.66</b>	<b>9.04</b>	<b>not detected</b>	<b>not detected</b>
<b>Ti</b>	<b>5.54</b>	<b>4.10</b>	<b>not detected</b>	<b>not detected</b>
<b>Cr</b>	<b>14.41</b>	<b>16.47</b>	<b>not detected</b>	<b>not detected</b>
<b>Cu</b>	<b>14.00</b>	<b>14.65</b>	<b>not detected</b>	<b>not detected</b>
<b>Sn</b>	<b>54.39</b>	<b>55.74</b>	<b>98.39</b>	<b>98.15</b>
<b>Ag</b>	<b>not detected</b>	<b>not detected</b>	<b>1.61</b>	<b>1.85</b>



### 3-4 Conclusions

The electromigration-induced failures in SnAg3.8Cu0.7 solder joints on Ti/Cr-Cu/Cu has been investigated under current density of  $1 \times 10^4$  A/cm<sup>2</sup> and  $2 \times 10^4$  A/cm<sup>2</sup> at 100°C and 150°C. The bumps failed at chip/cathode side. Cracks occurred along the solder and UBM interface, which led to the open failure of the bump. The current crowding effect played an important role on the failure. However, the electron flow does not cause apparent damage at the board side because of a much lower current density there than that in the chip side.





## Chapter 4: Electromigration in Pb-free SnAg<sub>3.8</sub>Cu<sub>0.7</sub> Solder Stripes

### 4-1 Sample preparation and experimental procedures

A similar process to fabricate Blech's specimens of Al stripe is adopted to fabricate the solder specimens. The main difference here is that the solder Blech specimen was fabricated in a Si trench, in which the top Si surface served as a polishing stopper during the subsequent polishing process. A four-inch p-type Si wafer was cleaned by piranha solution (H<sub>2</sub>O<sub>2</sub> and H<sub>2</sub>SO<sub>4</sub> at the ratio of 1:7) for 10 minutes at 100°C. After cleaning, the silicon wafer was patterned by photolithography and deep reactive ion etching (DRIE) to form dumbbell-shaped trenches of 3.1 μm in depth. Then, a 1200 Å SiO<sub>2</sub> insulating layer was grown on the wafer. Subsequently, titanium and copper films with thicknesses of 1700Å and 4000Å, respectively, were thermally evaporated onto the silicon wafer by an e-beam evaporator. Thereafter, the copper film inside the trench was patterned and selectively etched to form a short stripe, and two pads were also patterned on the Ti film, which served as a wetting metallization layer for the SnAgCu solder during the subsequent reflow process. Then the wafer was cut into small pieces, with a die in each piece. Solder paste of SnAg<sub>3.8</sub>Cu<sub>0.7</sub> was applied to the Cu stripe at 230°C for 2 seconds, in which the subscripts in SnAg<sub>3.8</sub>Cu<sub>0.7</sub> stand for weight percent. After the reflow process, the thickness of the solder may be over 10 μm thick, and its shape was bump-like. Therefore, a polishing procedure was needed to thin down the solder stripe. The thickness of the solder stripe was controlled by the thickness of the Si trench, since the top Si surface served as a polishing stop for the solder stripe.

Figures 4-1(a) and (b) illustrate the schematic diagrams of the tilted and cross-sectional views of the specimen, respectively. The two square pads at the two sides of the specimen were the electrodes, and the central solder stripe was the specimen to be studied. The stripe was 350  $\mu\text{m}$  long and 80  $\mu\text{m}$  wide. A layer of  $\text{Cu}_6\text{Sn}_5$  intermetallic compound (IMC) grew between the Cu and the solder during the reflow, as shown in Figure 4-1(b). The thickness of the solder was about 1 to 2  $\mu\text{m}$ , and it varied from sample to sample due to the polishing process, which polished some of the Si stopper. The samples were then stressed at various current densities and temperatures, and the direction of the electron flow is indicated by the arrows in Figure 4-1(a) and 4-1(b).

Transmission electron microscopy (TEM) and scanning electron microscopy (SEM) were employed to observe the microstructure of the solder stripes. Focused ion beam (FIB) was utilized to prepare cross-sectional TEM specimens. AFM was used to measure the depletion volume on the cathode side of the samples. Each specimen was scanned in AFM six times in order to measure the volume before and after the current stressing, the standard deviation was less than 1% compared with the average volume.

The temperature increment due to the Joule heating effect was monitored by an infrared microscope, which has 0.1 $^\circ\text{C}$  temperature resolution and 2  $\mu\text{m}$  spatial resolution.

## **4-2 Results**

### **A. Microstructure of the SnAgCu stripe and temperature measurement**

Figure 4-2(a) demonstrates the backscattered electron (BSE) SEM image of the fabricated solder stripe, formed inside the Si trench. Figure 4-2(b) is the enlarged (BSE) SEM image for one end of the stripe. Typically, the four corners of the Cu stripe could

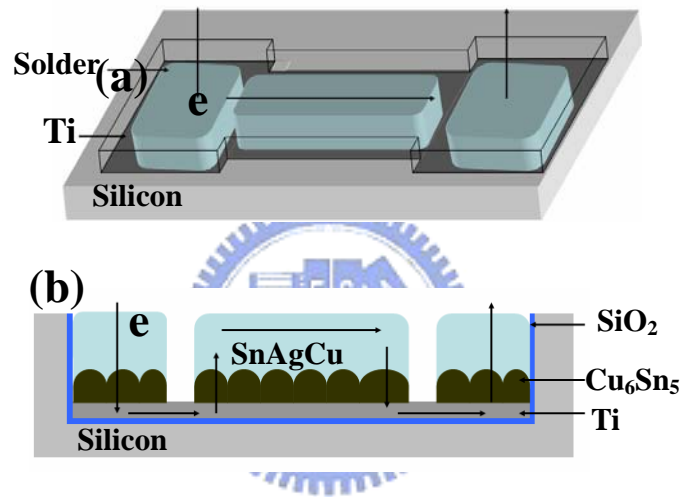


Figure 4-1: (a) Tilted-view schematic of the solder stripe on a Ti film in a Si trench.

(b) Cross-sectional schematic of the solder Blech specimen. The direction

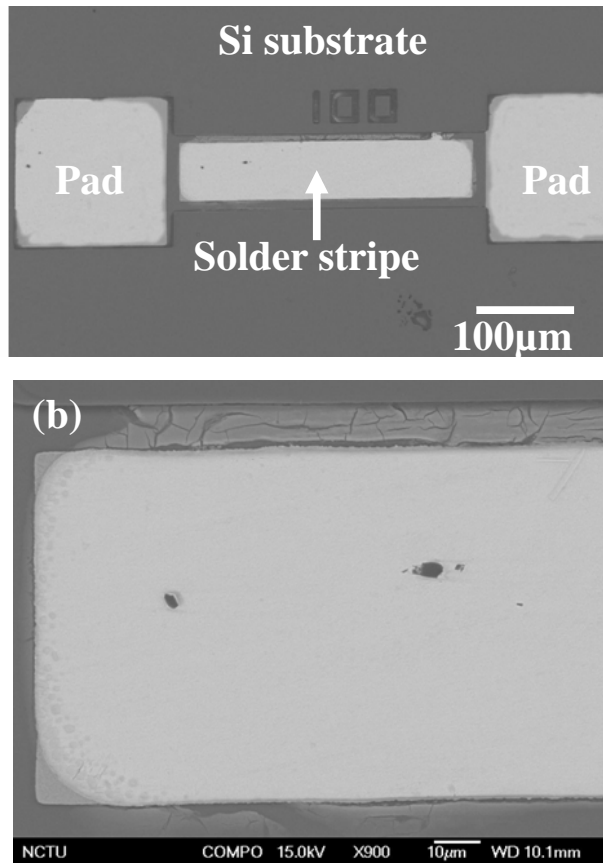


Figure 4-2: (a) BSE SEM image of the fabricated dumbbell-shaped stripe. (b) Enlarged SEM image for one end of the stripe

not be wetted by molten solder paste during sample preparation. Figure 4-3(a) illustrates the cross-sectional TEM image of the specimen. A layer of scalloped  $\text{Cu}_6\text{Sn}_5$  intermetallic compound (IMC) is formed between the  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder and the Cu layer. The  $\text{SiO}_2$  and Ti layers can be clearly seen in the figure. The Cu film was consumed almost completely, but a few small Cu islands may be observed under some large IMCs. Since the Cu layer is not continuous, it is not considered when calculating the effective current density in the solder stripe. Figure 4-3(b) displays the enlarged cross-sectional TEM image of the area within the white circle in figure 4-3(a). The grain size was about  $1\ \mu\text{m}$  in diameter. We speculate that the minuscule grain size was due to the film thickness and the rapid cooling rate in the sample preparation process. Through theoretical calculations, it was found that about 80% of the applied current would flow inside the solder, whereas about 19% of the current drifted along the IMC layer, and only about 1% stayed in the Ti layer.

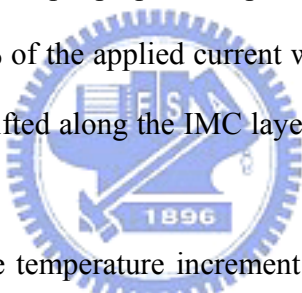


Figure 4-4 displays the temperature increment in the solder stripe as a function of current density at  $80^\circ\text{C}$ ,  $100^\circ\text{C}$ , and  $120^\circ\text{C}$  measured by an infrared microscope. The highest temperature increment was merely  $5^\circ\text{C}$  when the specimen was stressed by  $0.12\ \text{A}$ , which corresponded approximately to  $1 \times 10^5\ \text{A}/\text{cm}^2$  in the solder stripes. The Joule heating effect in the stripes was much lower than that in the flip-chip solder bump [48]. This effect may be attributed to the stripe geometry and the excellent heat conduction of silicon substrate.

#### **B. Threshold current density of the $\text{SnAg}_{3.8}\text{Cu}_{0.7}$ solder**

Figures 4-5(a) and (b) show the SEM images of the  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder stripe in the

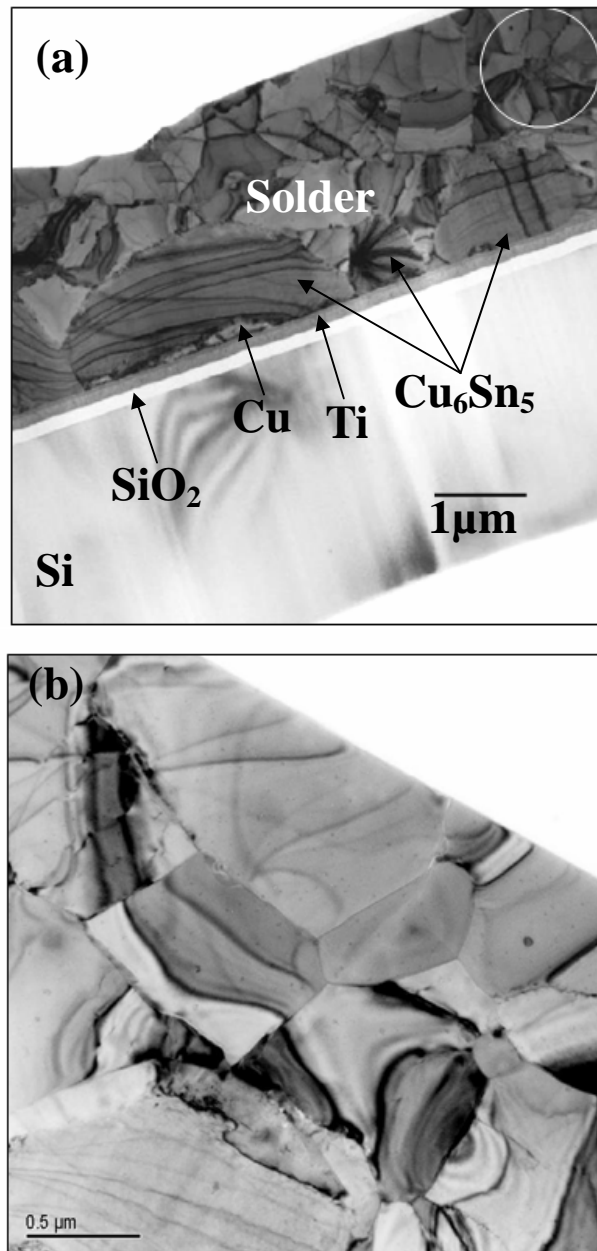


Figure 4-3: (a) Cross-sectional TEM image of the solder Blech specimen.

(b) Enlarged image of the white rectangular district in (a), with average grain size of about 1 μm.

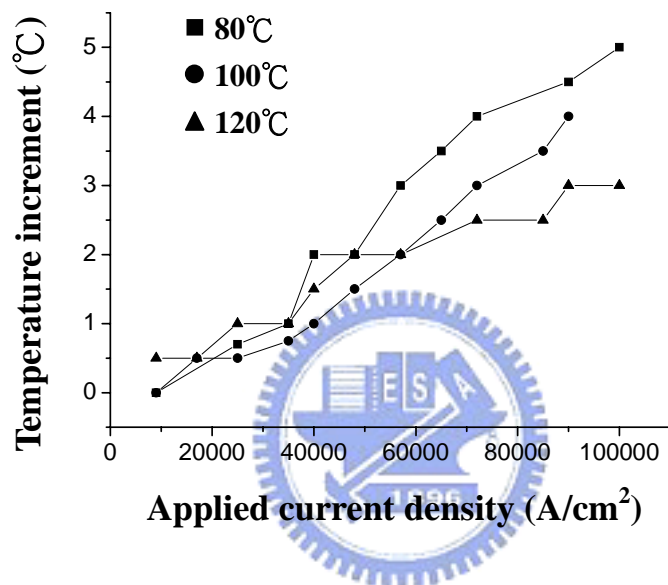


Figure 4-4: Measured temperature increment inside the solder stripe as a function of applied current for the three stressing temperatures.

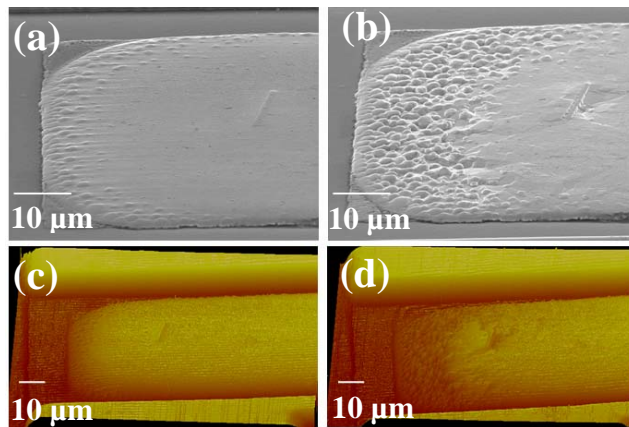


Figure 4-5: (a) Tilted SEM image at the cathode side before current stressing. (b) Tilted SEM image on the cathode side after current stressing by  $8.67 \times 10^4 \text{ A/cm}^2$  at  $80^\circ\text{C}$  for 65 hours. The  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder was migrated by the electron flow, but the IMC remained intact. (c) Corresponding AFM image of (a). (d) Corresponding AFM image of (b).



cathode end before and after the current stressing by  $8.67 \times 10^4 \text{ A/cm}^2$  at  $80^\circ\text{C}$  for 65 hours, respectively. After the current stressing, the  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder near the cathode was depleted by the electron flow, and the intermetallic compound at the cathode side was exposed, as shown in Figure 4-5(b). Figures 4-5(c) and (d) show the corresponding 3-D AFM images for the solder stripes in figures 3-5(a) and (b), respectively. The AFM image in Figure 4-5(d) also shows the depletion of the cathode end, which demonstrates that the AFM could measure the depletion of the solder. The depletion volume for this specimen was estimated to be  $799 \mu\text{m}^3$ . On the other hand, hillocks were formed at the anode end of the stripe, as shown in figure 4-6. The composition of hillock is mainly Sn.

The average drift velocity of the solder stripe can be obtained by dividing the depletion volume ( $\Delta V$ ) by the product of the average cross-sectional area and the stressing time. Figure 4-7 displays the average drift velocity as a function of applied current density, showing a linear relationship for the three temperatures. By extrapolating the fitting line to the zero drift velocity, the threshold current density can be obtained. The estimated values are  $4.3 \times 10^4 \text{ A/cm}^2$  at  $80^\circ\text{C}$ ,  $3.2 \times 10^4 \text{ A/cm}^2$  at  $100^\circ\text{C}$ , and  $1.4 \times 10^4 \text{ A/cm}^2$  at  $120^\circ\text{C}$ . These values represent the maximum current densities that the  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder can carry without electromigration damage at the three stressing temperatures.

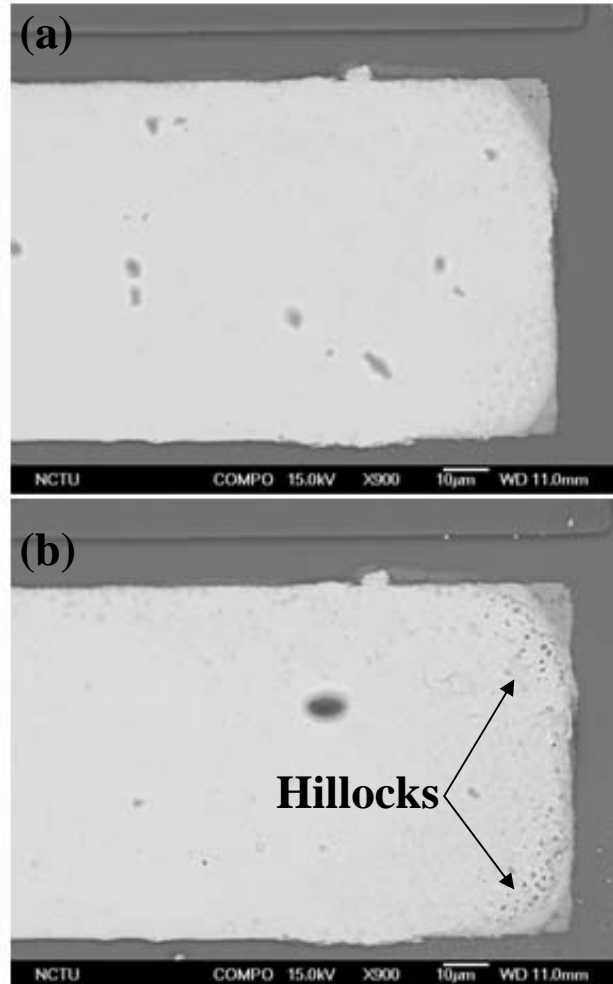


Figure 4-6: Plan-view BSE SEM image of the anode side (a) before the current stressing, and (b) after the current stressing at 80°C for 65 hours. Hillocks are composed of almost pure Sn formed at the anode side.

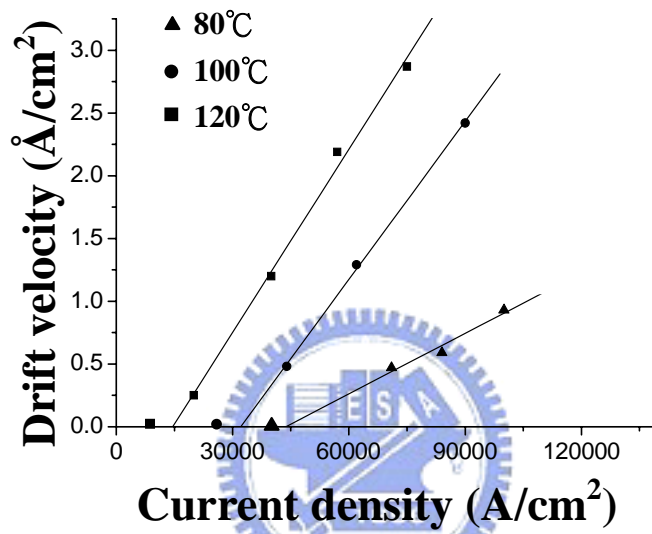


Figure 4-7: Average drift velocity of the solder stripe as a function of applied current density. The threshold current densities were obtained by extrapolating the fitted lines to zero drift velocity.

**C. Activation energy and effective charge number of SnAg<sub>3.8</sub>Cu<sub>0.7</sub> solder**

The average drift velocity due to electromigration, as given by Huntigton and Grone [38] is

$$v = \frac{J}{C} = BeZ^* \rho j = \left(\frac{D_0}{kT}\right) eZ^* \rho j \exp\left(\frac{-Ea}{kT}\right) \dots\dots\dots(1)$$

where J is the atom flux, C is the density of metal ions, B is the mobility, k is Boltzmann’s constant, T is the absolute temperature, eZ\* is the effective charge of the ions, ρ is the metal resistivity, j is the electrical current density, Ea is the activation energy of diffusion, and D<sub>0</sub> is the prefactor of diffusion constant. Equation (1) can be rewritten as

$$\frac{vT}{j} = \frac{D_0 eZ^* \rho}{k} \exp\left(\frac{-Ea}{kT}\right) \dots\dots\dots(2)$$

Taking the logarithm of both sides of equation (2)

$$\ln \frac{vT}{j} = -\left(\frac{Ea}{kT}\right) + \ln \frac{D_0 eZ^* \rho}{k} \dots\dots\dots(3)$$

Therefore, by measuring solder drift velocity as a function of reciprocal temperature, the activation energy Ea and the product of diffusivity and effective charge number DZ\* can be obtained.

Figure 4-8 shows the plot of ln(vT/j) as a function of the reciprocal temperature. The activation energy (Ea) can be determined from the slope of the fitted line, and its value is 0.41eV in the temperature range of 80°C to 120°C. However, the temperature in the solder needs to be calibrated due to the Joule heating effect, as shown in Figure 4-4. The real temperatures in the solder were higher than the ambient ones, and the activation energy was calculated to be 0.45 eV using the real temperatures.

In addition, the product of diffusion diffusivity and effective charge number, DZ\*,

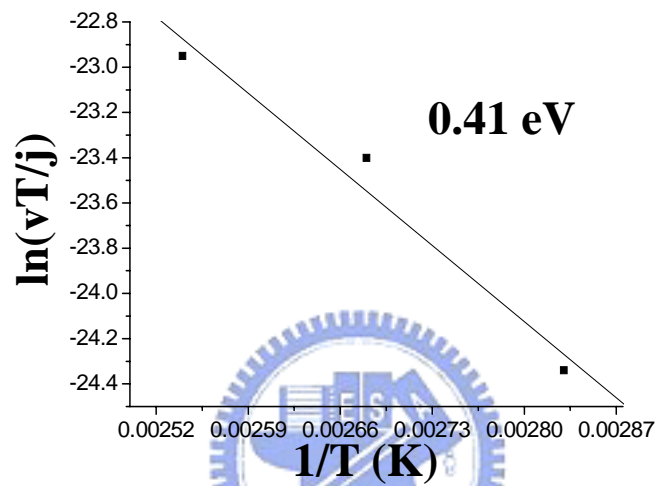


Figure 4-8: Plot of the  $\ln vT/j$  as a function of reciprocal temperature. The activation energy of 0.41 eV was obtained from the slope of the fitted line.

can be calculated from equation (3). Table I summarizes the product of the diffusivity and effective charge number,  $DZ^*$ , and its average values are  $-1.8 \times 10^{-10} \text{ cm}^2/\text{sec}$  at  $80^\circ\text{C}$ ,  $-5.0 \times 10^{-10} \text{ cm}^2/\text{sec}$  at  $100^\circ\text{C}$ , and  $-7.2 \times 10^{-10} \text{ cm}^2/\text{sec}$  at  $120^\circ\text{C}$ . In order to estimate the value of  $Z^*$ , the diffusivity data for pure Sn were adopted [49].

The average values of  $Z^*$  were -27 at  $80^\circ\text{C}$ , -33 at  $100^\circ\text{C}$ , and -23 at  $120^\circ\text{C}$ , which are reasonable for solder materials.



Table 4-1: The product of diffusivity and effective charge number ( $DZ^*$ ) for various stressing conditions.

Temperature (°C)	Current density (A/cm <sup>2</sup> )	$DZ^*$ (cm <sup>2</sup> /sec)
80°C	$1.0 \times 10^5$	$-2.26 \times 10^{-10}$
80°C	$8.4 \times 10^4$	$-1.72 \times 10^{-10}$
80°C	$7.1 \times 10^4$	$-1.61 \times 10^{-10}$
		Ave. : $-1.86 \times 10^{-10}$
100°C	$9.0 \times 10^4$	$-6.88 \times 10^{-10}$
100°C	$6.2 \times 10^4$	$-5.35 \times 10^{-10}$
100°C	$4.4 \times 10^4$	$-2.80 \times 10^{-10}$
		Ave. : $-5.01 \times 10^{-10}$
120°C	$7.5 \times 10^4$	$-1.03 \times 10^{-9}$
120°C	$5.7 \times 10^4$	$-1.03 \times 10^{-9}$
120°C	$4.0 \times 10^4$	$-8.12 \times 10^{-10}$
120°C	$2.0 \times 10^4$	$-3.37 \times 10^{-10}$
		Ave. : $-7.26 \times 10^{-10}$

#### **D. Effect of microstructure on electromigration in eutectic SnAg<sub>3.8</sub>Cu<sub>0.7</sub> solder stripes**

It has been recognized that electromigration lifetime is strongly correlated to the microstructure of the metal lines. A number of studies have been carried out to investigate the effect of microstructure on electromigration life time [51]-[63]. By annealing the metal lines at elevated temperature to induce grain growth, the electromigration lifetime was found to increase until grain growth was constrained by the metal line thickness.

To investigate the effect of microstructure on electromigration in eutectic SnAg<sub>3.8</sub>Cu<sub>0.7</sub> solder, as-prepared eutectic SnAg<sub>3.8</sub>Cu<sub>0.7</sub> solder stripes were pre-annealed on the hotplate at 150°C for 72 hours in the atmosphere. Afterwards, the pre-annealing samples were ground and polished and were stressed at various current densities and temperatures.

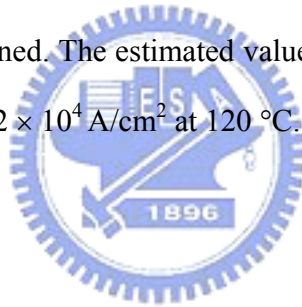
Figure 3-10 illustrates the cross-sectional TEM image of the specimen after heat treatment at 150°C for 72 hours. It is found that the solder grains grew bigger. Layers of scallop-type Cu<sub>6</sub>Sn<sub>5</sub> IMC formed at the interface between the solder and Ti layer. Specifically, a thin (Ti, Sn, Cu) mixed layer formed beneath the Cu<sub>6</sub>Sn<sub>5</sub> intermetallic compound, and the thickness is about 30 nm. The average thickness of the IMC was 1.42 μm. Through theoretical calculations, it was found that about 80% of the applied current would flow inside the solder, whereas about 19% of the current drifted along the IMC layer, and only about 1% stayed in the Ti layer.

The microstructures of the as-prepared and the pre-annealed eutectic SnAg<sub>3.8</sub>Cu<sub>0.7</sub>



solder are respectively shown in figures 3-11(a) and (b). The samples were etched in the HCl + CH<sub>3</sub>OH (at the ration of 1:50) solution for one second to reveal the grain size. Ag<sub>3</sub>Sn particles precipitated in the matrix and decorated the grain boundary in the as-reflowed sample. After pre-annealed at 150°C for 72 hours, coarsening of Ag<sub>3</sub>Sn particles and growing of (Sn) grains occurred. Ag<sub>3</sub>Sn particles grew from initial sub-micron size to about 1 μm, and the number of particles reduced. The grain size grew from 1 μm to 7-10 μm after the annealing.

Figure 3-12 displays the average drift velocity of the pre-annealed stripes as a function of applied current density, showing a linear relationship for the three temperatures. By extrapolating the fitting line to the zero drift velocity, the threshold current density can be obtained. The estimated values are  $4.6 \times 10^4$  A/cm<sup>2</sup> at 80 °C,  $3.9 \times 10^4$  A/cm<sup>2</sup> at 100 °C, and  $2.2 \times 10^4$  A/cm<sup>2</sup> at 120 °C.



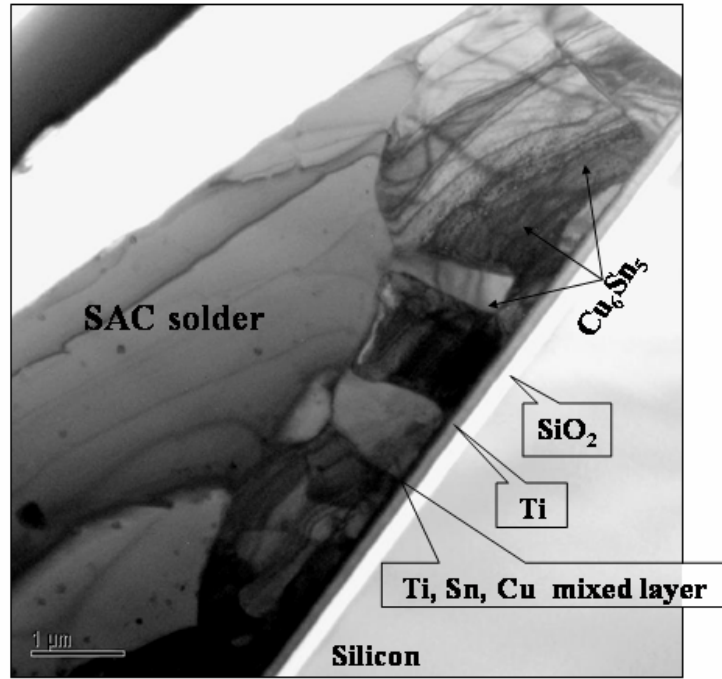


Figure 3-10 Cross-sectional TEM image of the solder Blech specimen after heat treatment at 150°C for 72 hours.

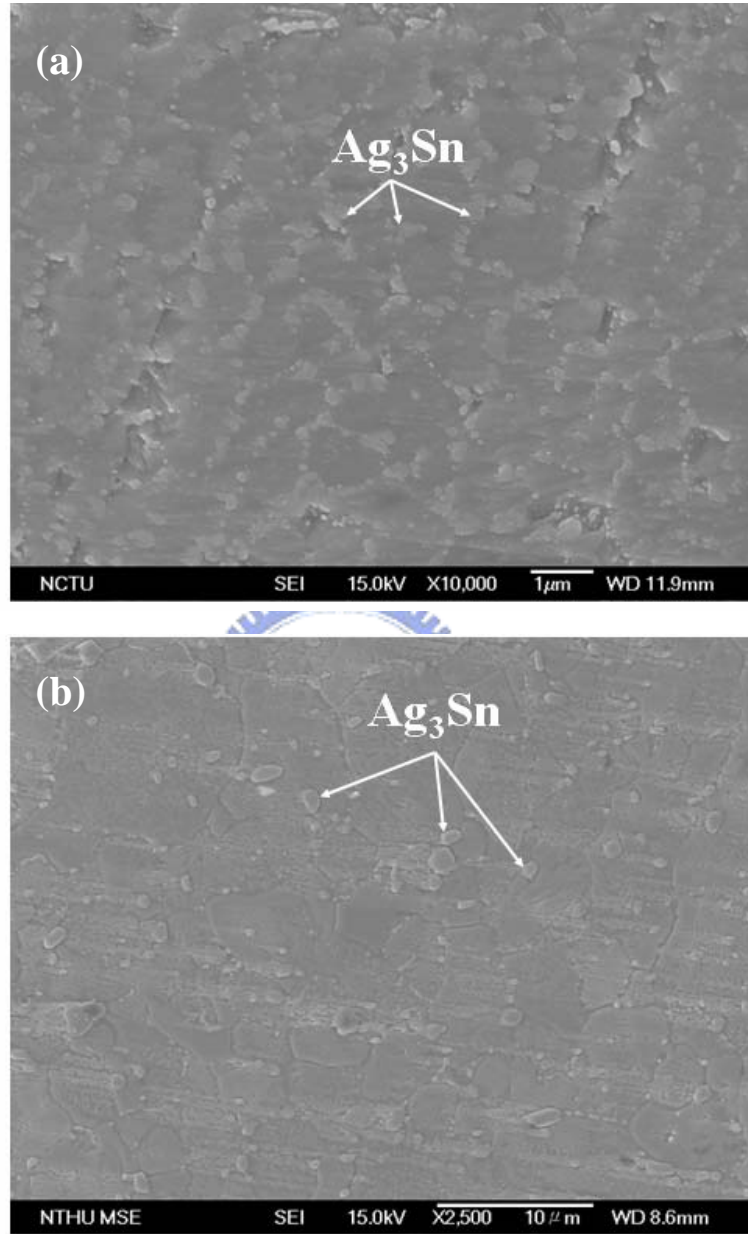


Figure 3-11. Plan-view SEM images of eutectic  $SnAg_{3.8}Cu_{0.7}$  solder (a) as-prepared, and (b) annealed.

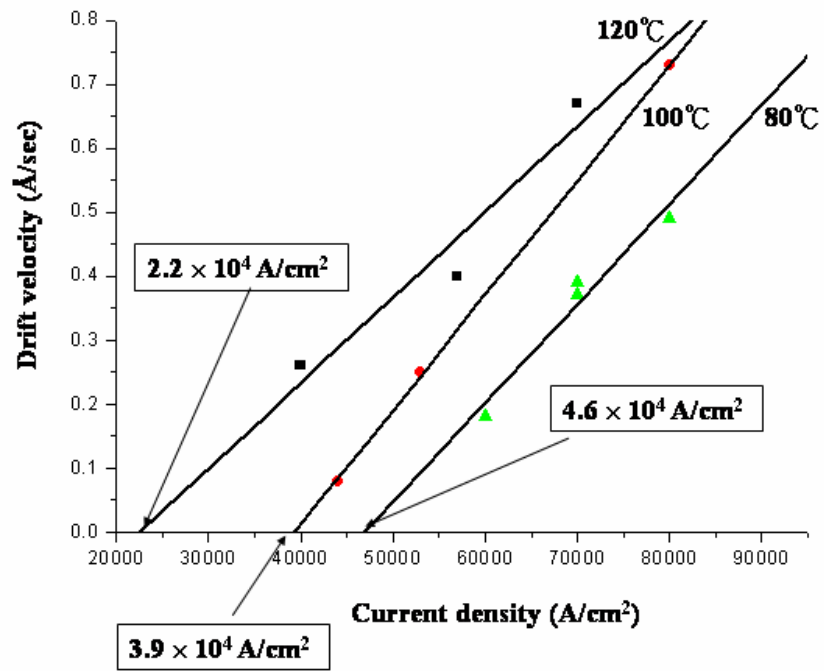


Figure 3-12. Average drift velocity of the pre-annealed solder stripe as a function of applied current density. The threshold current densities were obtained by extrapolating the fitted lines to zero drift velocity.

### 4-3 Discussion

#### A. Threshold current density of SnAg<sub>3.8</sub>Cu<sub>0.7</sub> solder stripes

To verify if these extrapolated values of threshold current density are correct or not, some specimens were stressed at current densities below the threshold current density. Specimens were stressed at the current density of  $3.5 \times 10^4$  A/cm<sup>2</sup> at 80°C,  $2.6 \times 10^4$  A/cm<sup>2</sup> at 100 °C, and  $1 \times 10^4$  A/cm<sup>2</sup> at 100 °C for 72 hrs, and no detectable volume change was found.

Various stressing conditions have been investigated to study the electromigration behavior of SnAgCu bumps, and a large variety of conditions were reported to have caused damage in the bumps. Wu *et al.* conducted a MTTF experiment for SnAg<sub>4.0</sub>Cu<sub>0.5</sub> bumps with thin-film under-bump metallization (UBM) of Al/Ni(V)/Cu, and found that the MTTF was 1454 hours for the bumps stressed by  $5.0 \times 10^3$  A/cm<sup>2</sup> at 153°C [45]. In addition, Choi *et al.* reported that the eutectic SnAgCu bumps with Al/Ni(V)/Cu UBM failed after the current stressing at  $2.25 \times 10^4$  A/cm<sup>2</sup> at 140 °C for 132 hours [42]. However, Lin *et al.* investigated the current carrying capability of eutectic SnAgCu bumps with 6 μm Ni under bump metallization, and found that there was no obvious electromigration damage in the bumps after the stressing by  $2.55 \times 10^4$  A/cm<sup>2</sup> at 150 °C for 2338 hours [46].

The above discrepancies may be due to the serious current crowding in the line-to-bump configuration of flip chip solder joints and also the Joule heating effect in the solder joints. Our previous simulation study on current density distribution showed that the joints with thin film Al/Ni(V)/Cu UBM had more serious current crowding effect

inside the solder bumps than that in the joints with thick UBM [50].

The current crowding ratio may be as high as 23 inside the solder for the joints with thin film Al/Ni(V)/Cu UBM, which means that the maximum current density near the solder close to the entrance point of Al trace is 23 times higher than the average value. Thus the maximum current density in these solder bump may exceed  $1.0 \times 10^5$  A/cm<sup>2</sup>. However, the current crowding ratio for the bumps with 6  $\mu$ m electroless Ni UBM is about 11, leading to a higher current carrying capability by these bumps. On the other hand, Joule heating may increase the temperature of the solder bump, and the amount of temperature increase depends on the joint geometry, materials, and applied current. Choi *et al.* found that the temperature increase due to Joule heating was between 38°C and 52°C.<sup>7</sup> Therefore, the real stressing temperature was higher than 178°C for their bumps. Based on the above discussion, the threshold current density in the present work seems to be reasonable.



### **B. Activation energy of eutectic SnAg<sub>3.8</sub>Cu<sub>0.7</sub> solder stripes**

The activation energy we measured by edge displacement technique was 0.45 eV, which was lower than the published value. Choi *et al.* measured the MTTF of eutectic SnAgCu solder joints, and they estimated the activation energy to be 0.8 eV by using Black's equation [42]. It is speculated that the difference may be attributed to the smaller grain size of the solder in Blech specimens than in flip-chip solder joints. As shown in Figure 4-3(b), the grain size of the solder was only about 1  $\mu$ m, which is smaller than that in the solder bumps. In addition, the stressing temperatures in this study ranged from 70%

to 77% of the absolute melting point of the eutectic SnAgCu solder. Therefore, the activation energy presented in this study is a combination of grain boundary and lattice diffusion. As a result, the contribution of grain boundary diffusion may be larger in our sample, resulting in a decrease in the activation energy for the solder film. The effect of grain boundary diffusion may have also affected the value of the estimate effect charge number which is smaller than what is expected in a bulk Sn sample.

### C. Electromigration of $\text{Cu}_6\text{Sn}_5$ intermetallic compounds

Theoretically, a layer of  $\text{Cu}_6\text{Sn}_5$  with 1.36  $\mu\text{m}$  thick formed when the 0.4  $\mu\text{m}$  Cu was entirely consumed during the reflow process. Our cross-sectional TEM results show that the average thickness of  $\text{Cu}_6\text{Sn}_5$  was 1.42  $\mu\text{m}$ , which almost matches the theoretical value. Therefore, the Cu layer was almost consumed completely. In addition, the composition of the solder may not change much, since we applied a large amount of solder paste on the UBM during the reflow process, and then the excess solder was polished away, as described in the experimental section. Consequently, the composition of the SnAgCu is expected to remain close to the eutectic composition.

The  $\text{Cu}_6\text{Sn}_5$  IMC exhibited a better electromigration resistance than the  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder, since the IMC remained intact after electromigration test for most of the specimens, as shown in figure 4-5(b). However, for some specimens stressed at more stringent conditions, the IMC on the cathode end was also found to migrate away after the depletion of the solder. Figures 4-12 (a) and (b) demonstrate the microstructure evolution in the  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder stripe before and after the stressing by  $1.2 \times 10^5 \text{A/cm}^2$  current density for 30 hours at  $120^\circ\text{C}$ , respectively. Both the  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$

solder and  $\text{Cu}_6\text{Sn}_5$  IMC were migrated by electron flow on cathode side. In the meantime, Sn-Cu compounds were observed in the stripe after the current stressing. When the upper solder was depleted by the electron flow, the current density flowing in the remaining  $\text{Cu}_6\text{Sn}_5$  IMC became higher. This was because the resistivity of the IMC ( $17.5 \mu\Omega\text{-cm}$ ) was lower than that of the Ti layer ( $43.1 \mu\Omega\text{-cm}$ ), and the thickness of the IMC was thicker than that of Ti layer. For the above stressing condition, it was estimated that the current density in the IMC layer after the complete depletion of the solder was about  $1 \times 10^5 \text{ A/cm}^2$ . Therefore, the  $\text{Cu}_6\text{Sn}_5$  IMC may migrate under such high current density. However, the electromigration study for the IMC needs to be investigated independently in order to measure the threshold current density.





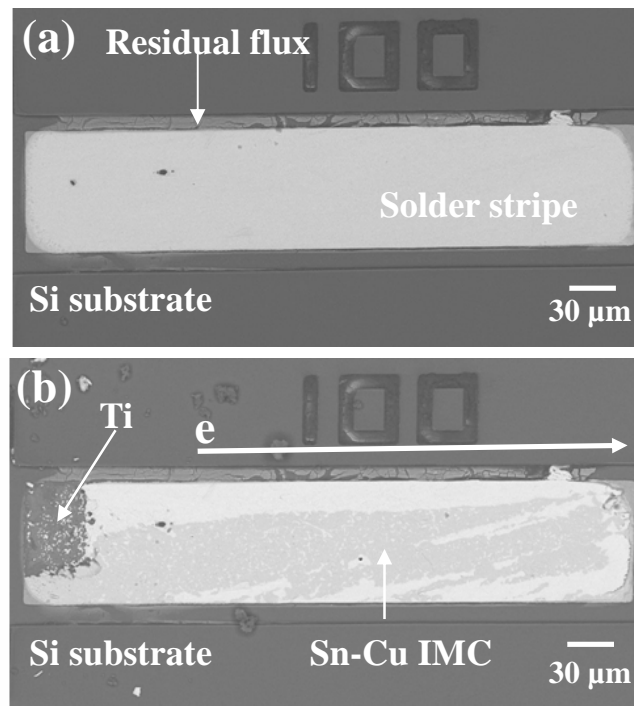


Figure 4-12: (a) Plan-view BSE SEM image of a  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder stripe before current stressing. (b) Plan-view BSE SEM image of the stripe after stressing at  $120^\circ\text{C}$  for 30 hours. The  $\text{Cu}_6\text{Sn}_5$  IMC layer was also migrated after the current stressing.

#### **D. Effect of microstructure on electromigration in eutectic SnAg<sub>3.8</sub>Cu<sub>0.7</sub> solder stripes**

The drift velocities for the pre-annealed stripes were lower than those of the as-prepared stripes. It is obvious that the larger the grain size is, the less the total grain boundary area available for boundary diffusion, and therefore the drift velocity decreases. The threshold current densities of the pre-annealing stripes were  $3.9 \times 10^4$  A/cm<sup>2</sup> at 100 °C, and  $2.2 \times 10^4$  A/cm<sup>2</sup> at 120 °C, which were lower than the values obtained by the as-prepared stripes:  $3.2 \times 10^4$  A/cm<sup>2</sup> at 100 °C, and  $1.4 \times 10^4$  A/cm<sup>2</sup> at 120 °C. However, the threshold current density doesn't make obvious change when the test temperature was 80°C. It is still not clear why the threshold current density at 80°C did not change much. In the pre-annealed specimens, grain boundary and lattice diffusion have a different temperature dependence. Lattice diffusion is more sensitive to temperature change. Thus, as the temperature is raised, the rate of diffusion through the lattice increases more rapidly than the rate of diffusion along the boundaries. It is concluded that in the pre-annealed specimens the lattice diffusion dominates in specimens with a larger grain size at 120°C and 100°C. Nevertheless, at 80°C grain boundary diffusion dominates, leading the drift velocity doesn't change obviously. To clarify the different temperature dependence, the sample was stressed under higher temperature. Figure 3-13 shows the plot of  $\ln(vT/j)$  as a function of the reciprocal temperature of the pre-annealed stripes in the temperature range of 100°C to 140°C. The activation energy ( $E_a$ ) can be determined from the slope of the fitted line, and its value is 0.8eV. The activation energy corresponds to lattice diffusion value.

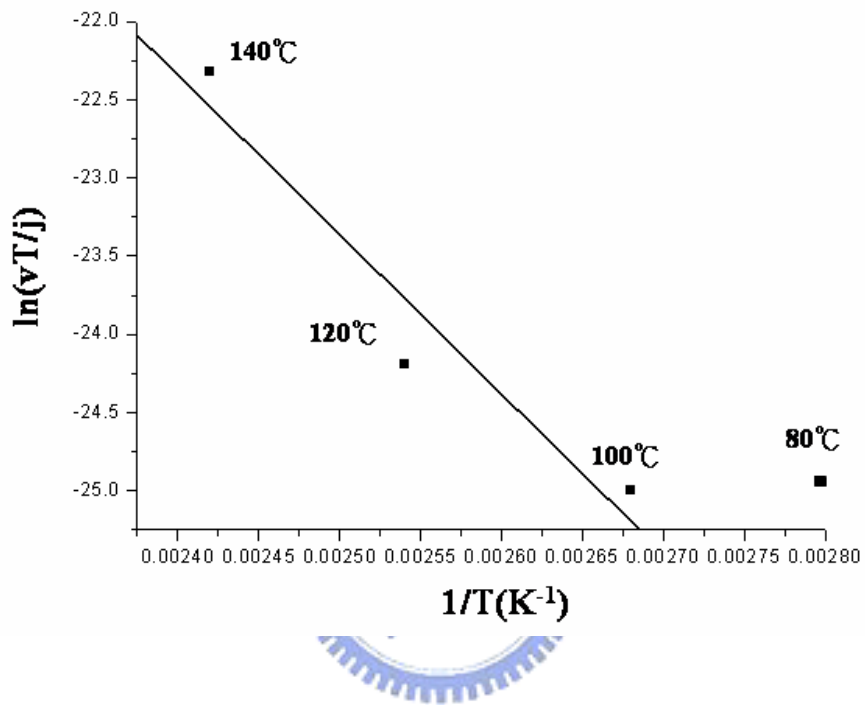
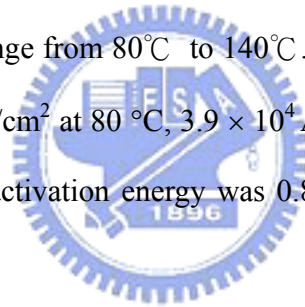


Figure 3-13. Plot of the  $\ln vT/j$  as a function of reciprocal temperature. The activation energy of 0.8 eV was obtained from the slope of the fitted line.

#### 4-4 Conclusions

The SnAg<sub>3.8</sub>Cu<sub>0.7</sub> Blech test specimens have been successfully fabricated to investigate the electromigration behavior under various current densities in the temperature range of 80 to 120°C. We used AFM to measure drift velocity and analyzed the electromigration behavior of the Pb-free solder. The threshold current densities were measured to be  $4.3 \times 10^4$  A/cm<sup>2</sup> at 80 °C,  $3.2 \times 10^4$  A/cm<sup>2</sup> at 100 °C, and  $1.4 \times 10^4$  A/cm<sup>2</sup> at 120 °C. The measured activation energy was 0.45eV for the temperature ranges from 80 to 120°C. The measured product of diffusivity and effective charge number,  $DZ^*$ , was  $-1.8 \times 10^{-10}$  cm<sup>2</sup>/sec at 80°C,  $-5.0 \times 10^{-10}$  cm<sup>2</sup>/sec at 100°C, and  $-7.2 \times 10^{-10}$  cm<sup>2</sup>/sec at 120°C.

In the pre-annealing specimens, grain boundary and lattice diffusion have a different temperature dependence range from 80°C to 140°C. The threshold current densities were measured to be  $4.6 \times 10^4$  A/cm<sup>2</sup> at 80 °C,  $3.9 \times 10^4$  A/cm<sup>2</sup> at 100 °C, and  $2.2 \times 10^4$  A/cm<sup>2</sup> at 120 °C. The measured activation energy was 0.8eV for the temperature ranges from 100 to 140°C.



## Chapter 5: Summary and Future work

### 5-1 Summary

Electromigration in the eutectic  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder has been respectively investigated in solder Blech structure and flip chip solder joint in this dissertation.

The electromigration-induced failures in  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder joints on Ti/Cr-Cu/Cu has been investigated under current density of  $1 \times 10^4 \text{ A/cm}^2$  and  $2 \times 10^4 \text{ A/cm}^2$  at  $100^\circ\text{C}$  and  $150^\circ\text{C}$ . The bumps failed at chip/cathode side. Cracks occurred along the solder and UBM interface, which led to the open failure of the bump. The current crowding effect played an important role on the failure. However, the electron flow does not cause apparent damage at the board side because of a much lower current density there than that in the chip side.

The  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  Blech test specimens have been successfully fabricated to investigate the electromigration behavior under various current densities in the temperature range of  $80$  to  $120^\circ\text{C}$ . We used AFM to measure drift velocity and analyzed the electromigration behavior of the Pb-free solder. The threshold current densities were measured to be  $4.3 \times 10^4 \text{ A/cm}^2$  at  $80^\circ\text{C}$ ,  $3.2 \times 10^4 \text{ A/cm}^2$  at  $100^\circ\text{C}$ , and  $1.4 \times 10^4 \text{ A/cm}^2$  at  $120^\circ\text{C}$ . The measured activation energy was  $0.45\text{eV}$  for the temperature ranges from  $80$  to  $120^\circ\text{C}$ . The measured product of diffusivity and effective charge number,  $DZ^*$ , was  $-1.8 \times 10^{-10} \text{ cm}^2/\text{sec}$  at  $80^\circ\text{C}$ ,  $-5.0 \times 10^{-10} \text{ cm}^2/\text{sec}$  at  $100^\circ\text{C}$ , and  $-7.2 \times 10^{-10} \text{ cm}^2/\text{sec}$  at  $120^\circ\text{C}$ . In the pre-annealing specimens, grain boundary and lattice diffusion have a different temperature dependence range from  $80^\circ\text{C}$  to  $140^\circ\text{C}$ . The threshold current densities were measured to be  $4.6 \times 10^4 \text{ A/cm}^2$  at  $80^\circ\text{C}$ ,  $3.9 \times 10^4 \text{ A/cm}^2$  at  $100^\circ\text{C}$ , and  $2.2 \times 10^4 \text{ A/cm}^2$

at 120 °C. The measured activation energy was 0.8eV for the temperature ranges from 100 to 140°C.

## **5-2 Future work**

Electromigration behavior in the eutectic  $\text{SnAg}_{3.8}\text{Cu}_{0.7}$  solder stripes was investigated in the vicinity of the device operation temperature of 100 °C by using the edge displacement technique. This edge displacement technique samples are highly reproducible and easy to measure the relevant parameters for electromigration of the solder, such as drift velocity, threshold current density, activation energy, as well as the product of diffusivity and effective charge number ( $DZ^*$ ). Therefore, it is feasible to systematically study a series of different kinds of solders such as high Pb solder, and Sn-based solders with variety of alloy elements.

Furthermore, in our previous study Ni UBM could be able to alleviate the crowding effect compared with Cu UBM [50]. In the prospective study, it would be interesting to study the electromigration behavior with Ni UBM in our Blech specimen.

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